#### **The Winter School on DFT: Theories and Practical Aspects**

Institute of Physics (IOP), Chinese Academy of Sciences (CAS) in Beijing, China, Dec. 19-23, 2016.

### Band Topology Theory and Topological Materials Prediction



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Dec. 19-23@IOP, CAS, Beijing



### 2016 Nobel Prize in Physics



#### David J. Thouless

University of Washington, Seattle, WA, USA

#### F. Duncan M. Haldane

Princeton University, NJ, USA

#### J. Michael Kosterlitz

Brown University, Providence, RI, USA

"for theoretical discoveries of topological phase transitions and topological phases of matter"

They revealed the secrets of exotic matter

# TKNN number

#### Quantized Hall Conductance in a Two-Dimensional Periodic Potential

D. J. Thouless, M. Kohmoto,<sup>(a)</sup> M. P. Nightingale, and M. den Nijs Department of Physics, University of Washington, Seattle, Washington 98195 (Received 30 April 1982)

The Hall conductance of a two-dimensional electron gas has been studied in a uniform magnetic field and a periodic substrate potential U. The Kubo formula is written in a form that makes apparent the quantization when the Fermi energy lies in a gap. Explicit expressions have been obtained for the Hall conductance for both large and small  $U/\hbar\omega$ 

Because of the relation between the velocity operator and the derivatives of H, the Kubo formula can be written as

$$\sigma_{\rm H} = \frac{ie^2}{A_0 \hbar} \sum_{\epsilon_{\alpha} < E_{\rm F}} \sum_{\epsilon_{\beta} > E_{\rm F}} \frac{(\partial \hat{H}/\partial k_1)_{\alpha\beta} (\partial \hat{H}/\partial k_2)_{\beta\alpha} - (\partial \hat{H}/\partial k_2)_{\alpha\beta} (\partial \hat{H}/\partial k_1)_{\beta\alpha}}{(\epsilon_{\alpha} - \epsilon_{\beta})^2}, \tag{4}$$

where  $A_0$  is the area of the system and  $\epsilon_{\alpha}, \epsilon_{\beta}$  are eigenvalues of the Hamiltonian. This can be related to the partial derivatives of the wave functions u, and gives

$$\sigma_{\rm H} = \frac{ie^2}{2\pi h} \sum \int d^2k \int d^2r \left( \frac{\partial u^*}{\partial k_1} \frac{\partial u}{\partial k_2} - \frac{\partial u^*}{\partial k_2} \frac{\partial u}{\partial k_1} \right)$$
$$= \frac{ie^2}{4\pi h} \sum \oint dk_j \int d^2r \left( u^* \frac{\partial u}{\partial k_j} - \frac{\partial u^*}{\partial k_j} u \right), \tag{5}$$

where the sum is over the occupied electron subbands and the integrations are over the unit cells in r and k space. The integral over the k-space unit cell has been converted to an integral around the unit cell by Stokes's theorem. For nonoverlapping subbands  $\psi$  is a single-valued analytic function everywhere in the unit cell, which can only change by an *r*-independent phase factor  $\theta$ when  $k_1$  is changed by  $2\pi/aq$  or  $k_2$  by  $2\pi/b$ . The integrand reduces to  $\partial \theta/\partial k_j$ . The integral is 2itimes the change in phase around the unit cell and must be an integer multiple of  $4\pi i$ .

The problem of evaluating this quantum number remains. We have considered the potential

$$U(x,y) = U_1 \cos(2\pi x/a) + U_2 \cos(2\pi y/b), \qquad (6)$$

both in the limit of a weak periodic potential ( $|U| \ll \hbar \omega_c$ ) and in the tight-binding limit of a strong periodic potential. In the weak-potential limit the wave function can be written as a superposition of the nearly degenerate Landau functions in

# Haldane Model

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PHYSICAL REVIEW LETTERS

31 OCTOBER 1988

#### Model for a Quantum Hall Effect without Landau Levels: Condensed-Matter Realization of the "Parity Anomaly"

F. D. M. Haldane

Department of Physics, University of California, San Diego, La Jolla, California 92093 (Received 16 September 1987)

A two-dimensional condensed-matter lattice model is presented which exhibits a nonzero quantization of the Hall conductance  $\sigma^{xy}$  in the *absence* of an external magnetic field. Massless fermions without spectral doubling occur at critical values of the model parameters, and exhibit the so-called "parity anomaly" of (2+1)-dimensional field theories.

PACS numbers: 05.30.Fk, 11.30.Rd

$$\mathbf{H}(\mathbf{k}) = 2t_2 \cos\phi \left[ \sum_i \cos(\mathbf{k} \cdot \mathbf{b}_i) \right] \mathbf{I} + t_1 \left[ \sum_i \left[ \cos(\mathbf{k} \cdot \mathbf{a}_i) \sigma^1 + \sin(\mathbf{k} \cdot \mathbf{a}_i) \sigma^2 \right] \right] + \left[ M - 2t_2 \sin\phi \left[ \sum_i \sin(\mathbf{k} \cdot \mathbf{b}_i) \right] \right] \sigma^3, \quad (1)$$





# Outline

Band topology theory

Topological insulator (TI) and

Topological Semimetal (TS): the topological metal in 3D

TS family

- Dirac semi-metal (DSM)
- Weyl semi-metal (WSM)
- Node-line semi-metal (NLSM)
- Triply-degenerate Nodal Point semi-metal (TDNP)

Review papers on topological quantum states from first-principles calculations Hongming Weng, Xi Dai and Zhong Fang, *MRS Bulletin* **39**, 849 (2014) Hongming Weng, Rui Yu, Xiao Hu, Xi Dai and Zhong Fang, *Adv. Phys.* **64**, 227 (2015) Hongming Weng, Xi Dai and Zhong Fang, J. Phys.: Condens. Matter **28**, 303001 (2016)

### Topology in real space

# WIKIPEDIA

#### I.Topological invariant

Number of holes enclosed by compact surface: genus



3D number of hole





s=2 s=1

ID number of knot

n=0

n=3

2D number of surface

### 2. Topological transition



Continuous deformation (adiabatic transformation) coffee mug? or donut?



#### Gauss–Bonnet theorem

$$\frac{1}{2\pi} \int_S K dA = 2(1-g)$$

S: compact surface K: Gauss curvature dA: element of area

### Insulator & Metal from Band Theory



 $\hat{H}(\vec{k})|u_{n,\vec{k}}(\vec{r})\rangle = E_n(\vec{k})|u_{n,\vec{k}}(\vec{r})\rangle$ 

What are hidden/ignored? Quantum geometrical phase revealed by M.V. Berry.

### Berry Phase and Wannier function



that is, the Berry phase  $\phi$  introduced earlier is nothing other than a measure of the location of the Wannier center in the unit cell. The fact that  $\phi$  was

ref: David Vanderbilt, Raffaele Resta: Quantum electrostatics of insulators - Polarization, Wannier functions, and electric fields

### Introduction to Berry Phase



A. Soluyanov, D. Vanderbilt, Phys. Rev. B **83**, 235401 (2011) Yu, R., Qi, X. L., Bernevig, B., Fang, Z. & Dai, X. Phys. Rev. B **84**, 075119 (2011).

### Introduction to Berry Phase

### **Generalized Berry connection**



Non Abelian Berry connection:

$$U_{i,i+1}^{nm}(k_y) = \left\langle u_{n,k_i} \left| u_{m,k_{i+1}} \right\rangle \right.$$

m,n=1,2

Define the D matrix as:

$$D(k_y) = U_{1,2}U_{2,3}U_{3,4}\cdots U_{N-1,N}U_{N,1}$$

The eigenvalues of D(k<sub>y</sub>) is 
$$e^{i\theta_n(k_y)}$$

n=1,2

A. Soluyanov, D. Vanderbilt, Phys. Rev. B **83**, 235401 (2011) Yu, R., Qi, X. L., Bernevig, B., Fang, Z. & Dai, X. Phys. Rev. B **84**, 075119 (2011).

### Berry Phase & Band Topology

 $\theta_n(k_v)$  is the center position of the n'th Wannier function.



A. Soluyanov, D. Vanderbilt, Phys. Rev. B **83**, 235401 (2011) Yu, R., Qi, X. L., Bernevig, B., Fang, Z. & Dai, X. Phys. Rev. B **84**, 075119 (2011).

Hongming Weng, R. Yu, X. Hu, X. Dai, Z. Fang, Adv. Phys. **64**, 227 (2015)

### Berry Phase & Band Topology

time-reversal symmetry makes  $\theta(k_v)$  is doubly degenerate at ky=0 and ky=  $\pi$ 



A. Soluyanov, D. Vanderbilt, Phys. Rev. B **83**, 235401 (2011) Yu, R., Qi, X. L., Bernevig, B., Fang, Z. & Dai, X. Phys. Rev. B **84**, 075119 (2011).

Hongming Weng, R. Yu, X. Hu, X. Dai, Z. Fang, Adv. Phys. **64**, 227 (2015)

### Wilson loop method for determining Topology of bands



#### 1D hybrid Wannier Center: x-direction only

$$P_x(k_y)=rac{1}{2\pi}\int_\pi^\pi A_x(k_x,k_y)dk_x=\gamma(k_y)/2\pi$$

Hongming Weng, Xi Dai and Zhong Fang, MRS Bulletin 39, 849 (2014)



### Magnetic Monopole & Band topology

 $\psi_{n\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}u_{n\mathbf{k}}(\mathbf{r})e^{i\phi_n(\mathbf{k})}$ 

$$\phi_n = \oint_C A(k) dk$$
  $\vec{A}(\vec{k})$ 
 $= \iint_{S(c)} \Omega_z(\vec{k}) dk^2$   $\vec{\Omega}(\vec{k})$ 

 $\int \rightarrow \rightarrow \rightarrow \rightarrow$ 

$$\vec{A}(\vec{k}) = \sum_{n} \langle n\vec{k} | \vec{\nabla}_{k} | n\vec{k} \rangle$$
$$\vec{\Omega}(\vec{k}) = \vec{\nabla}_{k} \times \vec{A}(\vec{k})$$

2D BZ





Gauss' theorem



number of monopole enclosed by momentum space  $\phi_n(\mathbf{k})$  winding number



winding number



# Magnetic Monopole & Band topology

### Insulator vs. Metal

#### **Gauss' theorem**



number of monopole enclosed by momentum space The adiabatic loop does not necessarily passing through the magnetic monopole.



Generalized from whole Brillouin zone in *insulators* to any closed manifold in crystal momentum space.

Z. Wang, Y. Sun, X. Chen, C. Franchini, G. Xu, H. Weng<sup>\*</sup>, Z. Fang<sup>+</sup> and X. Dai, Phys. Rev. B **85**, 195320 (2012) H. Weng, R. Yu, X. Hu, X. Dai, Z. Fang, Adv. Phys. **64**, 227(2015)

### State of matter from Band Topology

### Insulator:

#### ?Metal?



### **Recent Research Interests**

#### I. Explore new Topological Quantum States

Dirac Semimetal: Na<sub>3</sub>Bi (PRB'12, Science'14) Cd<sub>3</sub>As<sub>2</sub> (PRB'13, Nat.Mater.'14)

Weyl Semimetal: HgCr<sub>2</sub>Se<sub>4</sub> (PRL'11), TaAs (3xPRX'15, Nat. Phys.'15, PRL'15, Nat. Commun.'16)

Node-line Semimetal: 3D carbon crystal (PRB'15, PRL'16), Cu<sub>3</sub>PdN(PRL'15)

Triply-Degenerated-Nodal-Point semimetal: TaN (PRB'16), ZrTe (PRB'16)

#### 2. Understand new Topological Quantum Phenomena

CorrelatedSmB6(PRL'12, Nat. Commun.'14)TopologicalYbB6 & YbB12(PRL'14)

#### 3. Predict new Topological Materials

Ag<sub>2</sub>Te (PRL'11)

### Works employed OpenMX.

ZrTe<sub>5</sub>&HfTe<sub>5</sub> (PRX'14,PRX'16), MXene (PRB'15), ZrSiO (PRB'15) Large band-gap 2D TI

TIN(PRB'14)

#### Highly Efficient computational tools is the basis

- I, Local orbital base and pseudo-potential methods
- 2, Wannier function analysis
- 3, LDA++ methods: +Gutzwiller, +DMFT etc.
- 4, Material database

### Methodology

### I, Local orbital base and pseudo-potential methods



Advantage:

+ Quickly obtain electronic structure

- + from  $O(N^3)$  to O(N)
- + spin-orbit coupling

+ structural optimization &

molecular dynamic + non-collinear magnetism

+ structural code & easy to

be extended

Disadvantage:

+ cut-off appro. & basis completeness + pseudo-potential

#### http://www.openmx-square.org

### Methodology

### 2, Wannier function analysis



#### Advantage:

- + Intuitive picture;
- + accurate minimal basis;
- + highly efficient integration

#### Features of our code:

+ flexible projector; + symmetrized。



Hongming Weng,\* T. Ozaki, and K. Terakura, Phys. Rev. B 79, 235118 (2009)

Recent developments:

- Boundary state calculation: slab model & Green's function method
- 2. Spin texture
- 3. Wilson loop calculation
- 4. Parity calculation
- 5. Anomalous Hall Conductivity calcualtion

### **Dirac & Weyl Fermion**

Dirac Fermion (1928) 4x4

$$\begin{pmatrix} \hat{E} - c\boldsymbol{\sigma} \cdot \hat{\mathbf{p}} & 0\\ 0 & \hat{E} + c\boldsymbol{\sigma} \cdot \hat{\mathbf{p}} \end{pmatrix} \psi = mc^2 \begin{pmatrix} 0 & I_2\\ I_2 & 0 \end{pmatrix} \psi$$
$$E(k) = \pm \sqrt{k^2 + m^2}$$
Massless Dirac Fermion





(1929)

Weyl fermion 2x2

Massless Dirac Fermion

 $H(\vec{k}) = \vec{k} \cdot \vec{\sigma} = \begin{bmatrix} k_z & k_x - ik_y \\ k_x + ik_y & -k_z \end{bmatrix}$ 

Massive Dirac Fermion

#### Massless Dirac Fermion: two Weyl Fermions with opposite topological charges "kiss".

L. Balents, Weyl electrons kiss. Physics 4, 36 (2011).

X. Wan et al. Phys.Rev.B 83, 205101 (2011).

### Dirac & Weyl Semimetal

Transition state between TI and NI in 3D

S. Murakami et al. arXiv:1006.1188 Physica E 43, 748-754 (2011)

no Inversion symmetry

(a)

with Inversion symmetry



Fragile and hard to control.

3D metal with low energy exaction behaving the same as massless Dirac/Weyl fermion.

### Dirac Semimetal with Band Inversion



### Fermi arcs of WSM



Topview

Xiangang Wan et al. Phys.Rev.B 83,205101 (2011)

# Crystal structure of TaAs family



Space group  $I4_1md$  (No. 109)

Body-centered tetragonal (BCT) structure

Both Ta and As are at 4a Wyckoff position. (0,0,u) and  $u_{Ta}$ =0.0.

	a=b	С	u
TaAs	3.4348	11.641	0.417
TaP	3.3184	11.363	0.416
NbAs	3.4517	11.680	0.416
NbP	3.33242	11.37059	0.417

S. Furuseth, K. Selte and A. Kjekshus, Acta Chem. Scand. **19**, 95 (1965)

Hongming Weng<sup>\*</sup>, Chen Fang, Zhong Fang, A. Bernevig, Xi Dai, <u>http://arxiv.org/abs/1501.00060</u> posted on Dec. 31, 2014 and Published as Phys. Rev. X 5, 011029 (2015) in March, 2015.

a similar work from Princeton group http://arxiv.org/abs/1501.00755 posted on Jan. 5, 2015 and published as Nat. Commun. 6, 7373 (2015) in Jun. 2015

### Known properties of TaAs family



### Band structure of TaAs



Semimetal http://arxiv.org/abs/1411.2175

### 3D View



### Surface Fermi arcs



### Experimental verification

#### up to early of Apr. 2015 from arXiv.

1	2015arXiv:1502.00251	Tantalum Monoarsenide: an Exotic Compensated Semimetal
2	<u>2015arXiv:1502.03807</u>	Experimental realization of a topological Weyl semimetal phase with Fermi arc surface states in TaAs @Science on Jul. 16, 2015 from Princeton & Peking University
З	2015arXiv:1502.04361	Extremely large magnetoresistance and ultrahigh mobility in the topological Weyl semimetal NbP
4	<u>2015arXiv:1502.04684</u>	Discovery of Weyl semimetal TaAs @PRX on Jul. 16 from IOP, CAS
5	<u>2015arXiv:1503.01304</u>	Observation of the chiral anomaly induced negative magneto-resistance in 3D Weyl semi-metal TaAs @PRX on Jul. 20 from IOP, CAS
6	2015arXiv:1503.02630	Observation of the Adler-Bell-Jackiw chiral anomaly in a Weyl semimetal
7	2015arXiv:1503.07571	Magnetotransport of single crystalline NbAs
8	<u>2015arXiv:1503.09188</u>	Observation of Weyl nodes in TaAs @Nat. Phys. on Aug. 17 from IOP, CAS
9	<u>2015arXiv1504.01350</u>	Discovery of Weyl semimetal NbAs @Nat. Phys. on Aug. 17 from Princeton & Peking University

# Four hallmarks of Weyl semimetal observed in TaAs

1. "Chiral anomaly"— negative magnetoresistance

arXiv:1503.01304Observation of the chiral anomaly induced negative<br/>magneto-resistance in 3D Weyl semi-metal TaAsIOP, CAS grouparXiv:1503.02630Observation of the Adler-Bell-Jackiw chiral anomaly in a Weyl semimetalPU&PKU groupFermi arCs

arXiv:1502.03807 Experimental realization of a topological Weyl semimetal phase with Fermi arc surface states in TaAs PU&PKU group Discovery of Weyl semimetal TaAs IOP, CAS group

3. Bulk Weyl nodes

2.

arXiv:1502.03807Experimental realization of a topological Weyl semimetal phase with Fermi arc surface states in TaAsarXiv:1503.09188Observation of Weyl nodes in TaAsIOP, CAS groupPU&PKU group

4. Spin texture of Fermi arc

arXiv:1510.07256 Observation of spin texture of Fermi arc of TaAs IOP, CAS group

# Breakthrough & Highlight of 2015

#### Weyl fermions are spotted at long last

To Zahid Hasan of Princeton University, Marin Soljačić of MIT, and Zhong Fang and Hongming Weng of the Chinese Academy of Sciences, for their pioneering work on Weyl fermions. These massless particles were predicted by the German mathematician Hermann Weyl in 1929. Working independently, a team led by Hasan, and another led by Fang and Weng, spotted telltale evidence







A New Massless Fermion

Weng, Fang, et al., PRB 93, 241202(R) (2016)



		Е	C2	2C3	2C6	3IC2`	3IC2"
G1	A1	1	1	1	1	1	1
G2	A2	1	1	1	1	-1	-1
G3	B1	1	-1	1	-1	1	-1
G4	B2	1	-1	1	-1	-1	1
G5	E1	2	-2	-1	1	0	0
G6	E2	2	2	-1	-1	0	0
G7	E1/2	2	0	1	/3	0	0
G8	E5/2	2	0	1	-/3	0	0
G9	E3/2	2	0	-2	0	0	0

Na<sub>3</sub>Bi  $\Gamma$ -A C6v



group	C3v			
_		Е	2C3	3IC2
G1	A1	1	1	1
G2	A2	1	1	-1
G3	Е	2	-1	0
<b>G4</b>	E1/2	2	1	0
G5	1E3/2	1	-1	i
G6	2E3/2	1	-1	-i
	group G1 G2 G3  G4 G5 G6	group C3v G1 A1 G2 A2 G3 E  G4 E1/2 G5 1E3/2 G6 2E3/2	group C3v E G1 A1 1 G2 A2 1 G3 E 2 G4 E1/2 2 G5 1E3/2 1 G6 2E3/2 1	group C3v E 2C3 G1 A1 1 1 G2 A2 1 1 G3 E 2 -1 





#### Winding number 2 for spin on the Fermi surface

(100) surface





В



B//c



Protected by C<sub>3</sub>

Chiral anomaly

Helical anomaly



(a)

Weng, Fang, et al. arXiv:1605.05186





Weng, Fang, et al. arXiv:1605.05186



(Color online) ZrTe (100) surface state with its band structure weighted

Weng, Fang, et al. arXiv:1605.05186



Weng, Fang, et al. arXiv:1605.05186





# **Topological Semimetal Family**





### Thank you for your attention !