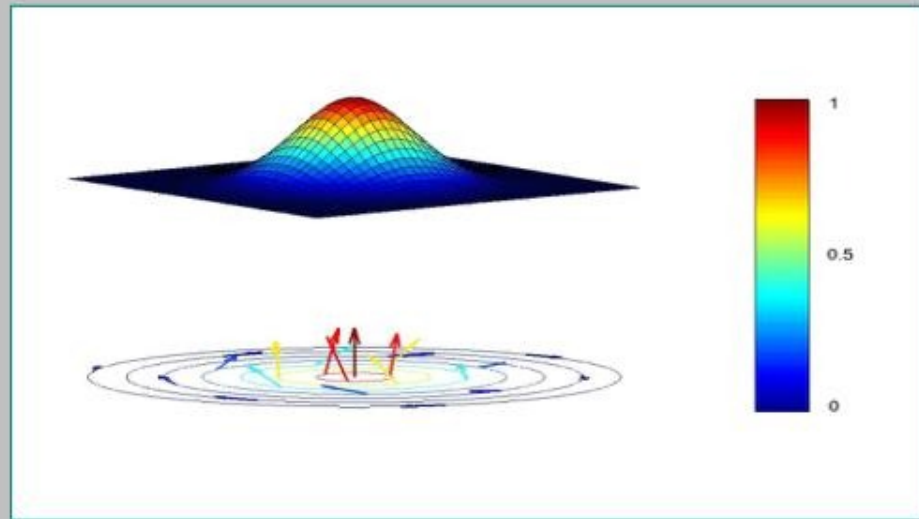


# ***SO(5) Theory of High Tc Superconductivity***

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## *Collaborators*

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Arovas, D. Scalapino, H. Kohno, ...



# Outline

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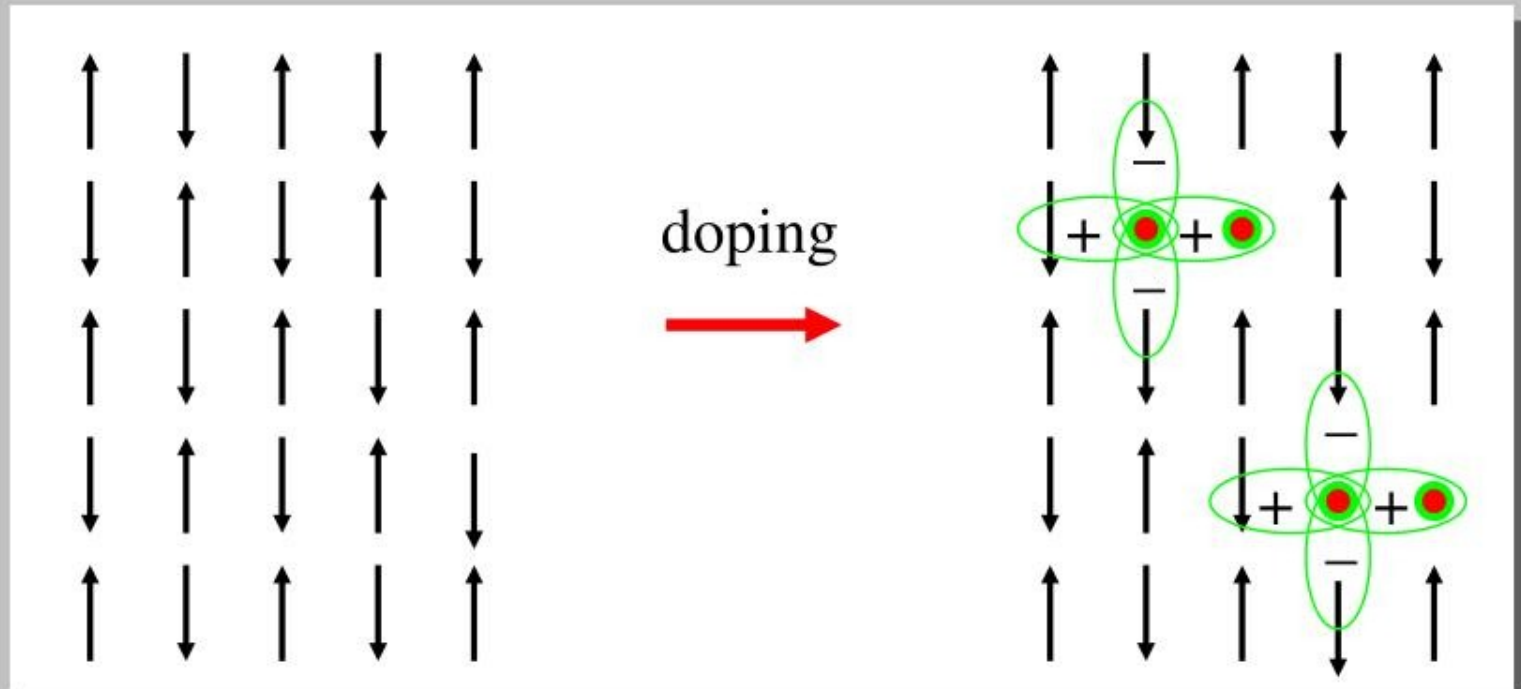
- **Introduction to high  $T_c$  superconductivity and SO(5) theory**
  - The central question: AF & dSC
- **T-J model and the pSO(5) model**
  - LG theory not sufficient.
- **Comparison with numerical results**
  - AF/SC coexistence state, multiplets, phase diagram
- **Experimental consequences**
  - AF vortex core, phase diagram...
- **Conclusions**



# Microscopic models of high $T_c$

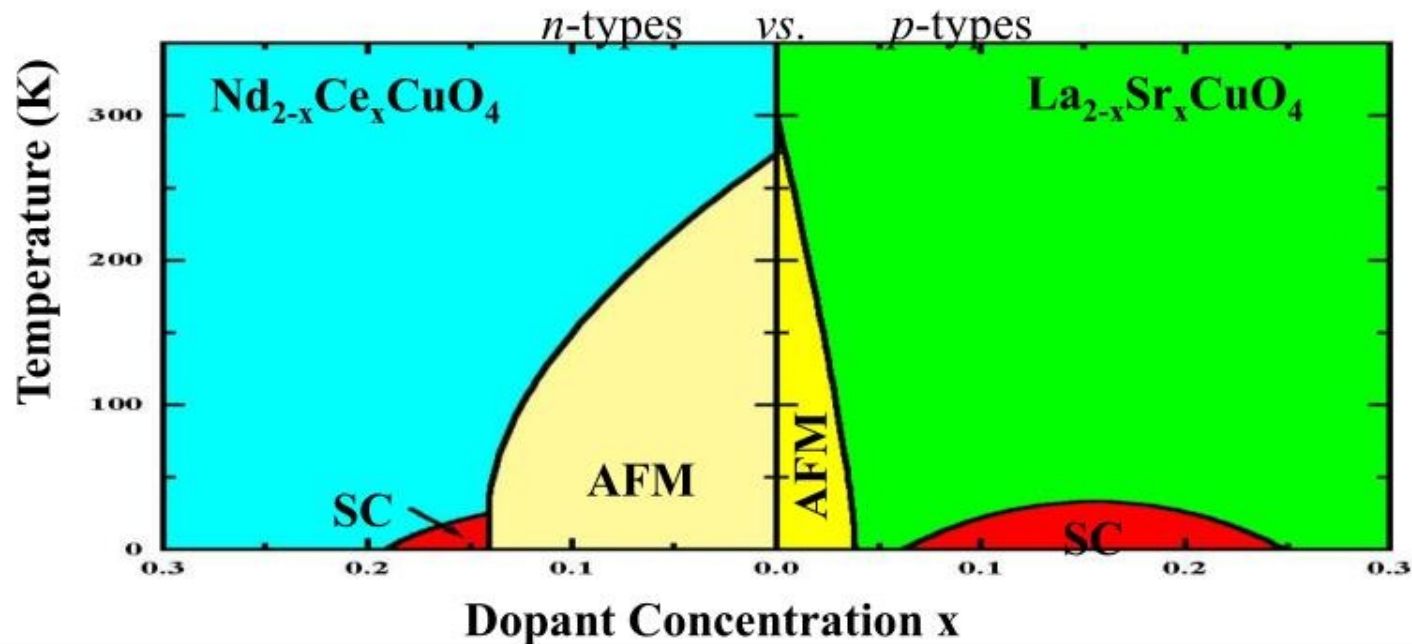
- **t-J model of spins and holes**

$$H = -t \sum_{\langle i,j \rangle} c_{\sigma}^{\dagger}(i) c_{\sigma}(j) + J \sum_{\langle i,j \rangle} S^{\alpha}(i) S^{\alpha}(j)$$



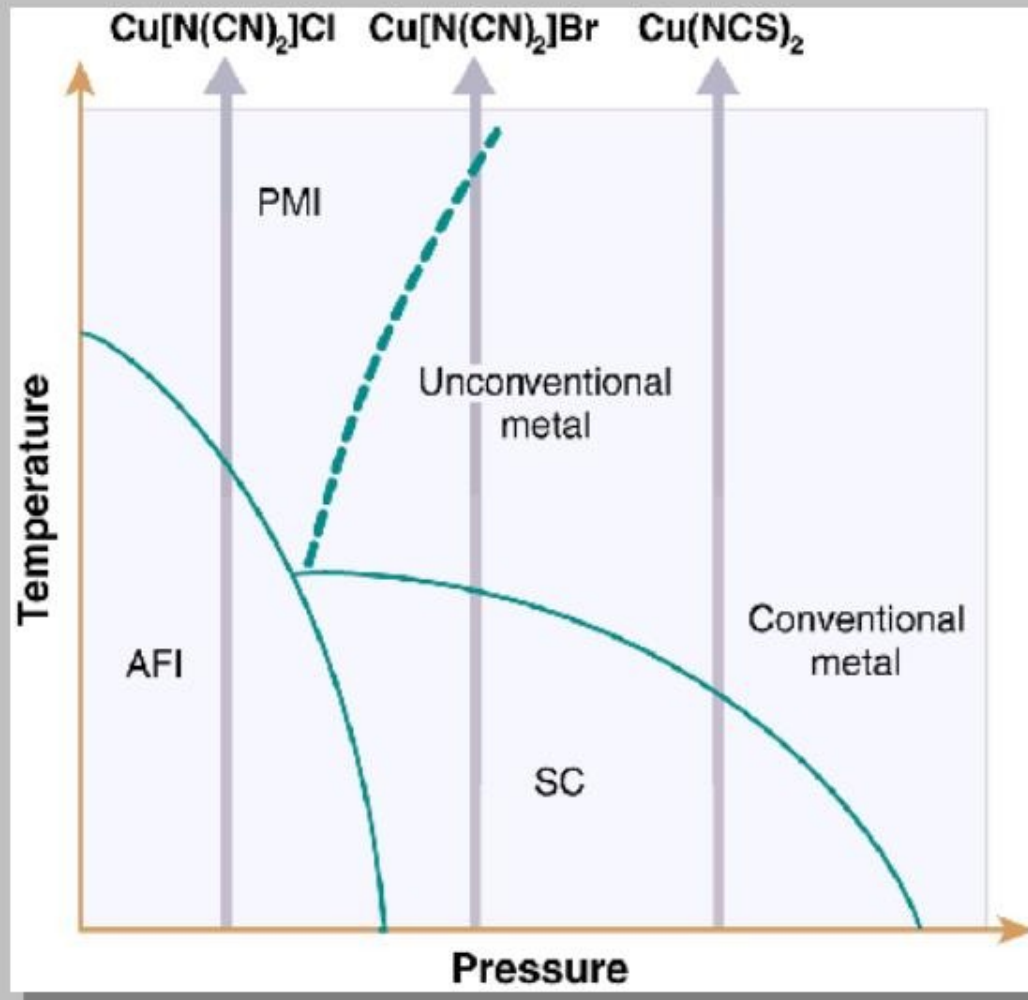
## Fundamental questions

- What is the relationship between AF and SC?
  - How do we understand the phase diagram?
  - Does AF lead to SC pairing?





## Phase diagram of the $\kappa$ -bedt salt



## *SO(5) order parameters*

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- **AF order parameter**

$$N_i = (N_x, N_y, N_z) = \sum_k c_{Q+k}^+ \sigma_i c_k, \quad Q = (\pi, \pi)$$

- **SC order parameter**

$$\Delta_i = (\text{Re } \Delta, \text{Im } \Delta), \Delta = \sum_k g(k) c_{k\uparrow} c_{-k\downarrow}, g(k) = \cos k_x - \cos k_y$$

- **SO(5) superspin order parameter**

$$n_a = (\text{Re } \Delta, N_x, N_y, N_z, \text{Im } \Delta)$$



## *SO(5) algebra*

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- **The  $\pi$  operators:**

$$\pi_i = \sum_k g(k) c_{Q+k} \sigma_i \sigma_y c_{-k}$$

- **The  $SO(5)$  algebra:**

$$[L_{ab}, L_{cd}] = i\delta_{ac} L_{bd} + \text{perm.}$$

$$L_{ab} = \begin{pmatrix} 0 & & & & \\ \text{Re } \pi_x & 0 & & & \\ \text{Re } \pi_y & -S_z & 0 & & \\ \text{Re } \pi_z & S_y & -S_x & 0 & \\ Q & \text{Im } \pi_x & \text{Im } \pi_y & \text{Im } \pi_z & 0 \end{pmatrix}$$





## *SO(5) effective field theory*

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- **Quantum rotor model:**

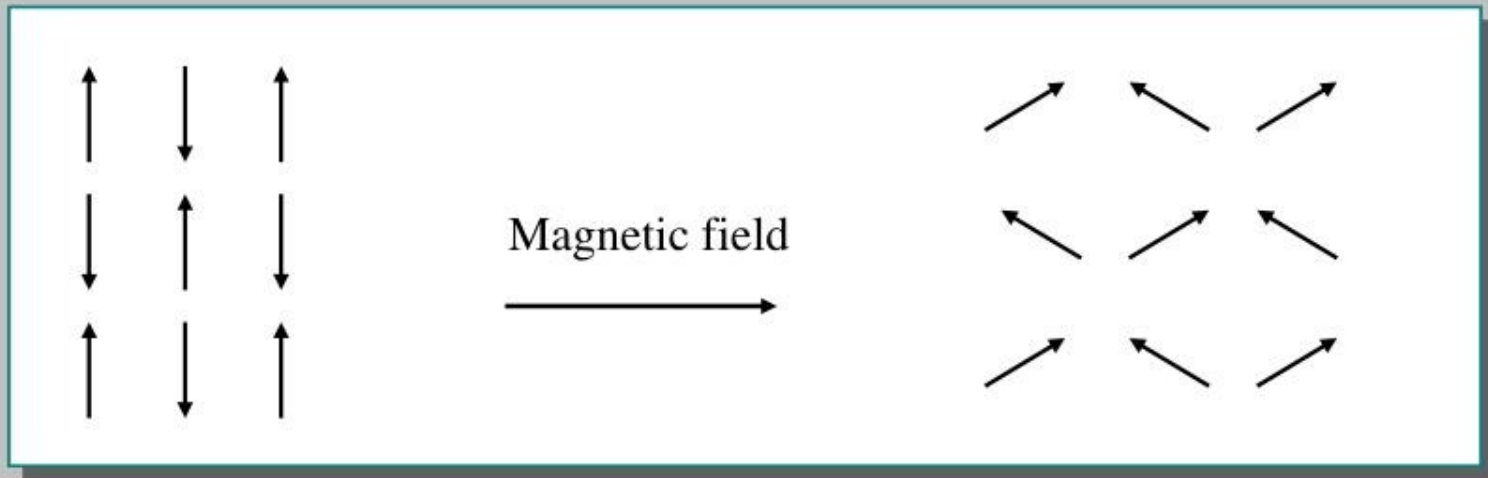
$$H = \frac{1}{2\chi} \sum_i L_{ab}^2(i) + \frac{\rho}{2} \sum_{\langle i,j \rangle} n_a(i)n_a(j) - g \sum_i (n_2^2 + n_3^2 + n_4^2) - \mu \sum_i Q(i)$$

- **g** term describe the anisotropy in **SO(5)** space, the chemical potential  $\mu$  term describe the effect of doping. These two terms compete with each other.

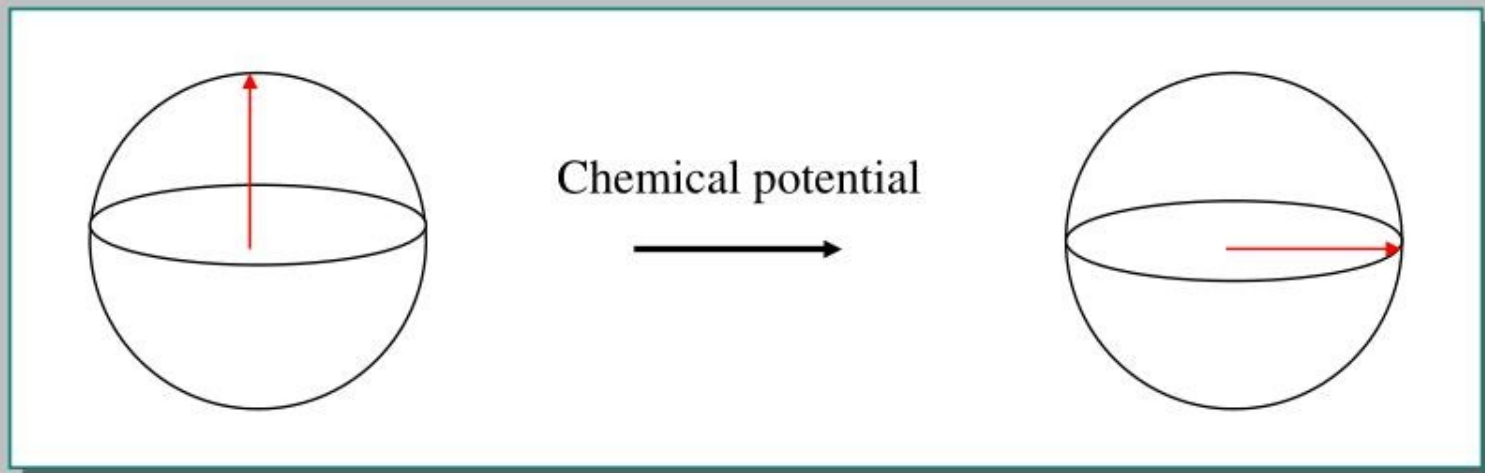


# *The superspin flop transition*

- **Easy axis AF to easy plane AF transition**

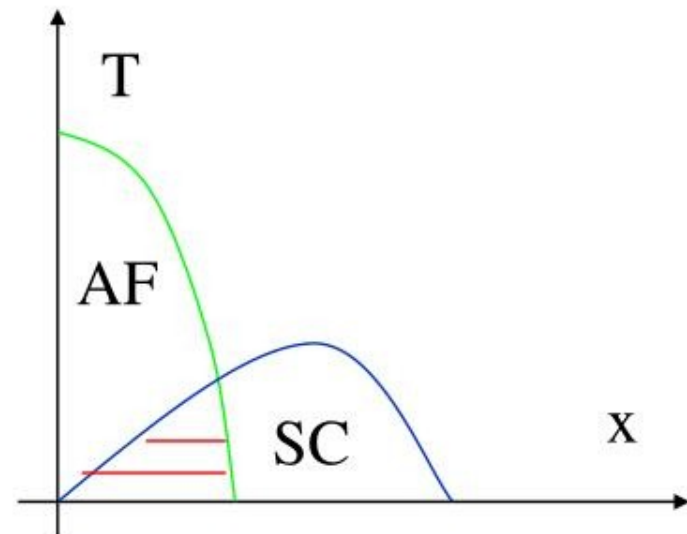
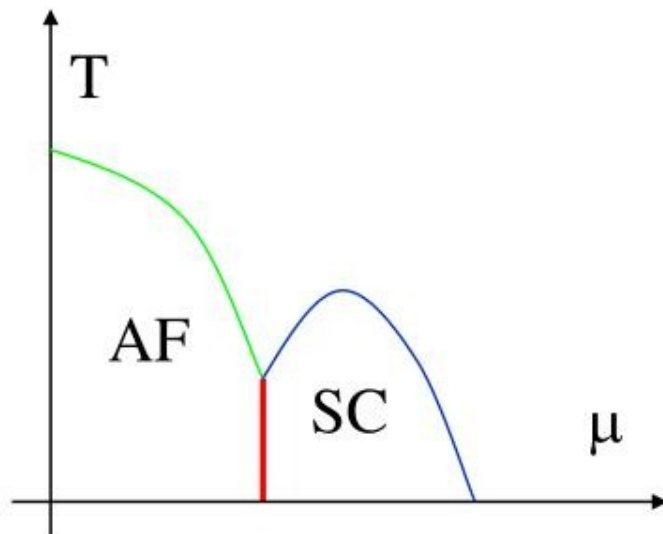


- **AF to SC transition**



## *Phase diagram of the SO(5) theory*

- **SO(5) phase diagram predicts**
  - SO(5) bicritical point
  - Coexistence of AF and SC as a function of  $x$
  - Pseudogap = preformed SO(5) superspin

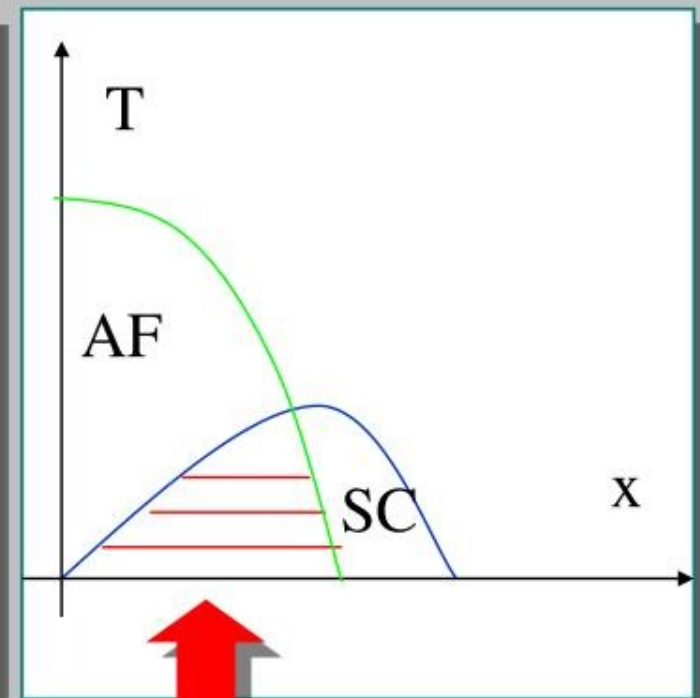


## *Phase separation vs uniform mix state*

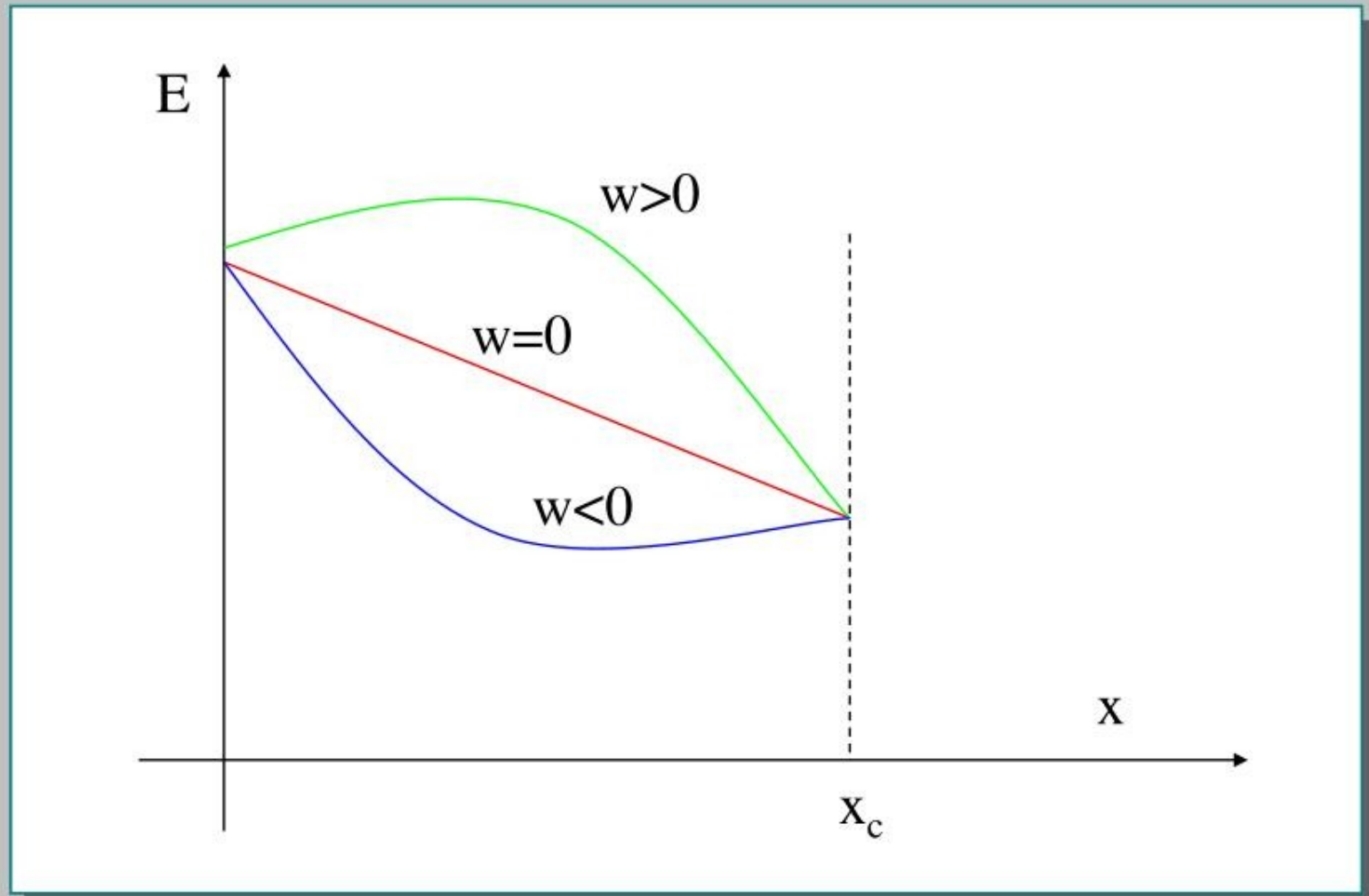
- **General form of the free energy:**

$$F \propto a\Delta^2 + bN^2 + u(\Delta^2 + N^2)^2 + w\Delta^2 N^2$$

- For  $w > 0$ , (type 1) phase separation or stripes.  $\Rightarrow$  LSCO
- For  $w < 0$ , (type 2) uniform mix phase.  $\Rightarrow$  YBCO
- $w = 0$ , (type 1.5)  $\Rightarrow$  SO(5)
- Since LSCO and YBCO are not very different,  $w$  must be close to zero.  $\Rightarrow$  SO(5) symmetric point!



## *Doping dependence of ground state energy*

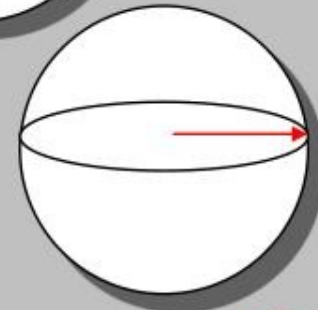
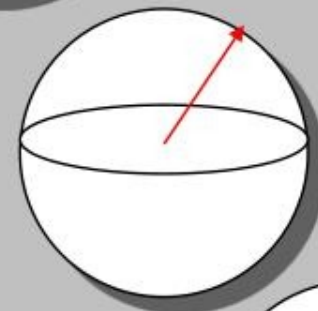
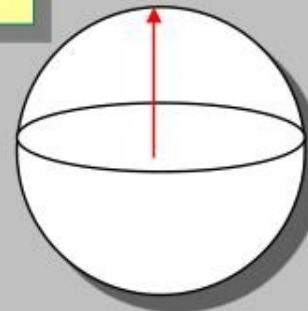
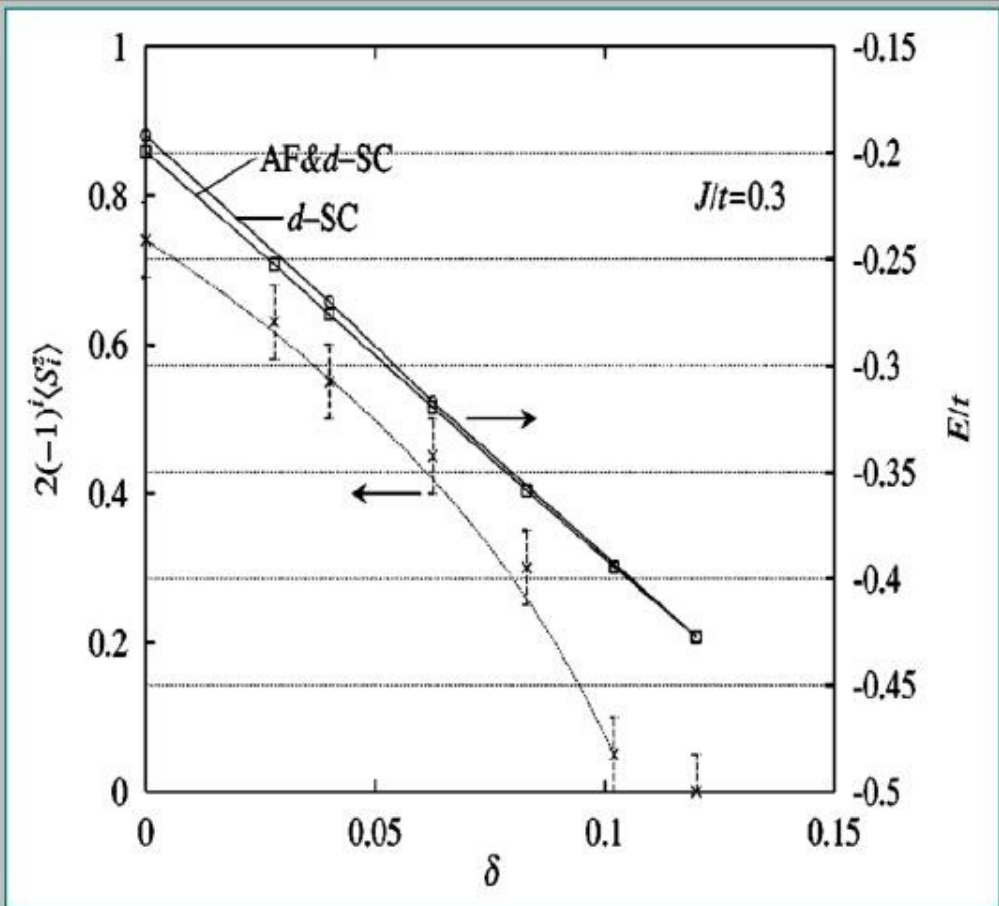




# Microscopic evidence of $SO(5)$

Himeda and Ogata 1999

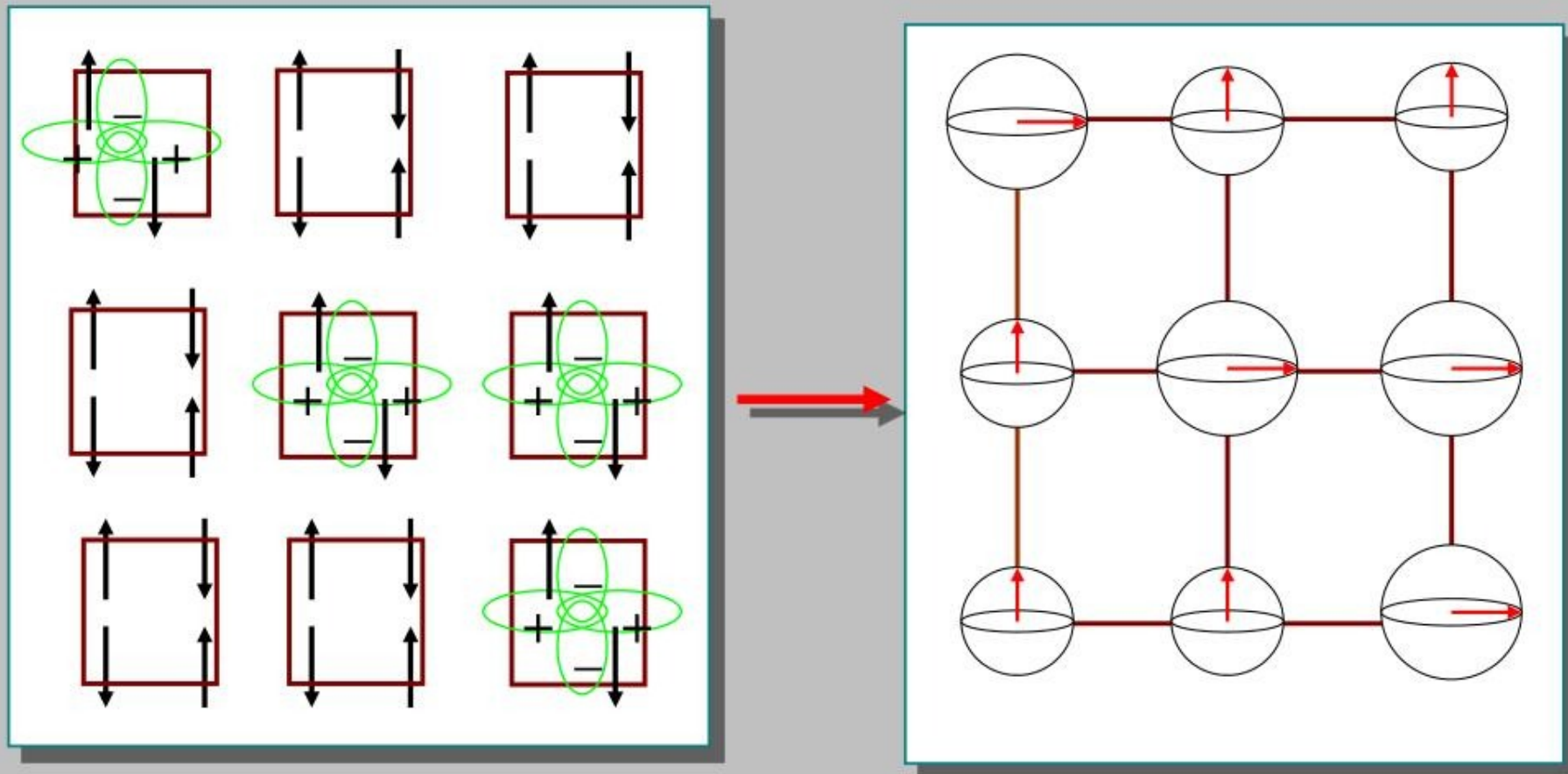
$$|\Psi\rangle = P_d P_N |\Delta_{dSC}, \Delta_{AF}, \mu\rangle$$



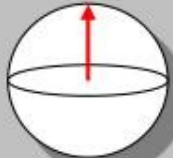
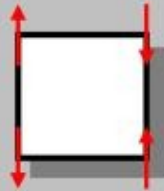
# From the $t$ - $J$ model to the $SO(5)$ model

Zhang et al, Altman and Auerbach

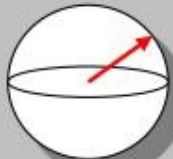
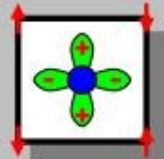
- one step real space RG



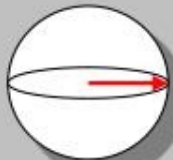
# States on a plaquette



$$(\cos\theta + \sin\theta t_\alpha^+) |\Omega\rangle$$



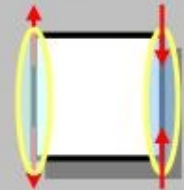
$$(\cos\theta + \sin\theta (\cos\alpha t_\alpha^+ + \sin\alpha t_h^+)) |\Omega\rangle$$



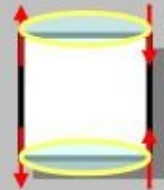
$$(\cos\theta + \sin\theta t_h^+) |\Omega\rangle$$



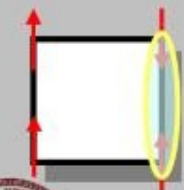
Represents hole density



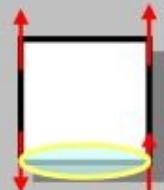
-



$$|\Omega\rangle$$



-



$$t_\alpha^+ |\Omega\rangle$$



-



$$t_h^+ |\Omega\rangle$$



## Projected $SO(5)$ model

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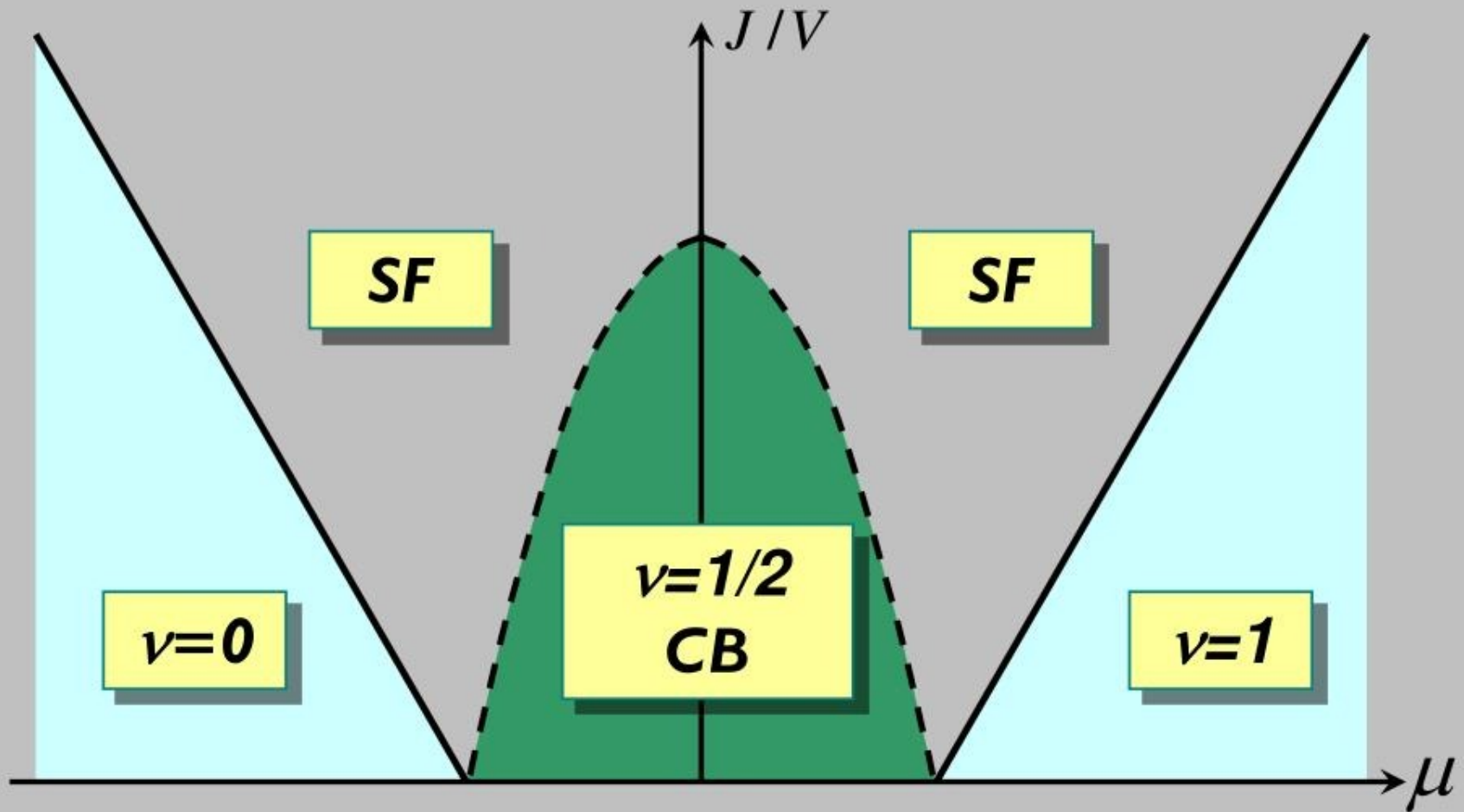
$$H = \Delta_s \sum_x t_\alpha^+ t_\alpha + (\Delta_c - \mu) \sum_x t_h^+ t_h + J_s \sum_{xy} n_\alpha(x) n_\alpha(y) \\ + J_c \sum_{xy} t_h^+(x) t_h(y) + c.c + V_1 \sum_{xy} \rho(x) \rho(y) + V_2 \sum_{xy'} \rho(x) \rho(y')$$

- Each site on the  $SO(5)$  model represents a  $2 \times 2$  square in the real lattice.
- **Competition:** Magnon and hole pair kinetic energies  $J_s$  and  $J_c$  favor uniform phases. Coulomb interactions  $V_1$  and  $V_2$  favor checkerboard charge ordering.
- If we ignore the magnetic degree of freedom, this reduces to a hardcore boson model, with well-understood phase diagram.





# Phase diagram of the $pSO(5)$ model: Charge sector

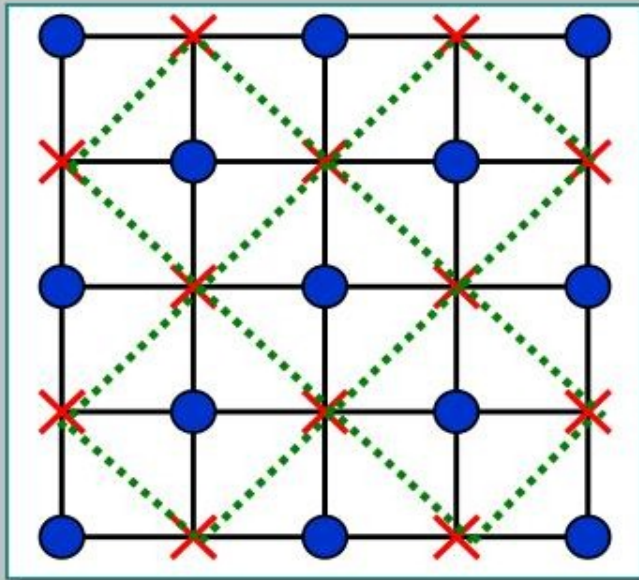


**SF = Superfluid    CB = Checkerboard**

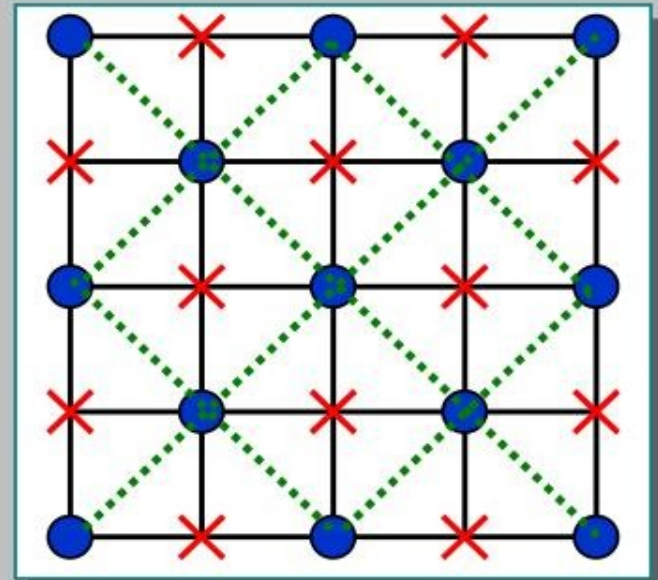
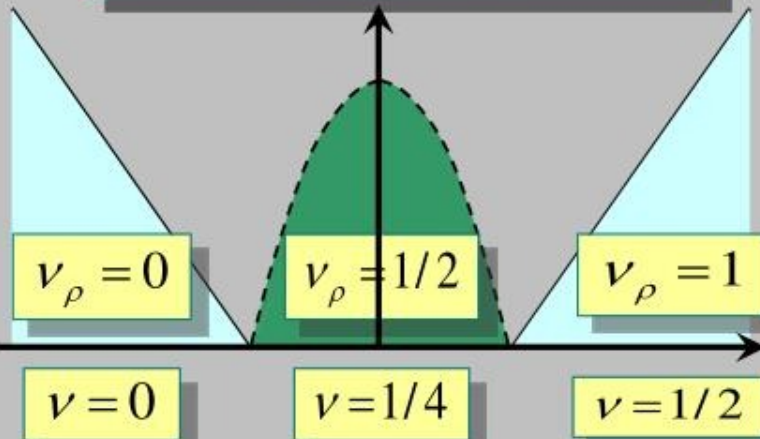




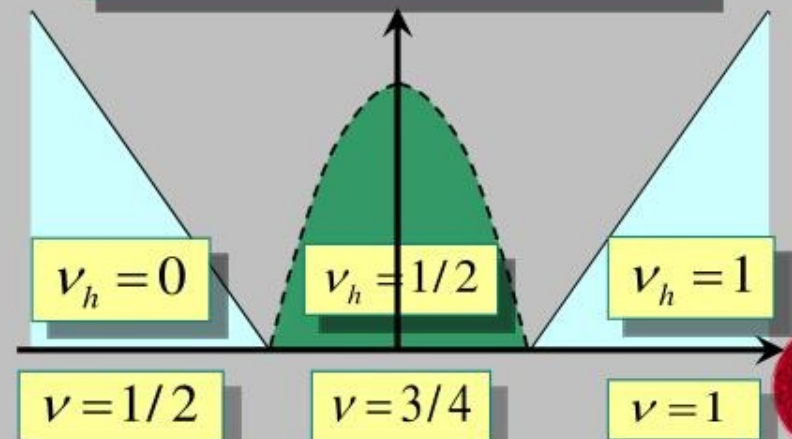
# Superlattice and Quarter Filling



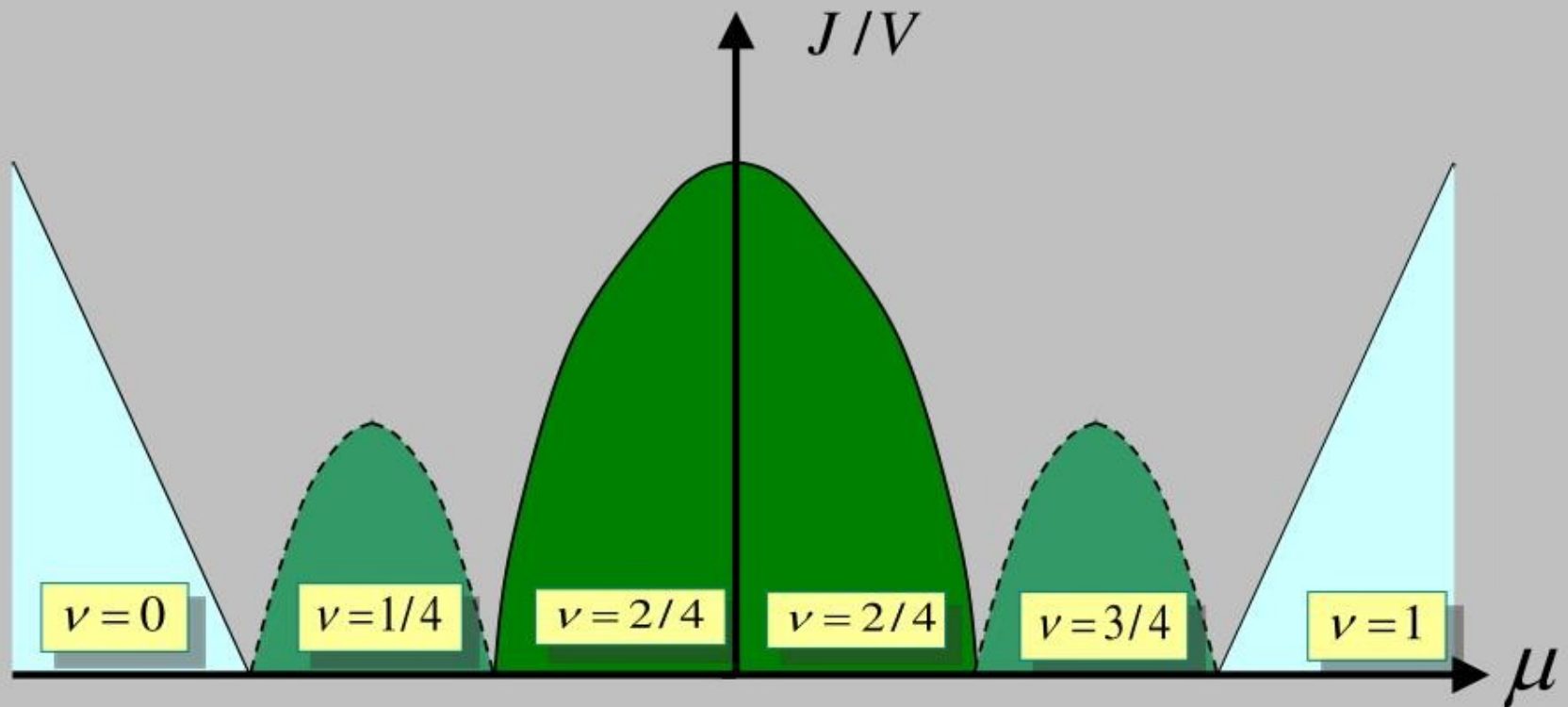
Particle superlattice interpolates between  $n = 0$  &  $n = 1/2$



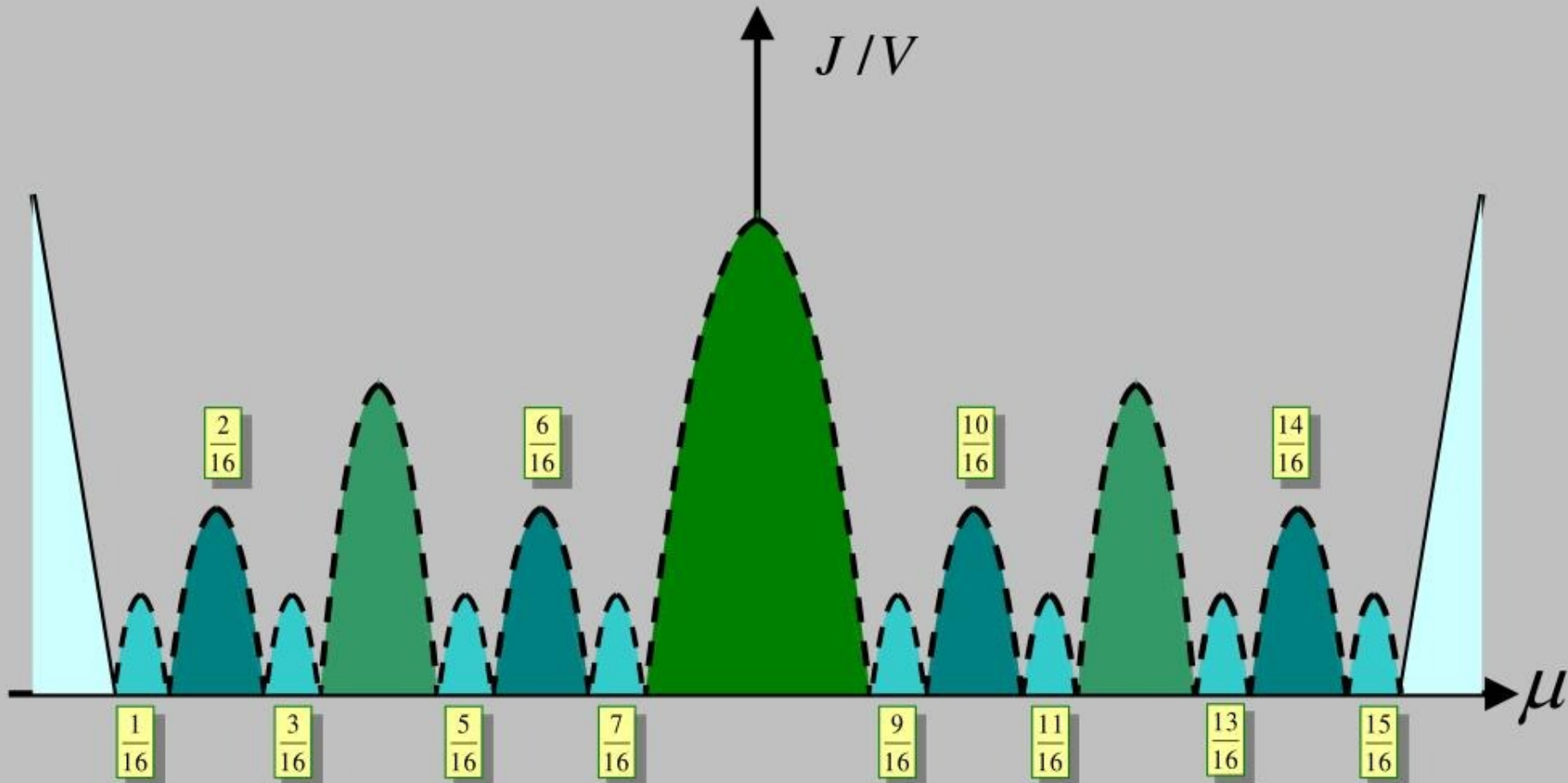
Hole superlattice interpolates between  $n = 1/2$  &  $n = 1$



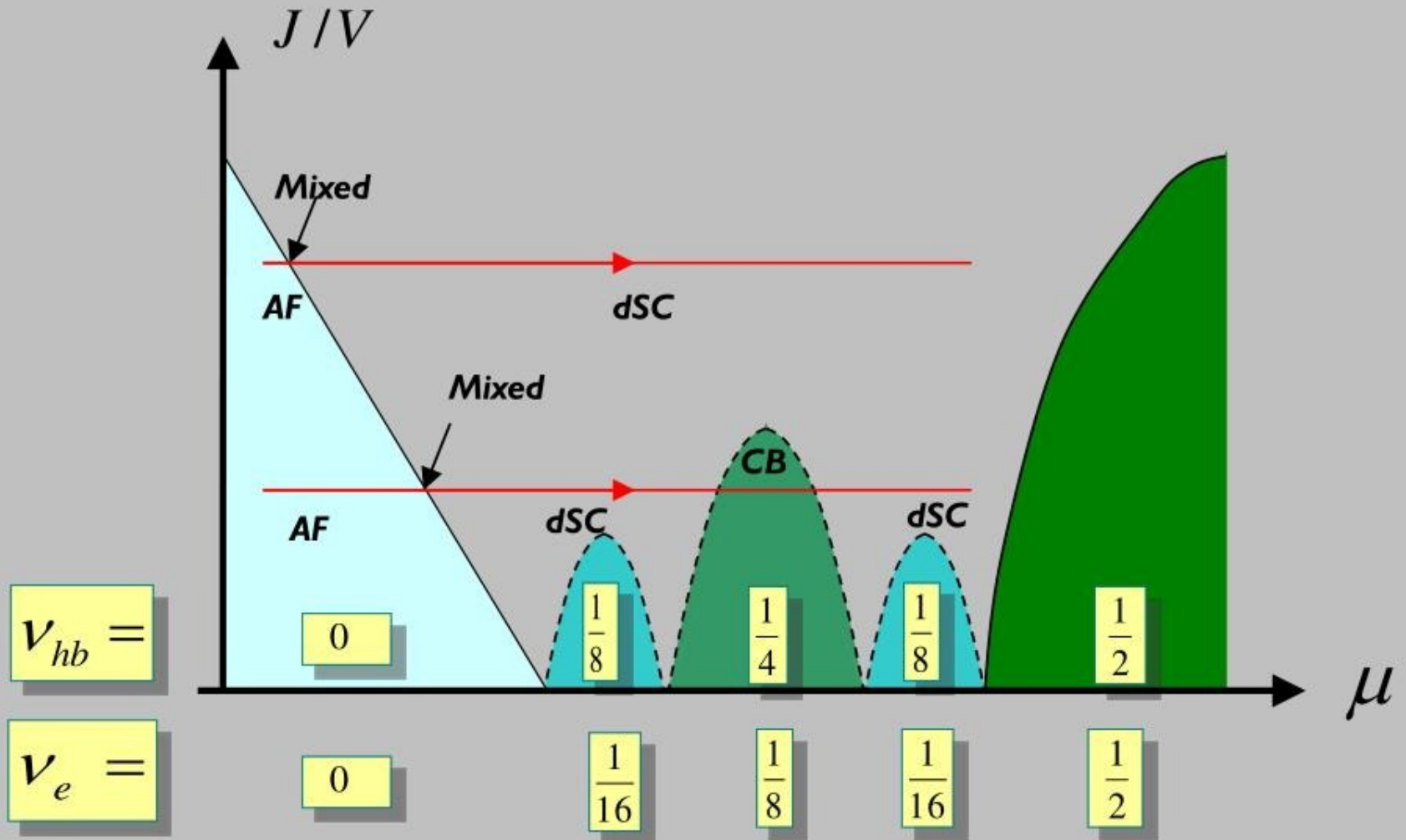
## Combine $n=1/2$ CB State



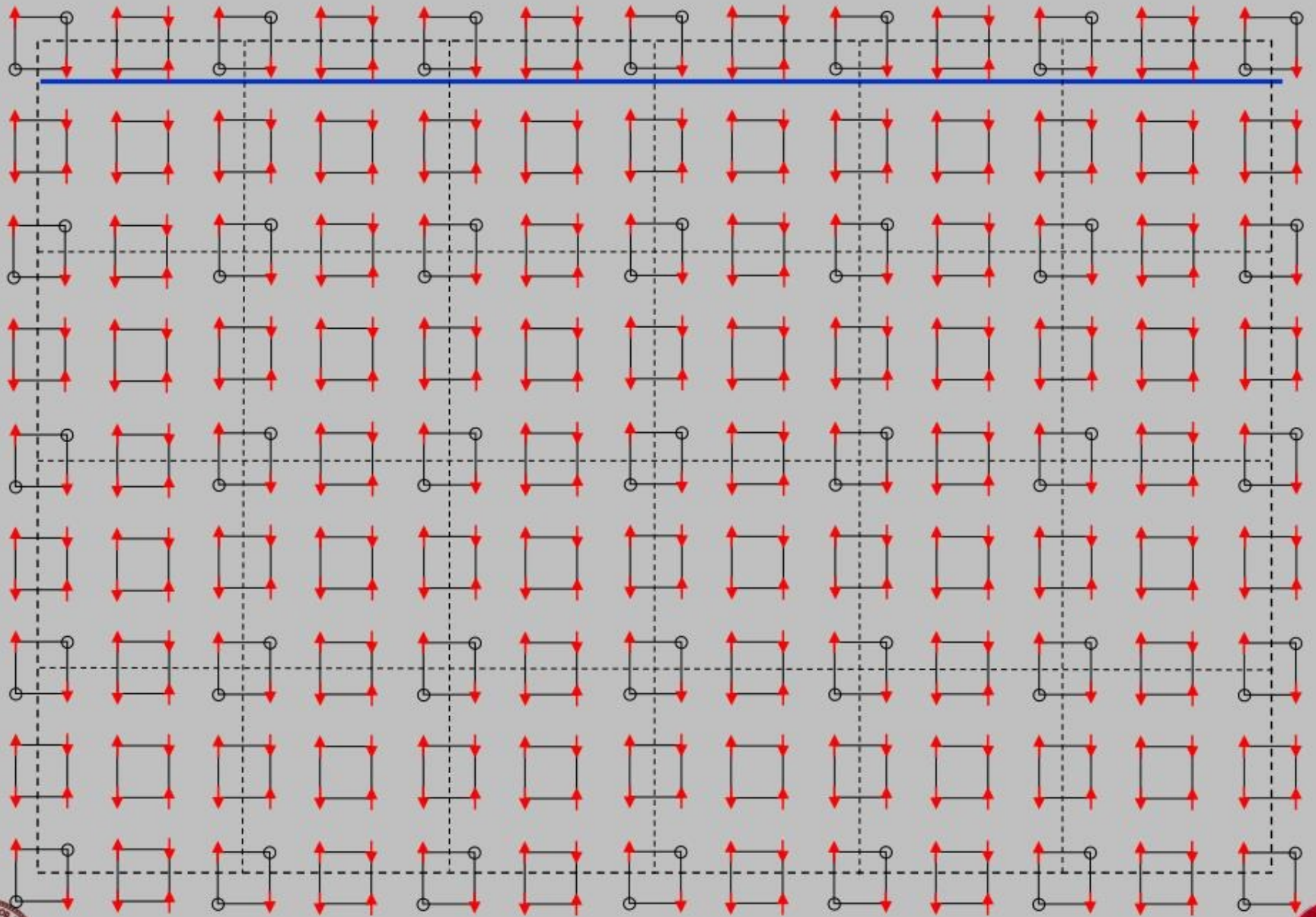
# Global Phase diagram



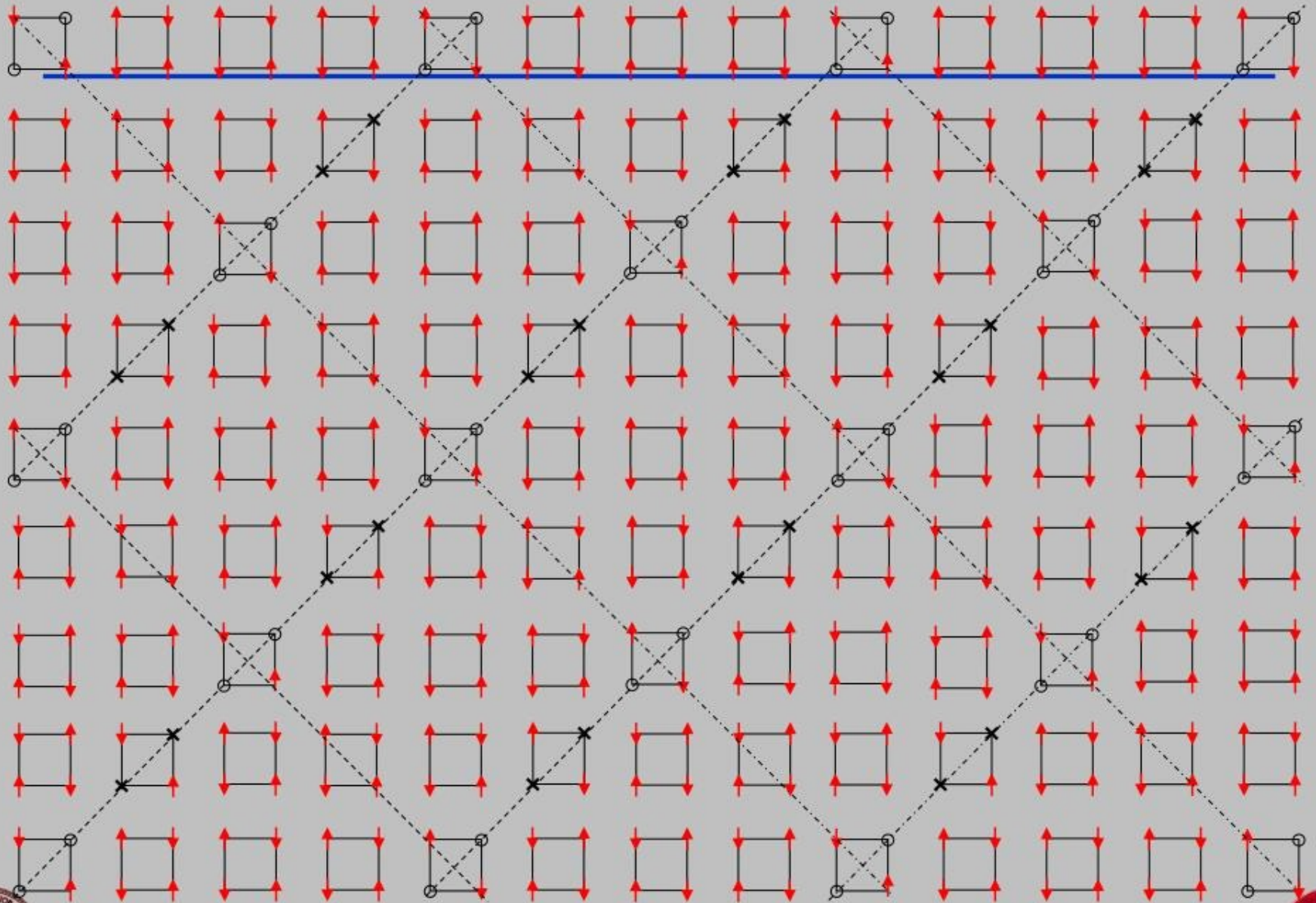
# Different Types of Behavior of High $T_c$





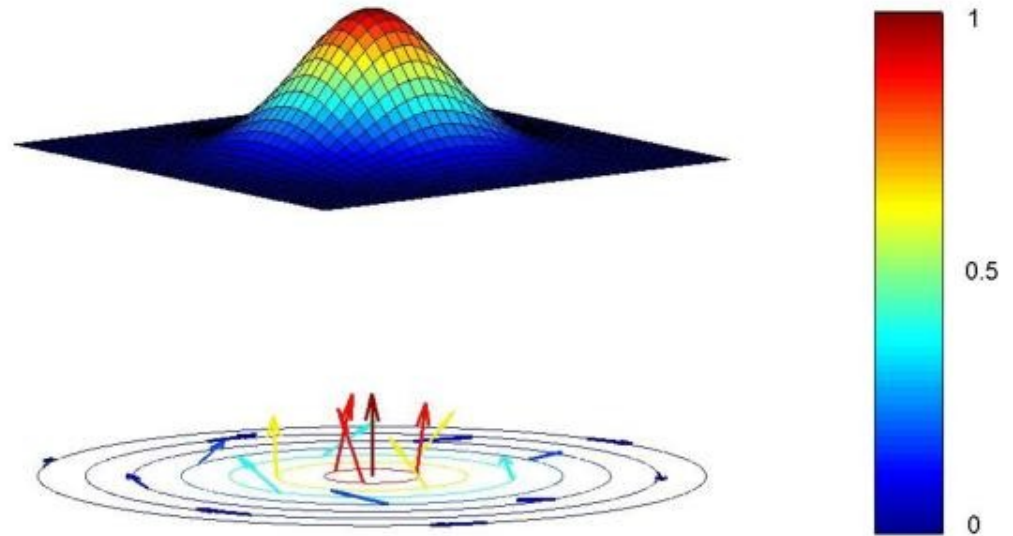






# *SO(5) prediction of the AF vortex state*

- **Rotation of the superspin as the center of the vortex core is approached**
  - Field induced AF moment is proportional to the applied B field.
  - We can tune a new knob, the magnetic field, to study Mott insulator to SC transition.
  - Theoretical prediction first confirmed by the numerical calculations on the t-J model.



## *Experimental evidence of the AF vortex state*

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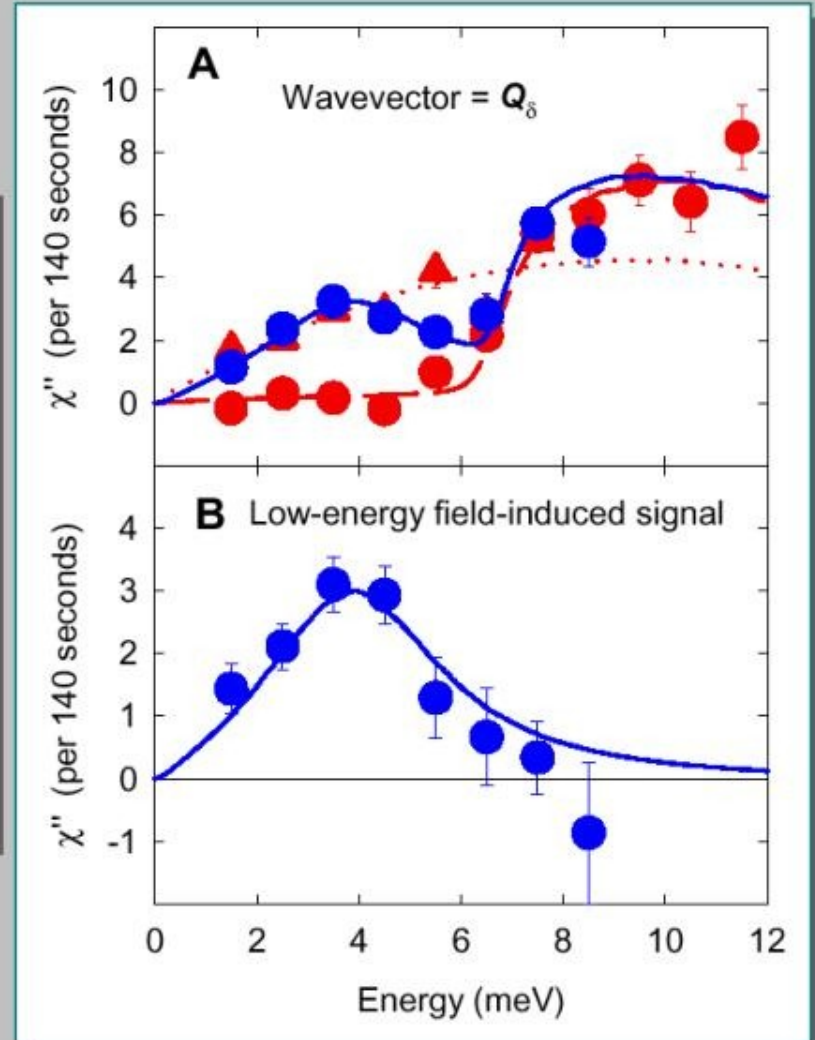
- **Neutron scattering in LSCO**
  - Field induced moment is proportional to the field
- **$\mu$ SR in underdoped YBCO**
  - Staggered magnetic field of 18 Gauss from the vortex core centers
- **NMR in optimally doped YBCO and TIBCO, under high magnetic field**
  - Increases in  $1/T_1$  rate *inside* the vortex core
- **STM measurement of the four unit cell checkerboard pattern around the vortex core**





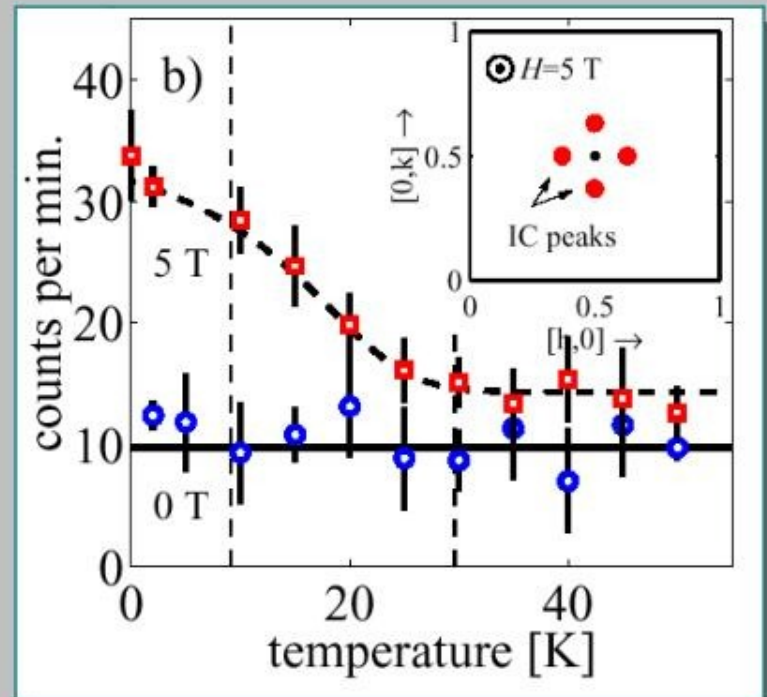
## *Exp. observation of the AF vortex core*

- **Recent experiments by Lake, Aeppli et al observed slow AF fluctuations in the vortex core, in optimally doped LaSrCuO.**
- **Static AF moments in underdoped LaSrCuO.**



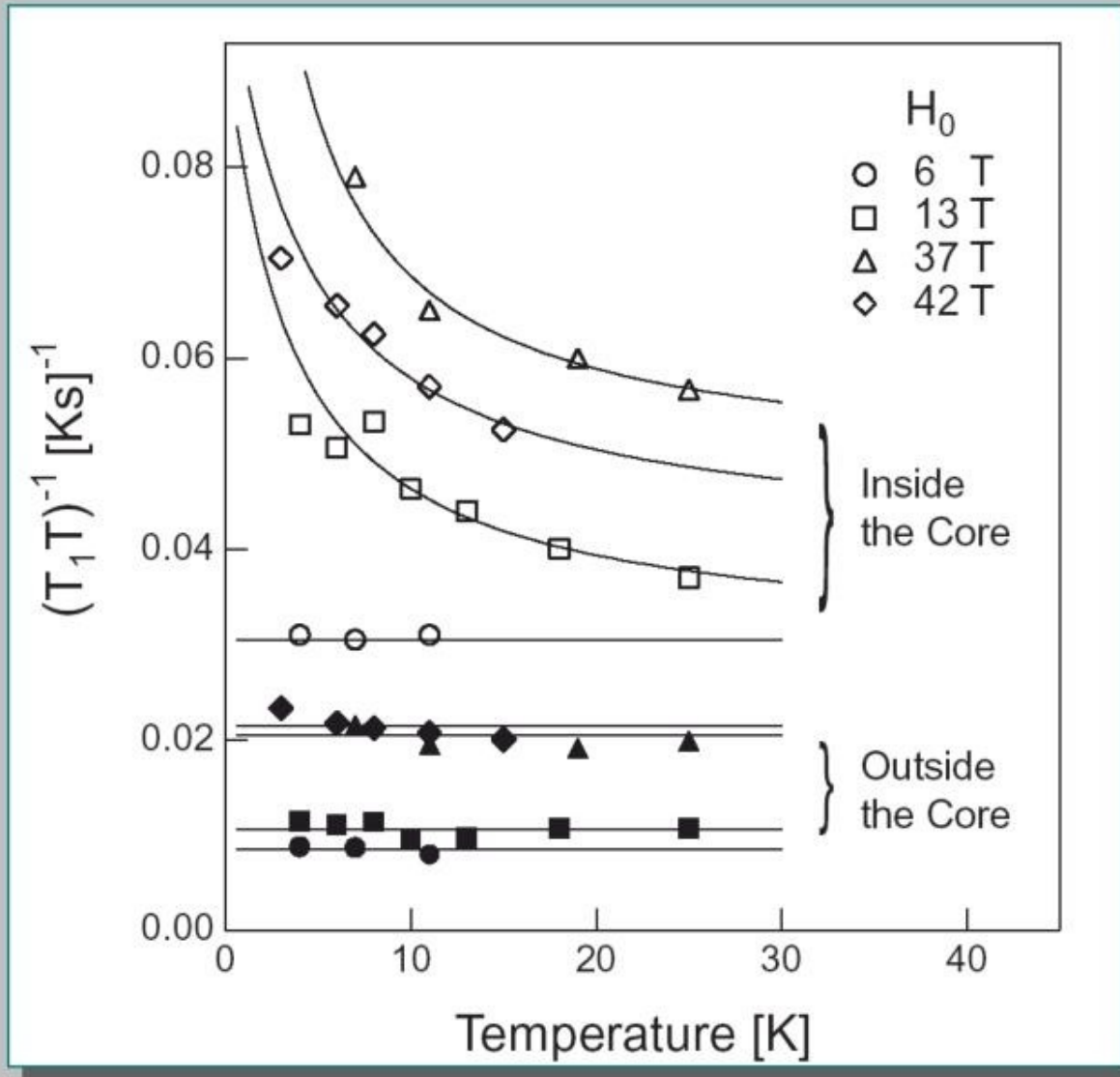
## Neutron scattering on AF vortex core

- **Significant increase of the static AF moment in the vortex state is observed in the underdoped LaSrCuO with  $x=0.10$ .**

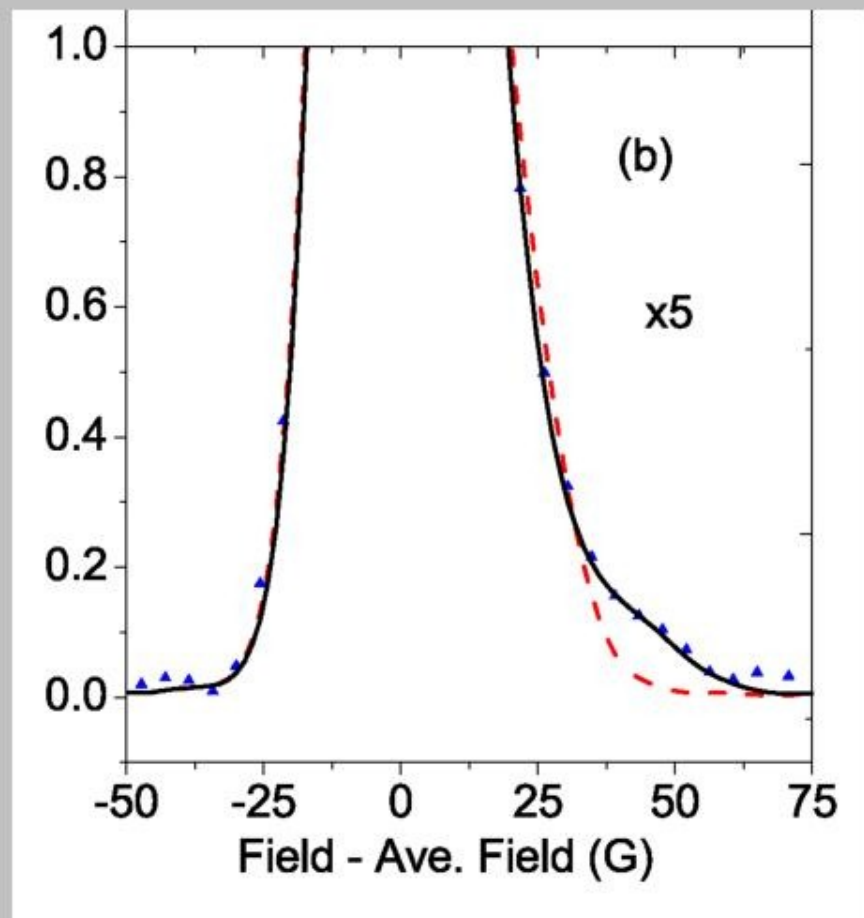
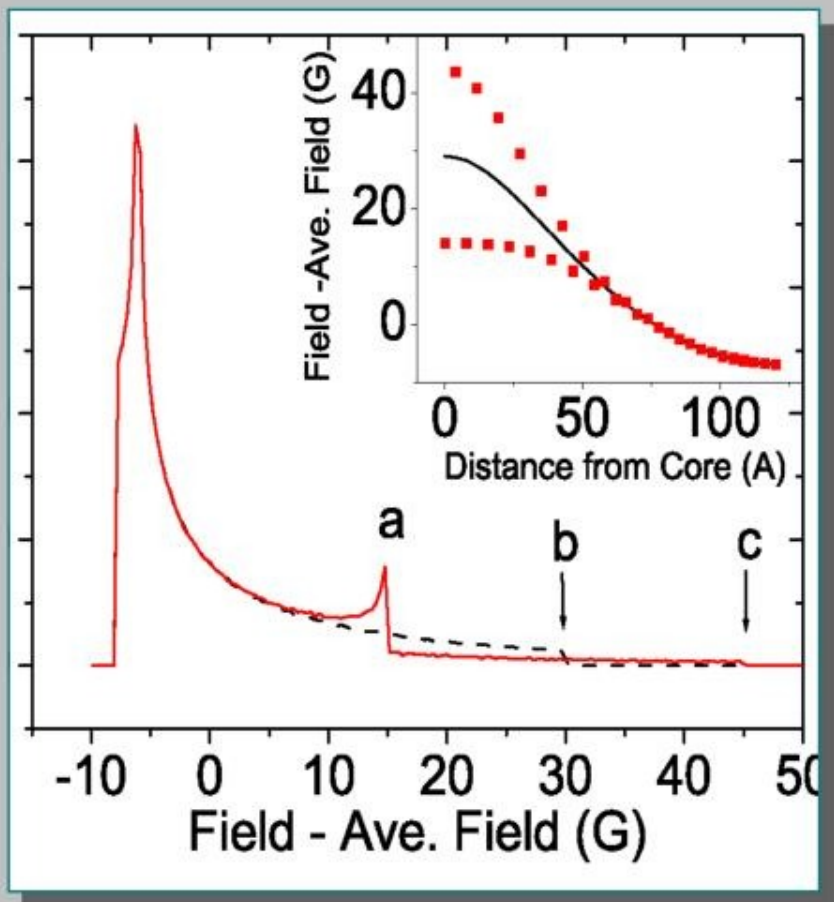




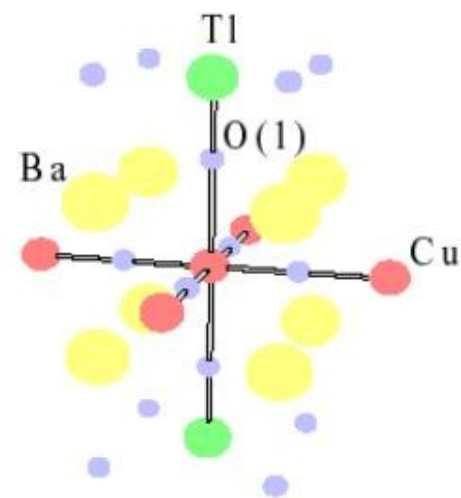
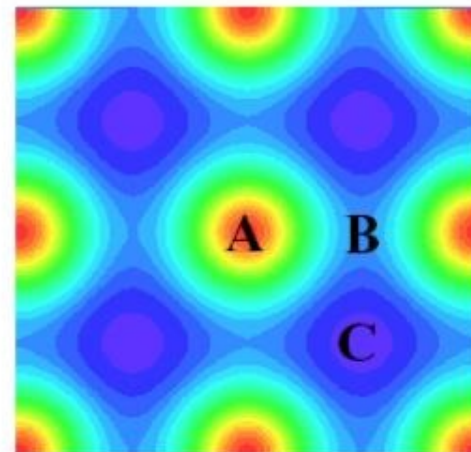
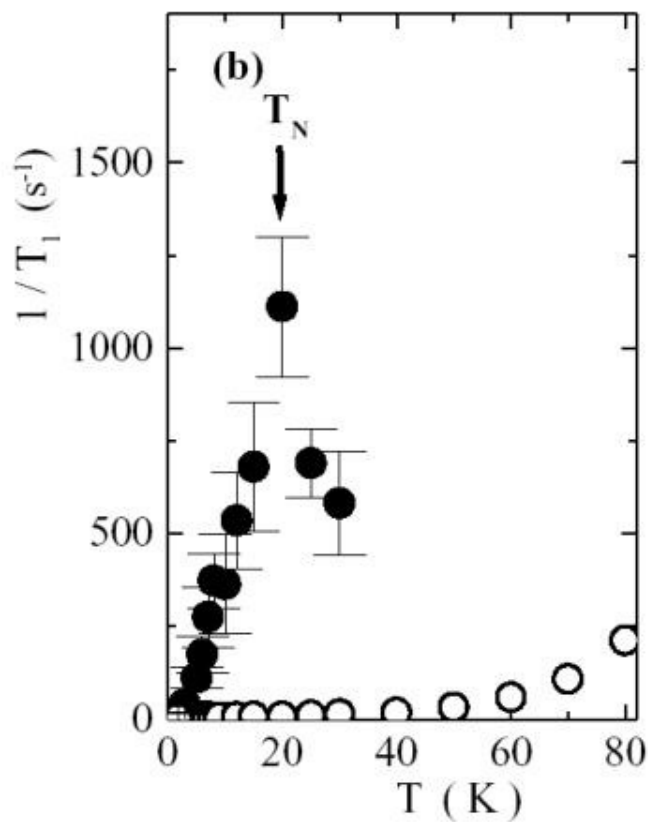
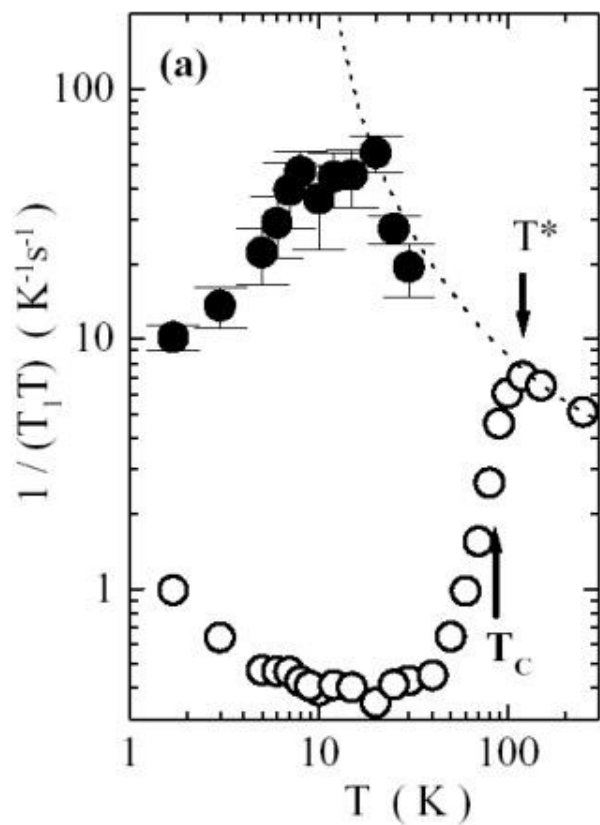
# *O NMR on optimally doped YBCO*



# $\mu$ sR on underdoped YBCO

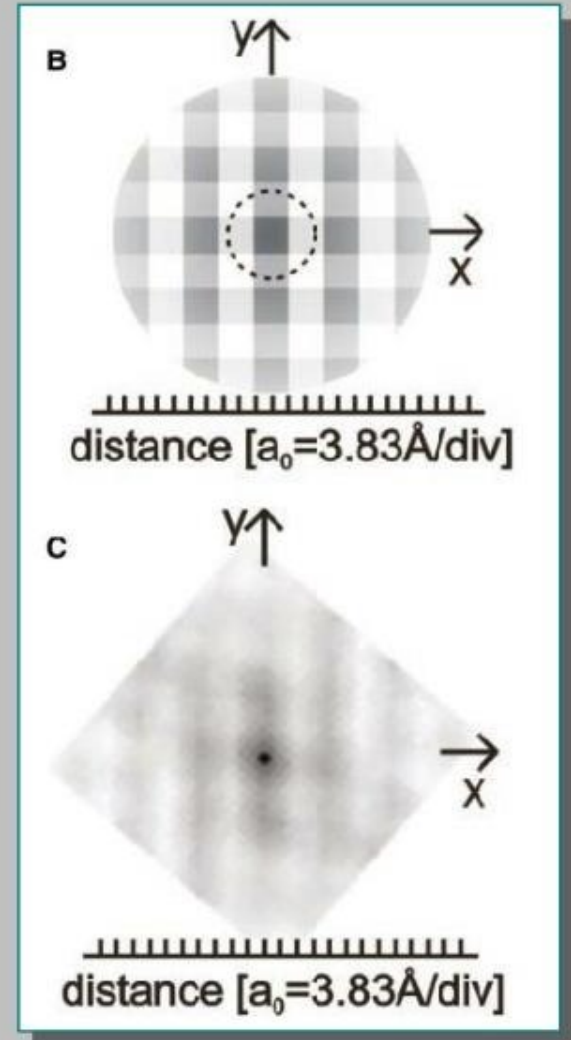


# *Tl NMR on optimally doped TlBaCuO*



## *The checkerboard pattern*

- **4ax4a charge unit cell**
- **Charge modulation is exponentially localized near the vortex core, with a decay length of 35Å.**
- **x and y directions are roughly symmetric.**



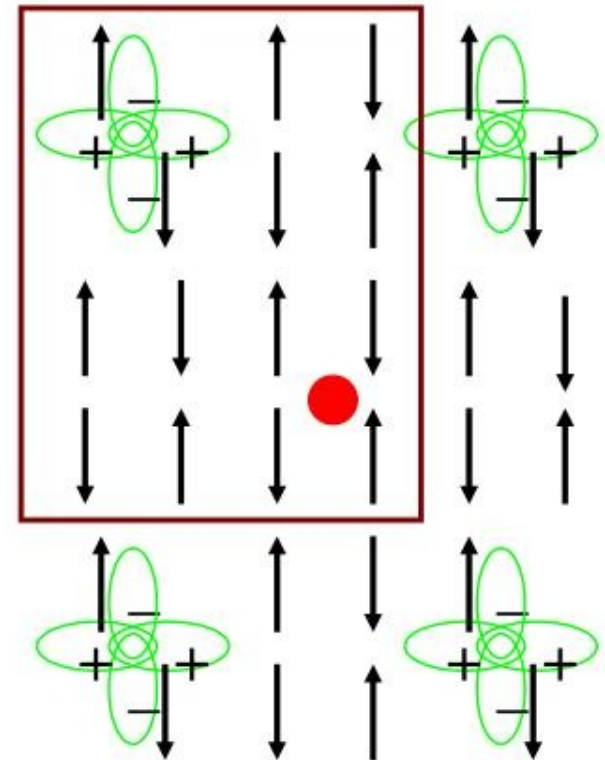




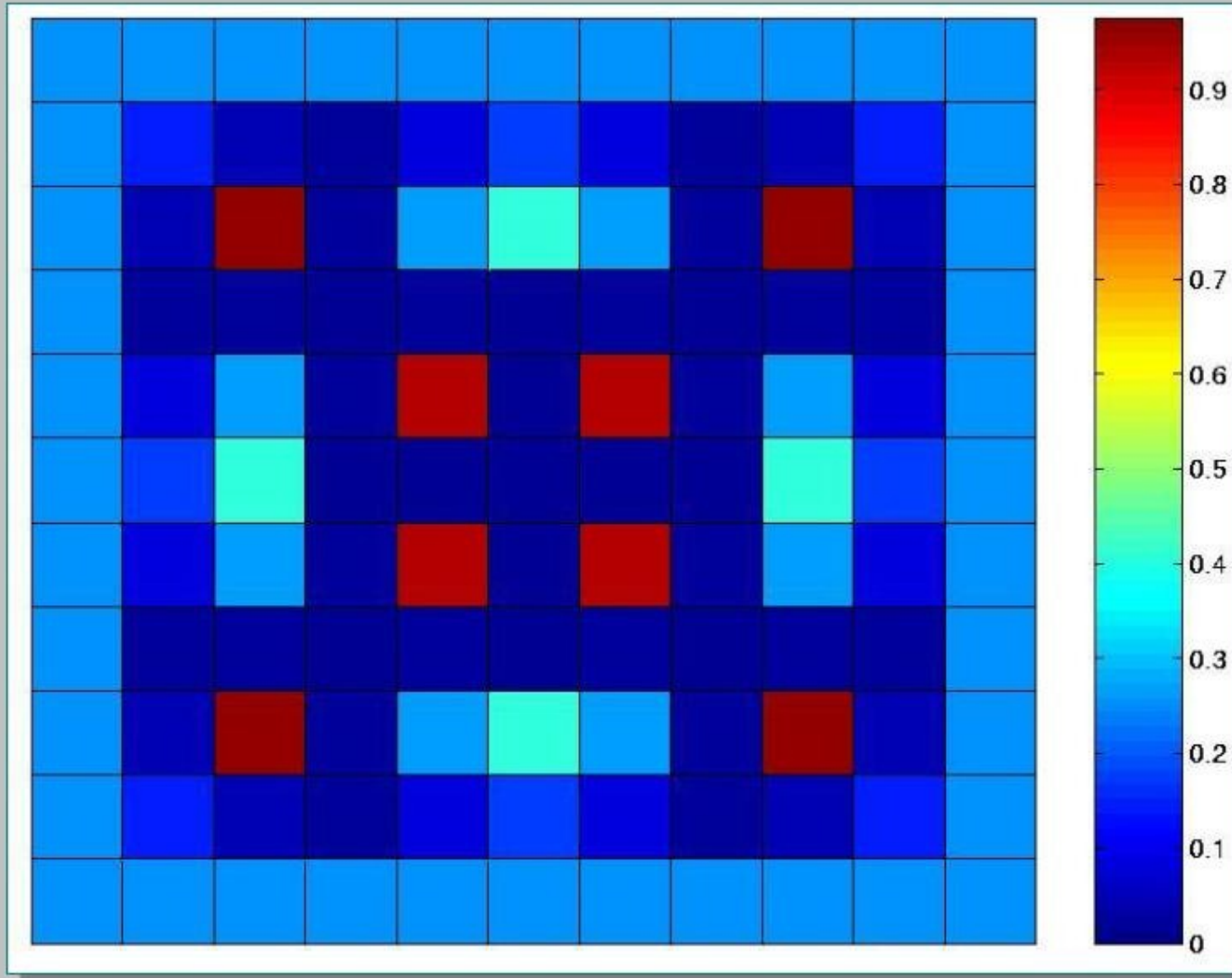


## *Cooper (or pair) crystal state at $x=1/8$*

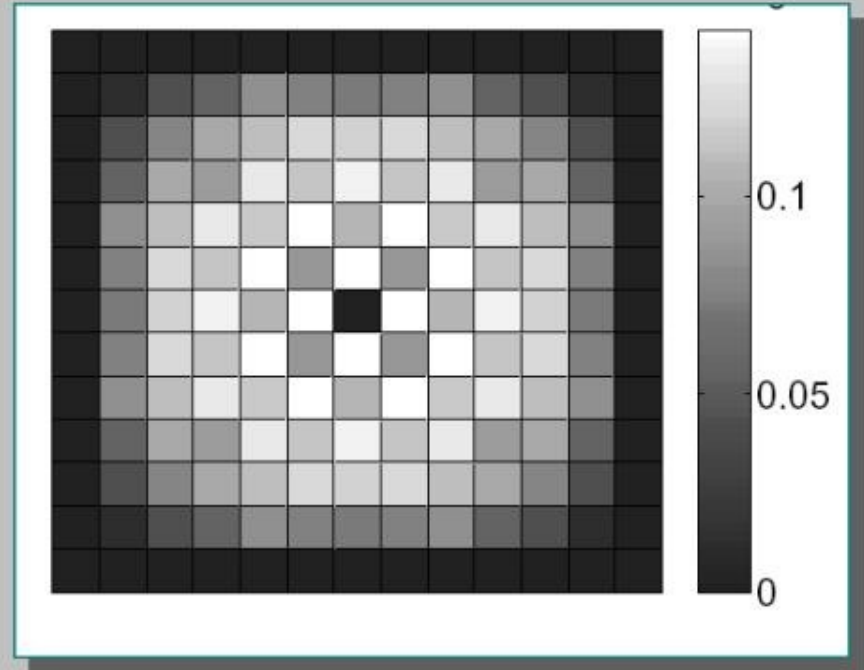
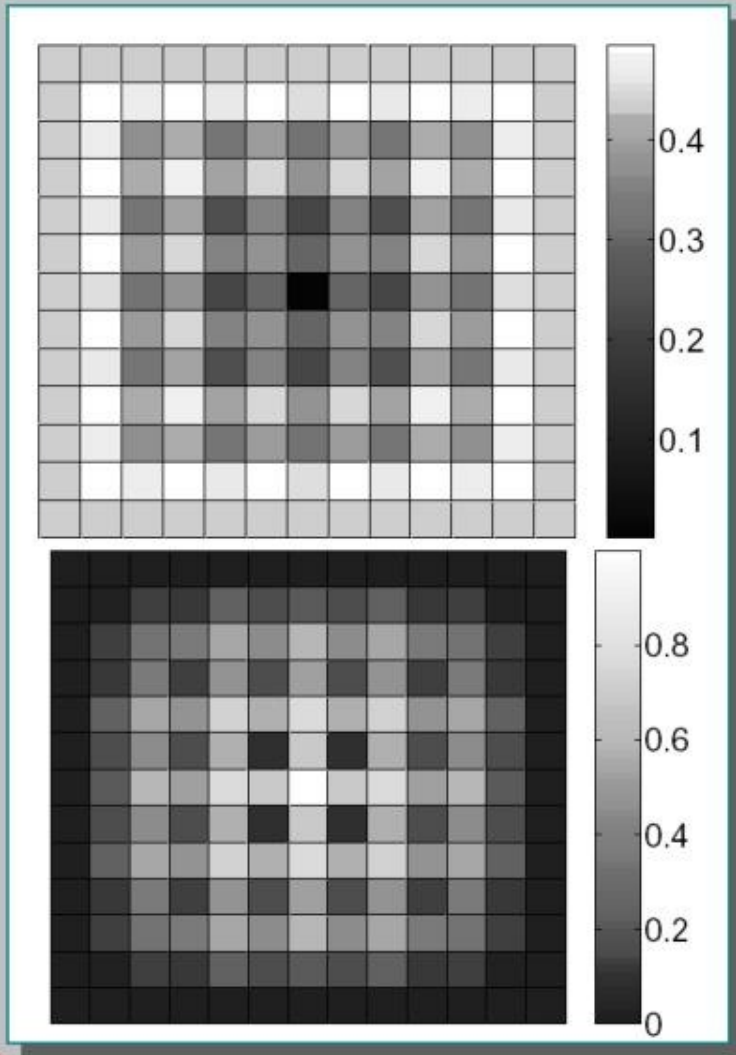
- Inside the vortex core, SC is destroyed, but the Cooper pairs are simply localized!
- Alternating d-wave hole pairs in an antiferromagnetic background, forming  $4a \times 4a$  charge unit cell.
- Spin order can be incommensurate stripe or commensurate checkerboard order, depending on details



# Charge distribution around a vortex



# Order parameter distribution around a vortex



$$\langle L_{ab} \rangle \langle n_c \rangle + \langle L_{bc} \rangle \langle n_a \rangle + \langle L_{ca} \rangle \langle n_b \rangle = 0$$

## *Quasi-particle interference vs 2<sup>nd</sup> order parameter*

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- **Friedel oscillation is a precursor of the CDW or SDW formation.**
- **Case for order parameter competition can only be established when both ordered states can be reached.**
- **Go above Hc2!**
  - A new insulating state with AF order, and a crystal of Cooper pairs.
  - Charge and heat insulator,  $\kappa = a T + b T^3$ ,  $a=0$





## *Conclusions:*

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- **A new symmetry principle unifying DLRO (AF) and ODLRO (SC)**
- **AF and SC both cooperate and compete.**
  - Cooperation: condensation energy
  - Competition: AF vortex core
- **Precise relationship between microscopic t-J model and the pSO(5) model.**
  - Global phase diagram
- **Experimental predictions**
  - SO(5) bicritical point and AF/SC coexistence
  - AF vortex state
  - T and B dependence of the  $\pi$  resonance
  - Quantitative relations on the condensation energy

