

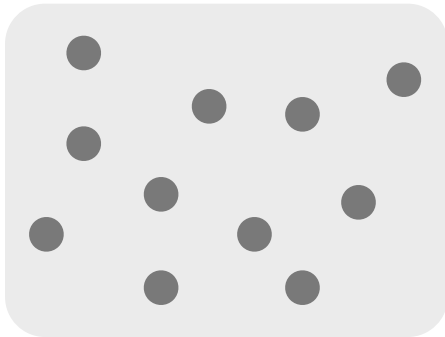
Quantum spin liquids

SFB/TR 49 student seminar
Hamburg, October 2013

Simon Trebst
University of Cologne

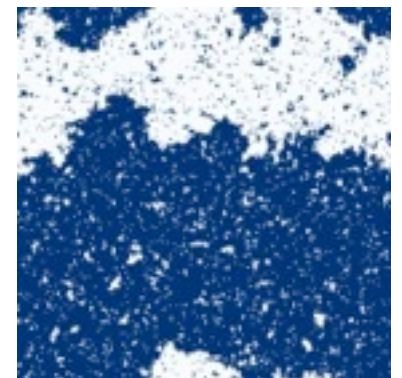
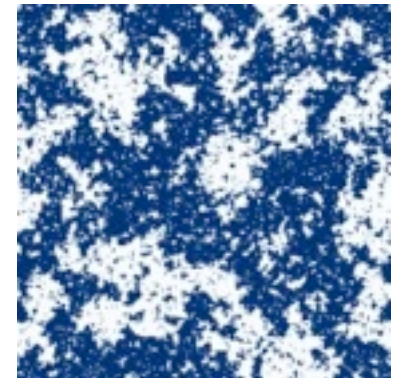
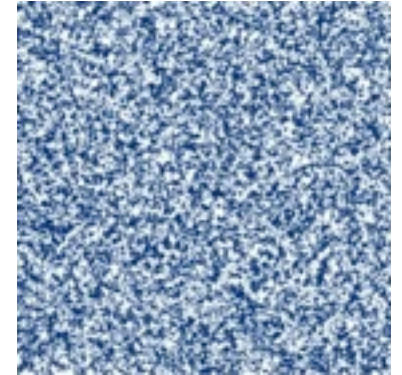
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Motivation – a paradigm



interacting
many-body system

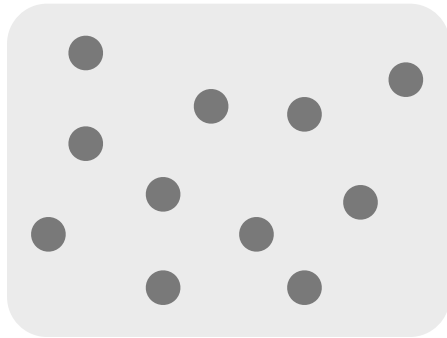
$$\mathcal{H} = - \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z$$



Spontaneous symmetry breaking

- ground state has **less** symmetry than Hamiltonian
- **local** order parameter
- **phase transition** / Landau-Ginzburg-Wilson theory

Every rule has an exception

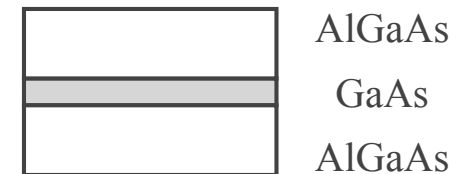
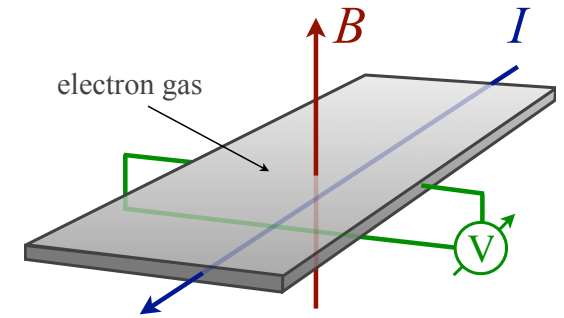


interacting
many-body system

$$\mathcal{H} = \sum_{j=1}^N \left(\frac{1}{2m} \left(\mathbf{p}_j - \frac{e}{c} \mathbf{A}(\mathbf{x}_j) \right)^2 + e\mathbf{A}_0(\mathbf{x}_j) \right) + \sum_{i<j} V(|\mathbf{x}_i - \mathbf{x}_j|)$$



$$\text{tr} \left(A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$



Sometimes, the exact opposite happens

- ground state has **more** symmetry than Hamiltonian
- **non-local** order parameter
- **emergence** of degenerate ground states, exotic statistics, ...

Topological quantum matter

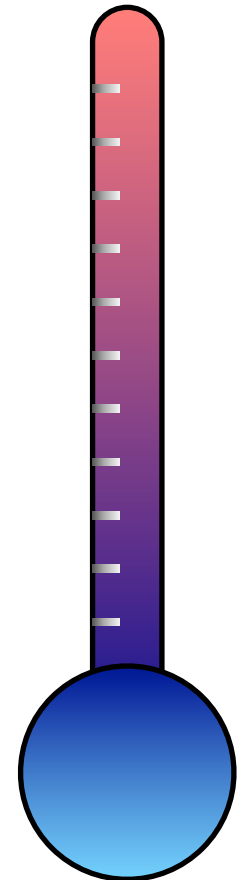
- **Spontaneous symmetry breaking**

- ground state has **less** symmetry than Hamiltonian
- Landau-Ginzburg-Wilson theory
- **local** order parameter



- **Topological order**

- ground state has **more** symmetry than Hamiltonian
- degenerate ground states
- **non-local** order parameter



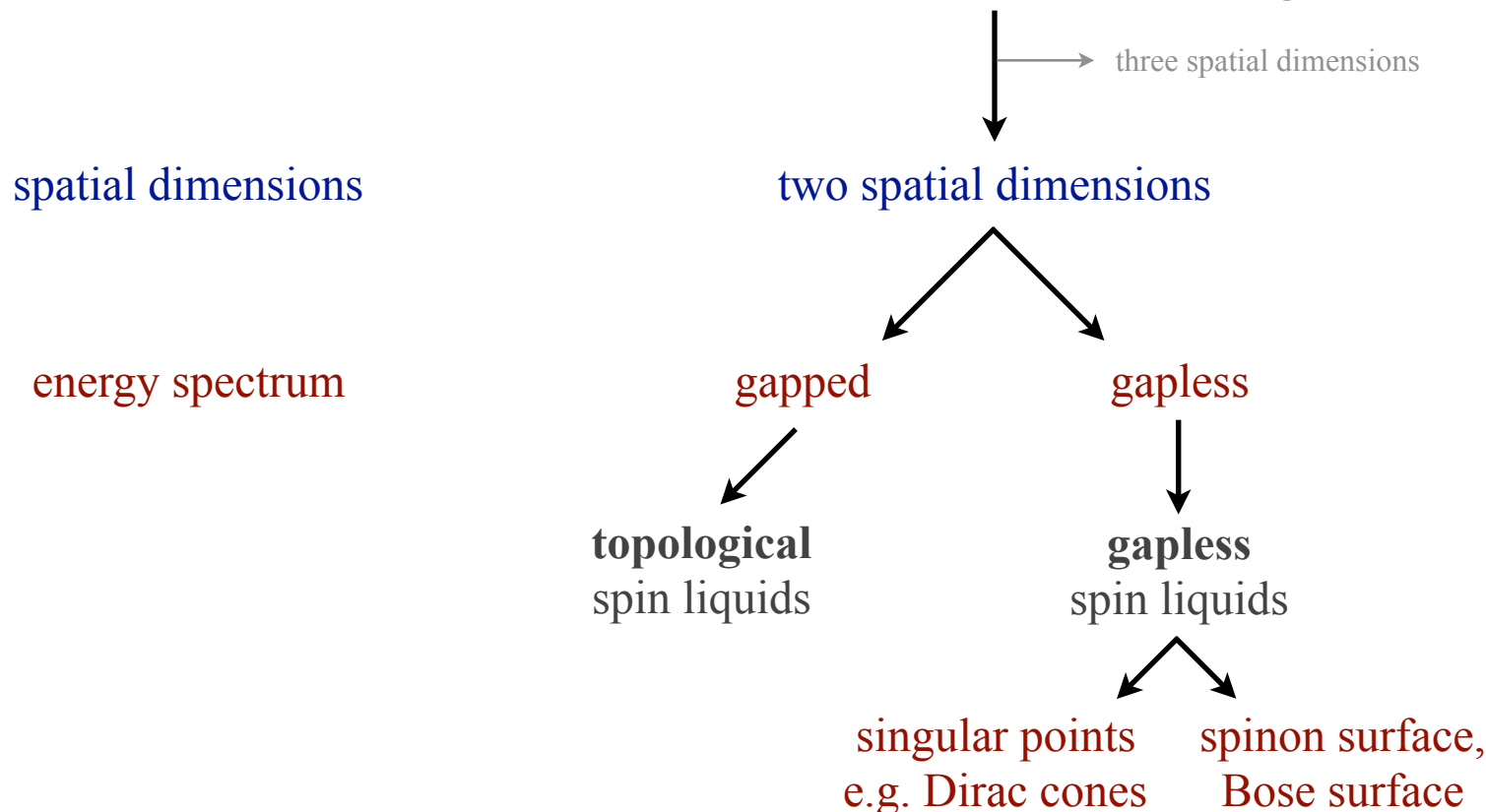


concepts

Quantum spin liquids

Quantum spin liquids are exotic ground states of frustrated quantum magnets, in which **local moments** are **highly correlated** but **still fluctuate strongly** down to zero temperature.

Classification a la Xiao-Gang Wen

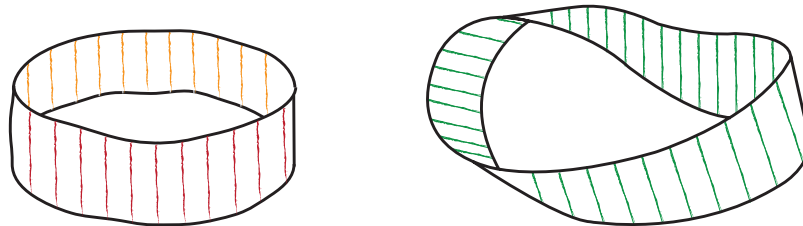


Xiao-Gang Wen

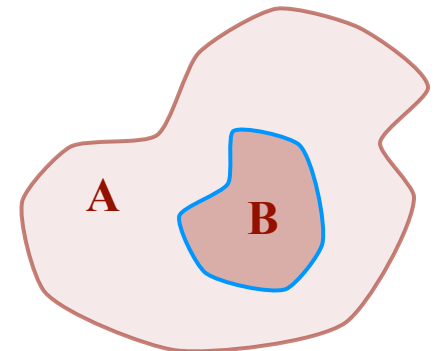
MIT/Perimeter

Topological quantum matter

- **Xiao-Gang Wen:** A ground state of a many-body system that *cannot* be fully characterized by a *local* order parameter.
- Often characterized by a variety of non-local “*topological properties*”.



- A topological phase can be positively identified by its *entanglement properties*.



Knots & edge states

- Bringing a **topological** and a **conventional** state into spatial proximity will result in a *gapless edge state* – literally a *knot* in the wavefunction.
- We know this: “Counterintuitive states”

China



UK



Ireland



Australia



Japan



Hong Kong

Knots & edge states

China



Hong Kong



Flipper bridge



topological quantum spin liquids

– gapped quantum spin liquids –



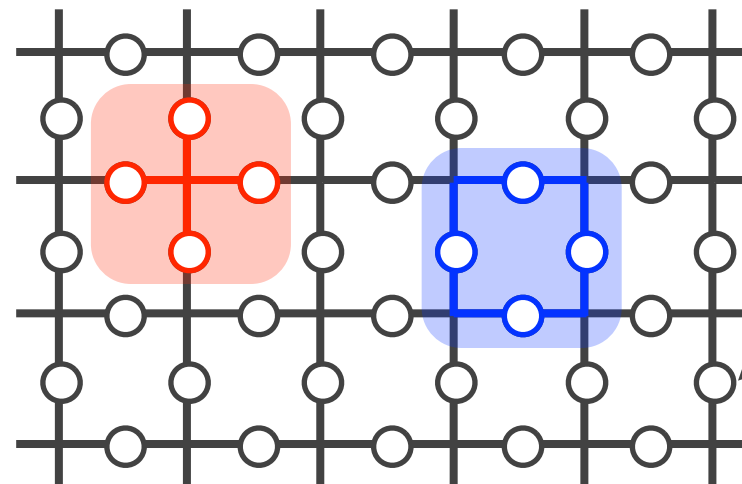
the toric code

the drosophila
for lattice models
of topologically ordered phases

The toric code

A. Kitaev, Ann. Phys. **303**, 2 (2003).

$$H_{\text{TC}} = -A \sum_v \prod_{j \in \text{vertex}(v)} \sigma_j^z - B \sum_p \prod_{j \in \text{plaquette}(p)} \sigma_j^x$$



similar to ring exchange
introduces frustration

σ_i

Hamiltonian has only local terms.

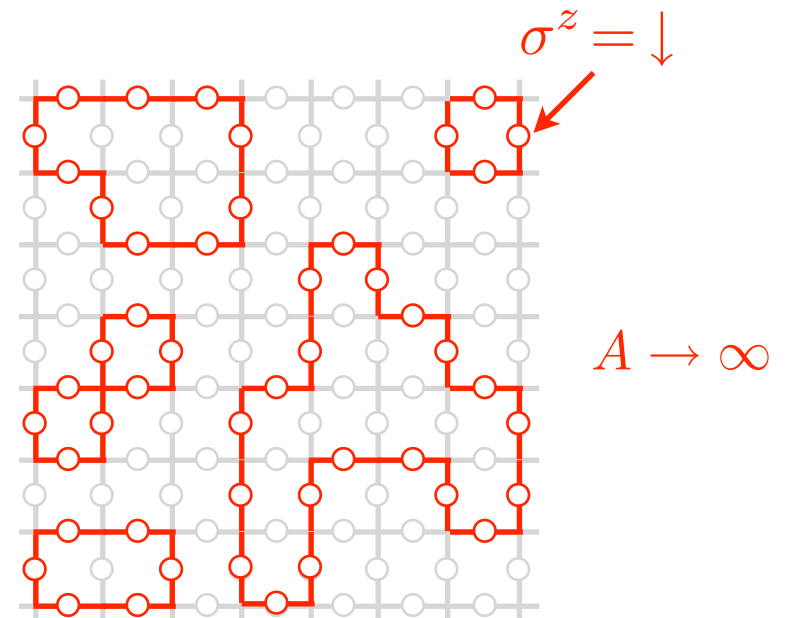
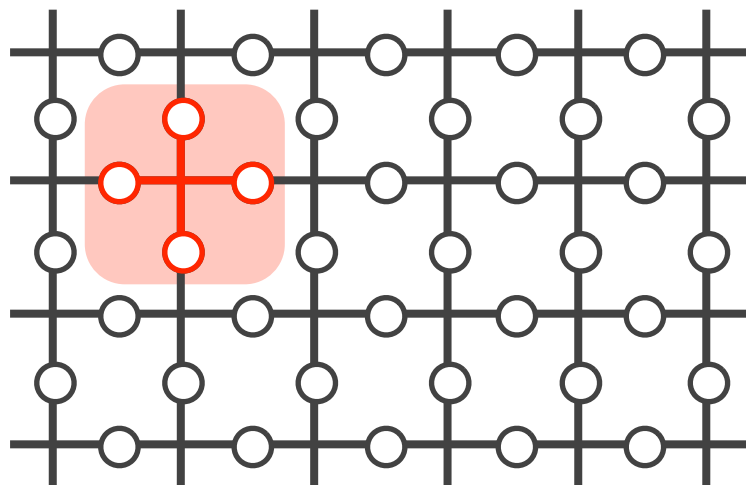
All terms commute → **exact solution!**

The vertex term

A. Kitaev, Ann. Phys. **303**, 2 (2003).

$$H_{\text{TC}} = -A \sum_v \prod_{j \in \text{vertex}(v)} \sigma_j^z - B \sum_p \prod_{j \in \text{plaquette}(p)} \sigma_j^x$$

- is minimized by an **even** number of down-spins around a vertex.
- Replacing down-spins by loop segments maps ground state to closed loops.
- Open ends are (charge) excitations costing energy $2A$.

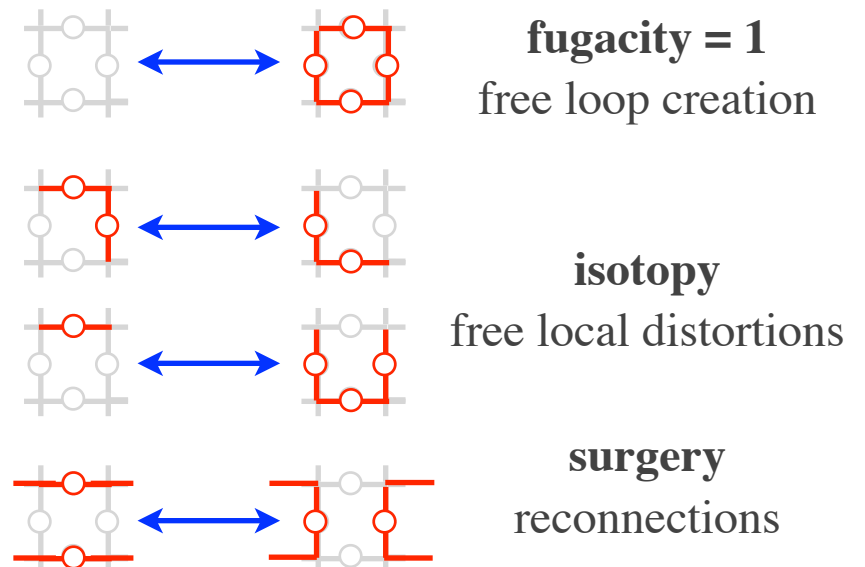
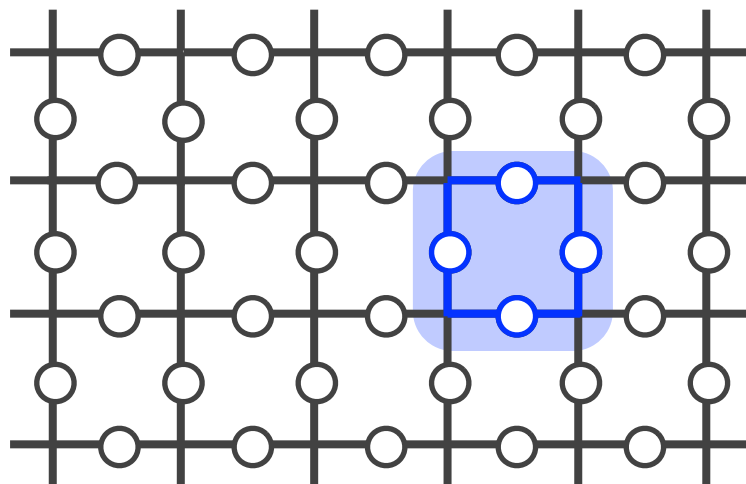


The plaquette term

A. Kitaev, Ann. Phys. **303**, 2 (2003).

$$H_{\text{TC}} = -A \sum_v \prod_{j \in \text{vertex}(v)} \sigma_j^z - B \sum_p \prod_{j \in \text{plaquette}(p)} \sigma_j^x$$

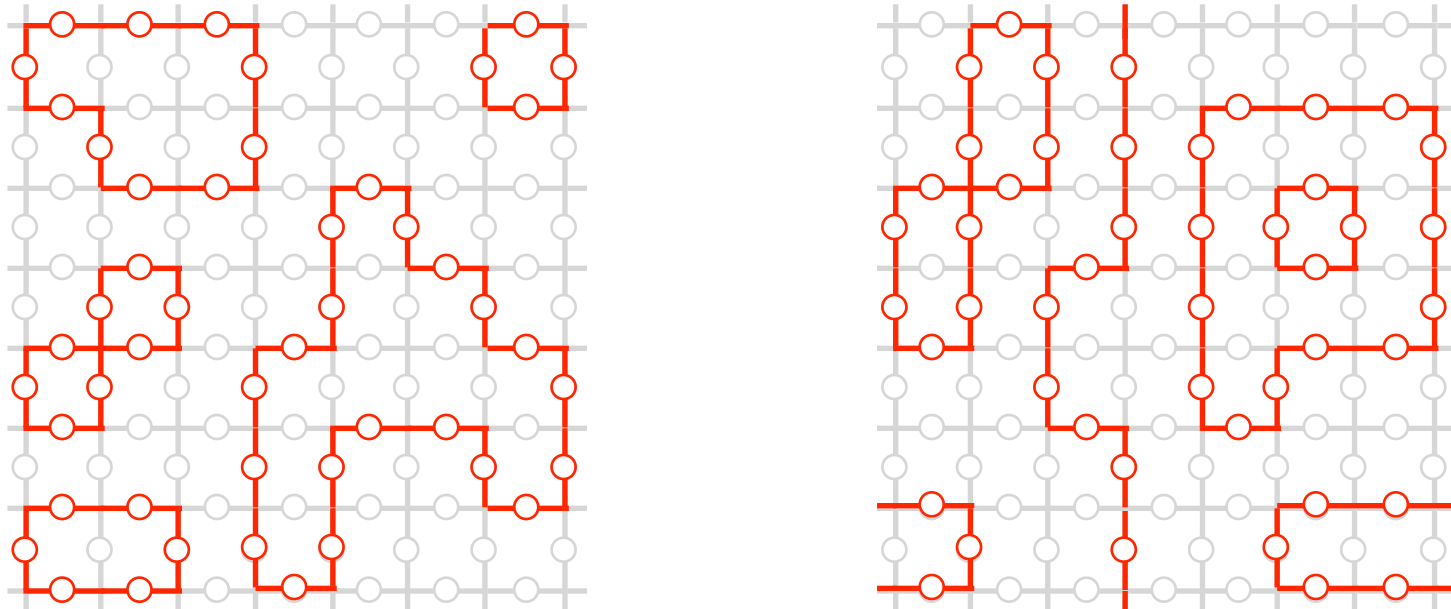
- flips all spins on a plaquette.
- favors equal amplitude superposition of all loop configurations.
- Sign changes upon flip (vortices) cost energy $2B$.



Toric code – quantum loop gases

A. Kitaev, *Ann. Phys.* **303**, 2 (2003).

Ground-state manifold is a **quantum loop gas**.

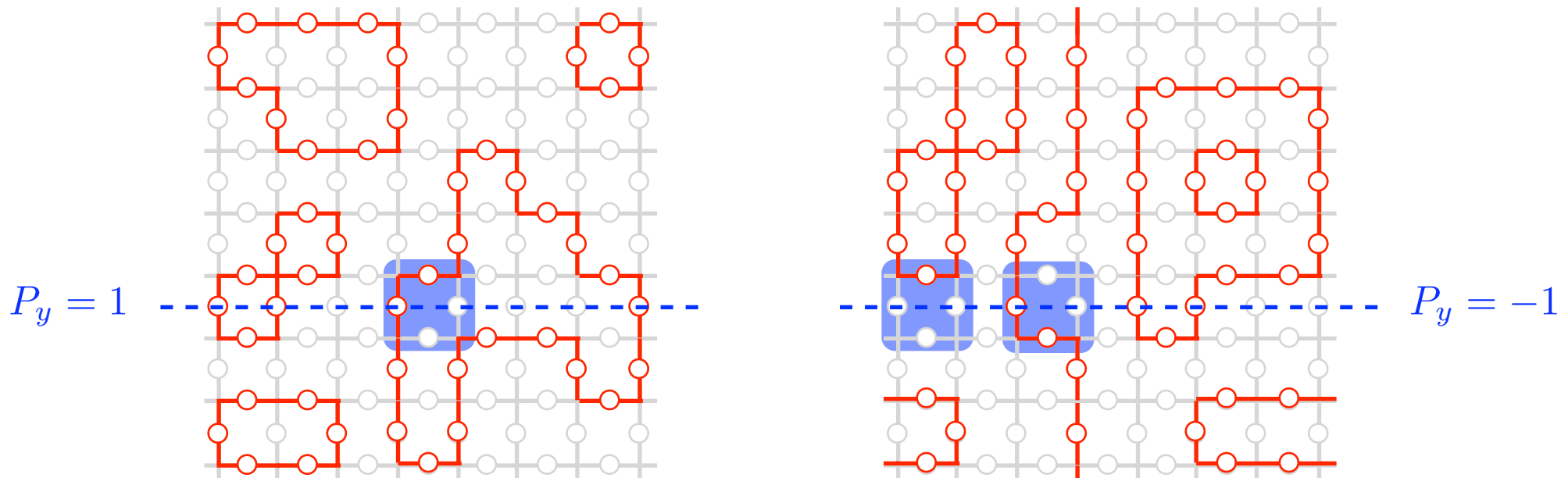


Ground-state wavefunction is **equal superposition** of loop configurations.

Toric code – quantum loop gases

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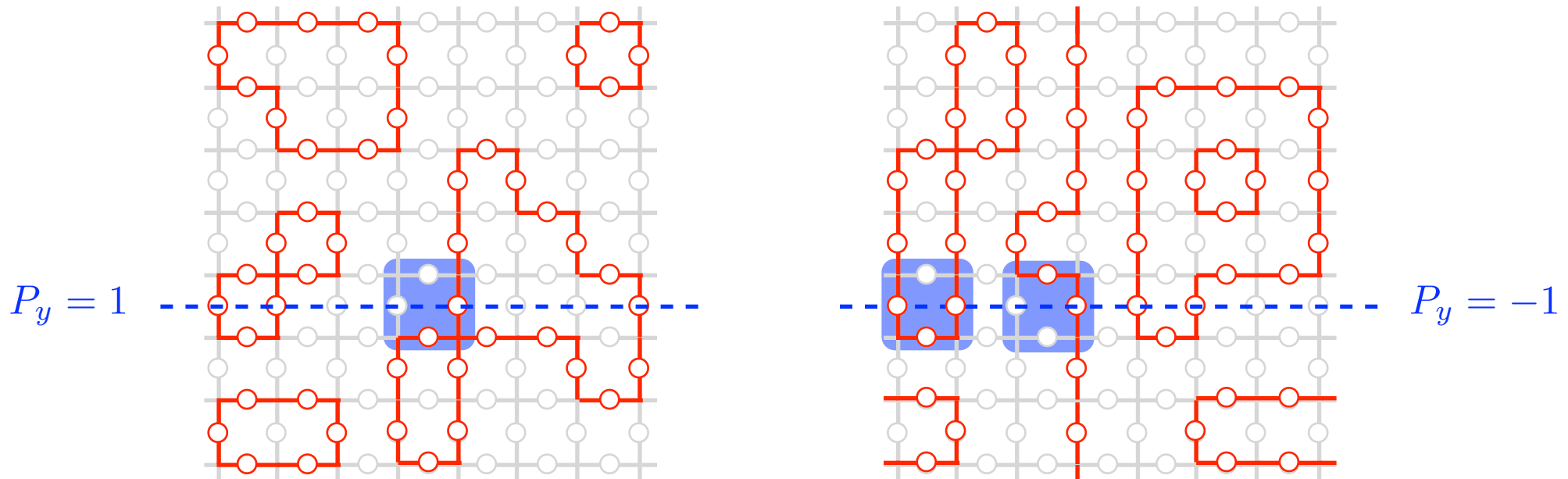


Topological sectors defined by winding number parity $P_{y/x} = \prod_{i \in C_{x/y}} \sigma_i^z$

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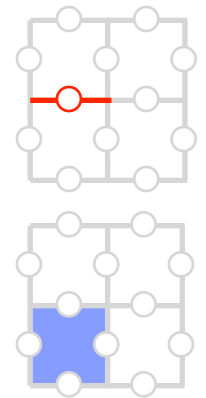
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Anyons in the toric code

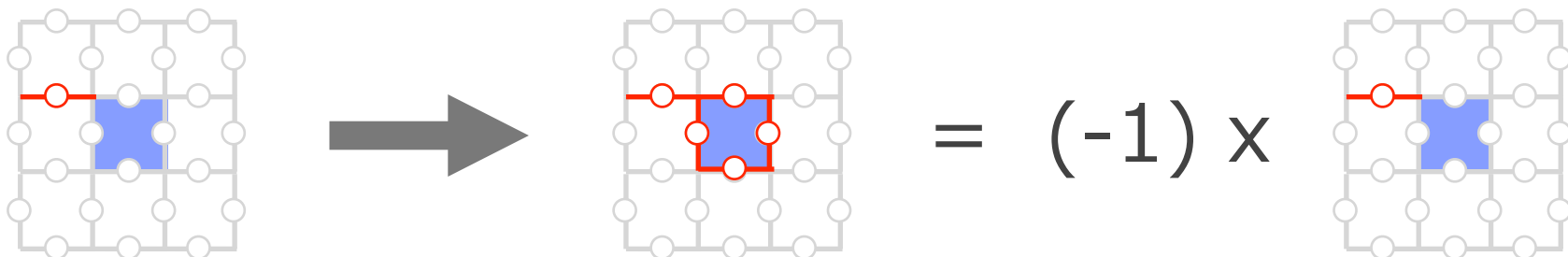
A. Kitaev, Ann. Phys. **303**, 2 (2003).

Two types of excitations

- **electric charges**: open loop ends violate vertex constraint
- **magnetic vortices**: plaquettes giving -1 when flipped



We get a minus sign taking one around the other
electric charges and magnetic vortices are **mutual anyons**



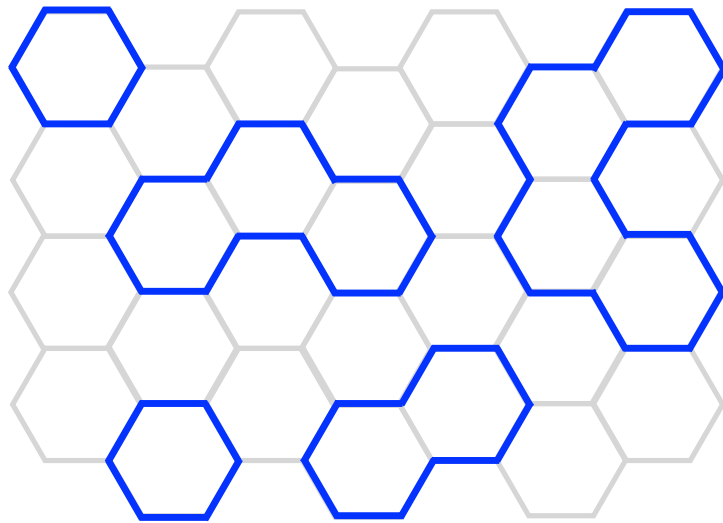
quantum double models

looking beyond the drosophila

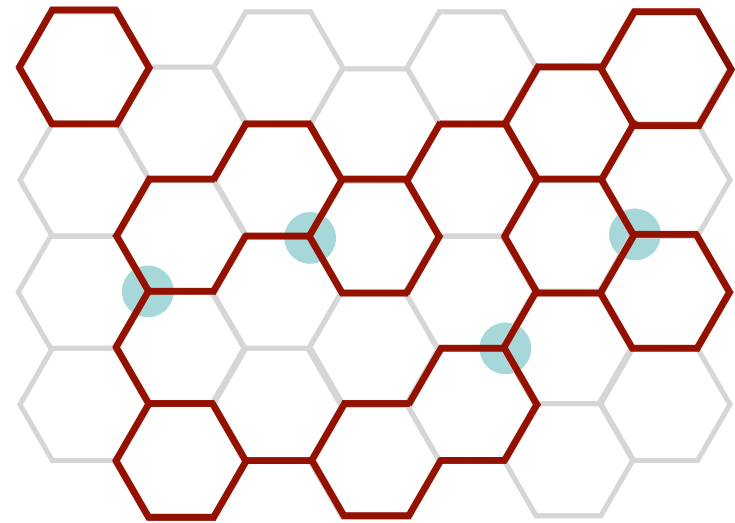


quantum double models

Quantum double models form a larger family of lattice models harboring non-trivial topological order, e.g. non-Abelian **string nets**.

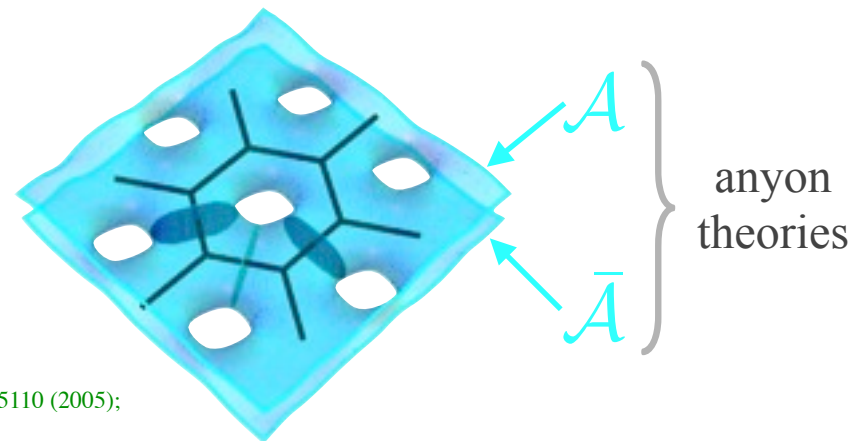


loop gas configuration
(toric code)



string net configuration

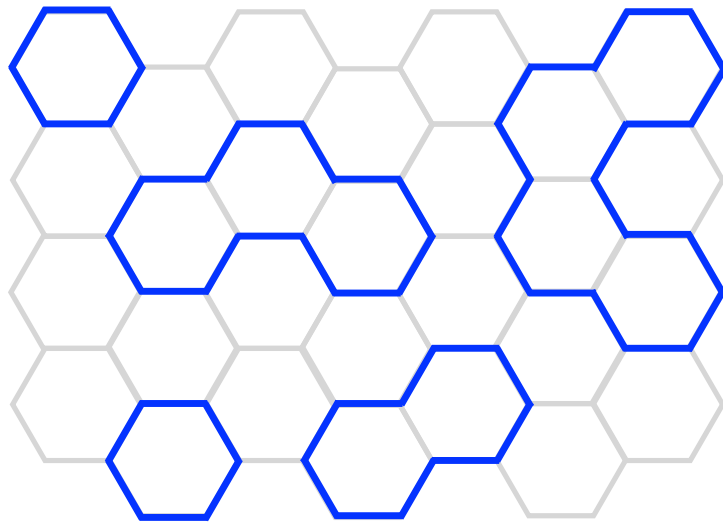
- Quantum double models are generally constructed from an underlying **anyon theory**.
- Key ingredient are so-called **fusion rules** of anyons.



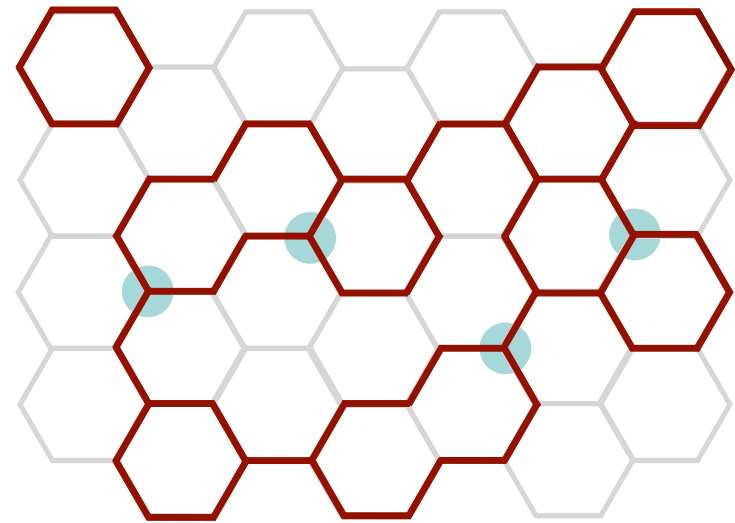
M. Levin and X.-G. Wen, Phys. Rev. B **71**, 045110 (2005);
C. Gils et al., Nature Physics **5**, 834 (2009).

quantum double models

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loop gas configuration



string net configuration

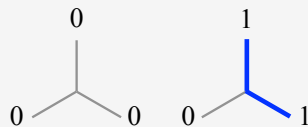
\mathbb{Z}_2 anyon theory

$$0 \times 0 = 0$$

$$0 \times 1 = 1$$

$$1 \times 1 = 0$$

toric code



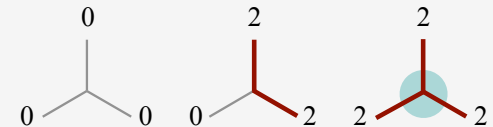
Fibonacci anyon theory

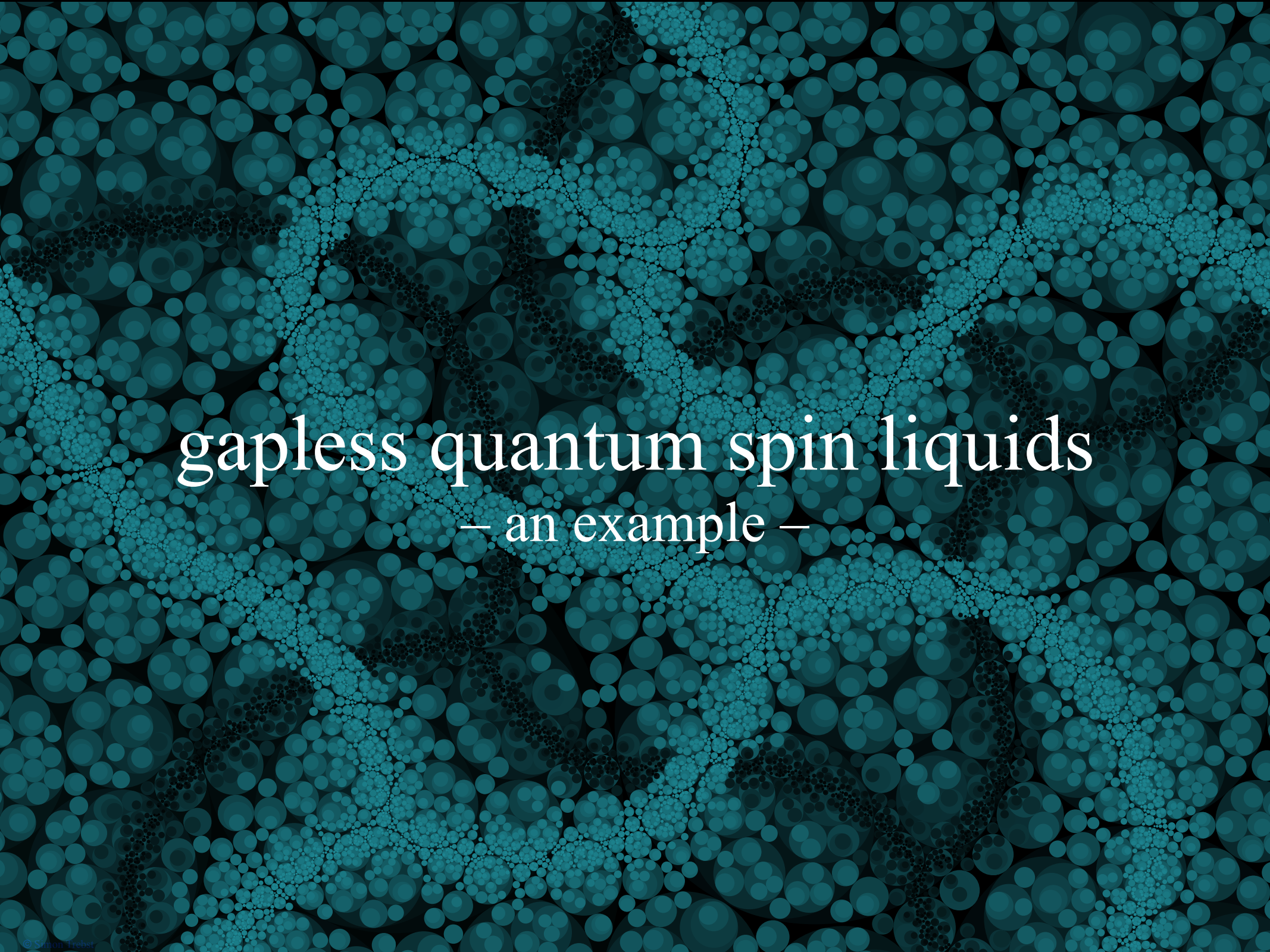
$$0 \times 0 = 0$$

$$0 \times 2 = 2$$

$$2 \times 2 = 0 + 2$$

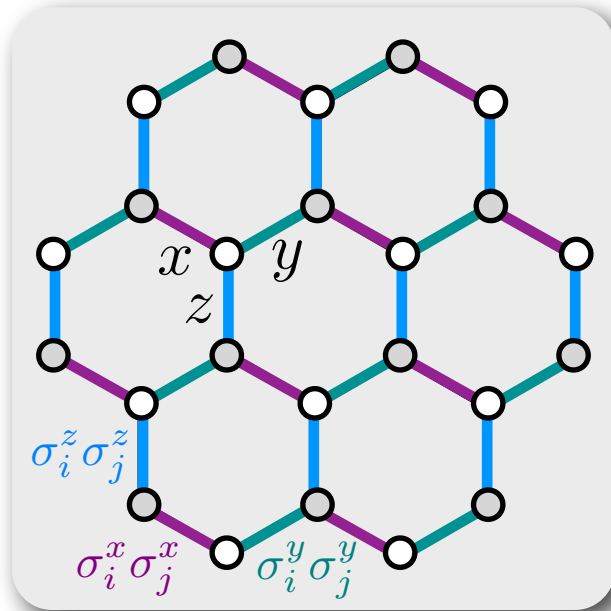
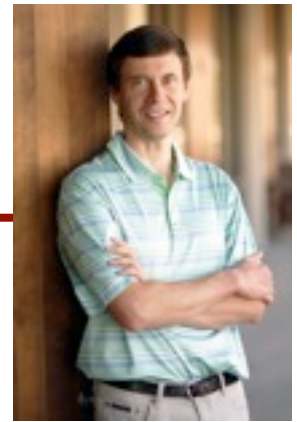
non-Abelian





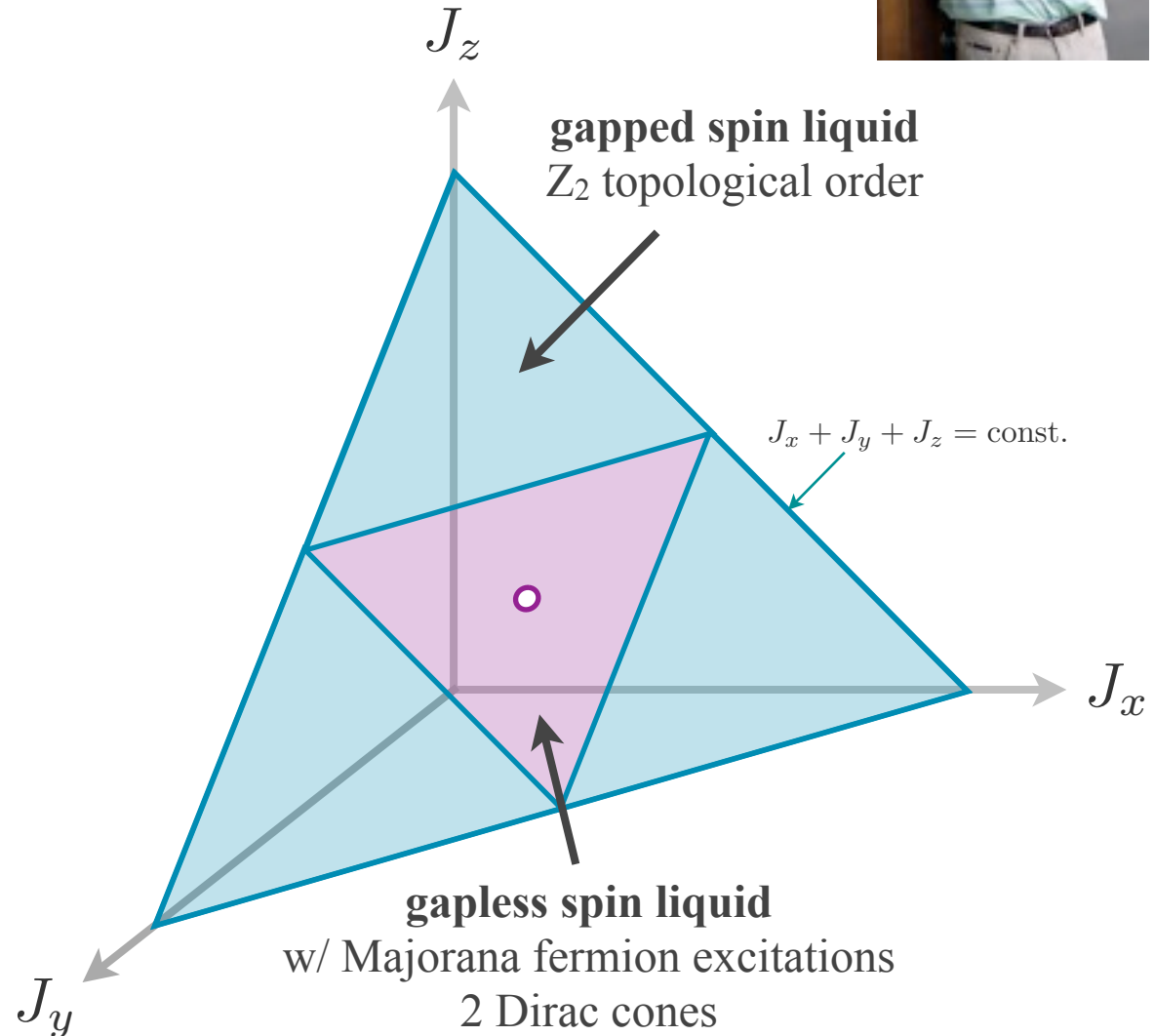
gapless quantum spin liquids
— an example —

The Kitaev model



$$H_{\text{Kitaev}} = \sum_{\gamma\text{-links}} J_{\gamma} \sigma_i^{\gamma} \sigma_j^{\gamma}$$

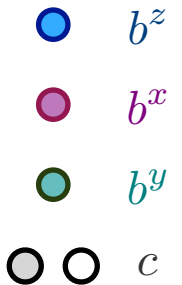
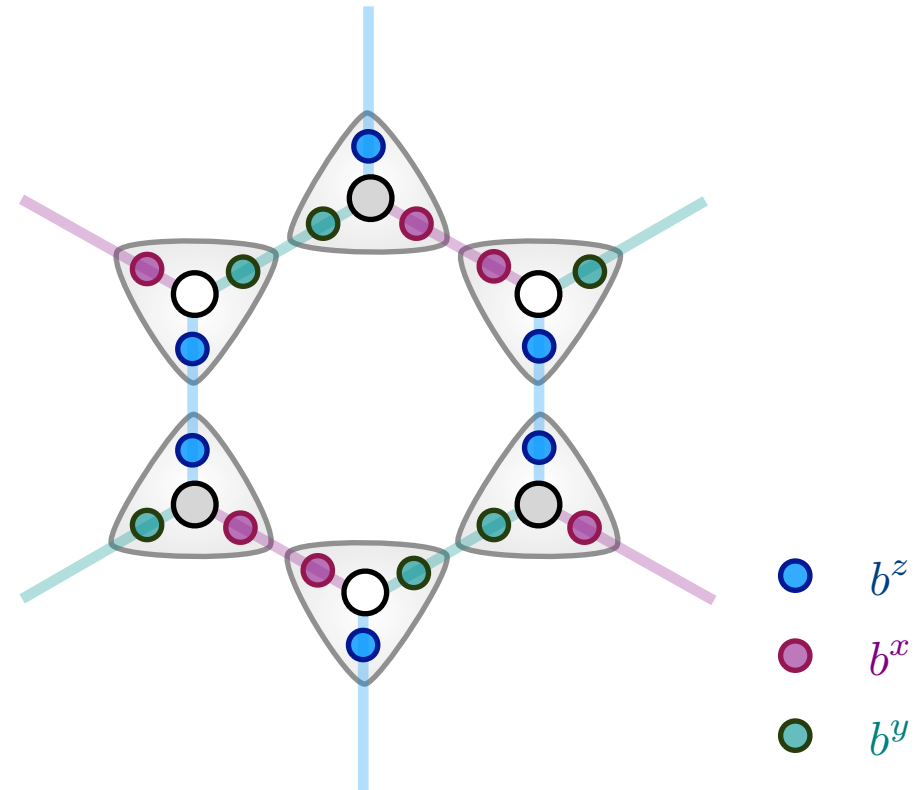
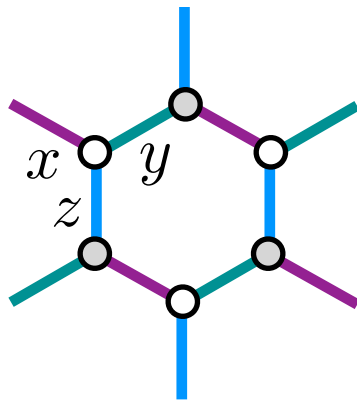
Rare combination of a model of fundamental conceptual importance and an *exact* analytical solution.



A. Kitaev, *Ann. Phys.* **321**, 2 (2006)

Solving the Kitaev model

Step 1: Majorana fermionization



fermions a_{\uparrow} a_{\uparrow}^{\dagger} a_{\downarrow} a_{\downarrow}^{\dagger}

$$b^x = a_{\uparrow} + a_{\downarrow}^{\dagger}$$

$$b^y = -i (a_{\uparrow} - a_{\downarrow}^{\dagger})$$

$$b^z = a_{\downarrow} + a_{\uparrow}^{\dagger}$$

$$c = -i (a_{\downarrow} - a_{\uparrow}^{\dagger})$$

$$\sigma^y = i b^y c$$

$$\sigma^x = i b^x c$$

$$\sigma^z = i b^z c$$

$$D = -i \sigma^x \sigma^y \sigma^z = b^x b^y b^z c$$

gauge operator

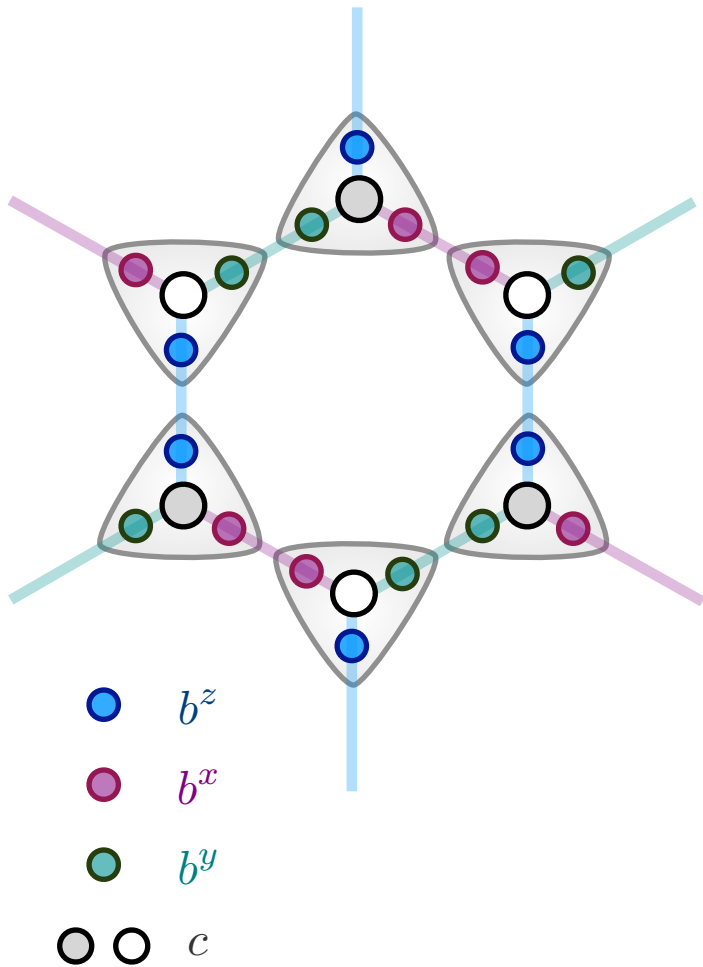
$$[D, \sigma^{\gamma}] = 0$$

physical subspace $D = 1$

Majorana fermions

Solving the Kitaev model

Step 2: Diagonalization of Hamiltonian



In the language of Majorana fermions, we now have

$$\sigma_j^\gamma \sigma_k^\gamma = (i b_j^\gamma c_j) (i b_k^\gamma c_k) = -i u_{jk} c_j c_k$$

$$u_{jk} = i b_j^\gamma b_k^\gamma$$

which immediately allows us to write the Hamiltonian as

$$\mathcal{H} = \frac{i}{4} \sum_{\langle jk \rangle} A_{jk} c_j c_k$$

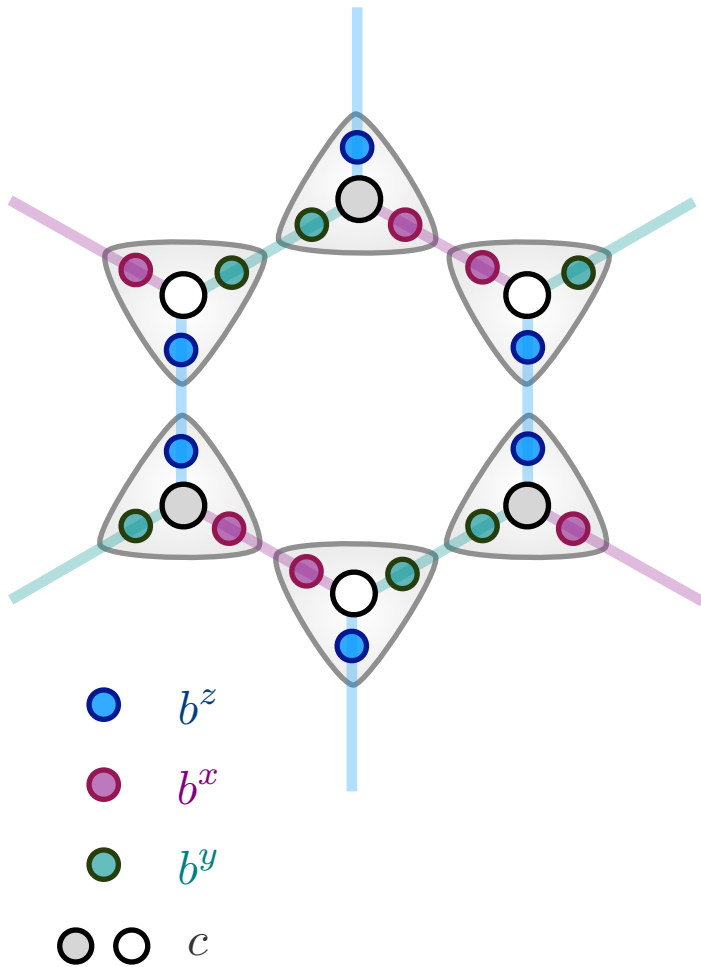
$$A_{jk} = 2J_\gamma u_{jk}$$

Hamiltonian is skew-symmetric, because

$$u_{jk} = -u_{kj} \longrightarrow A_{jk} = -A_{kj}$$

Solving the Kitaev model

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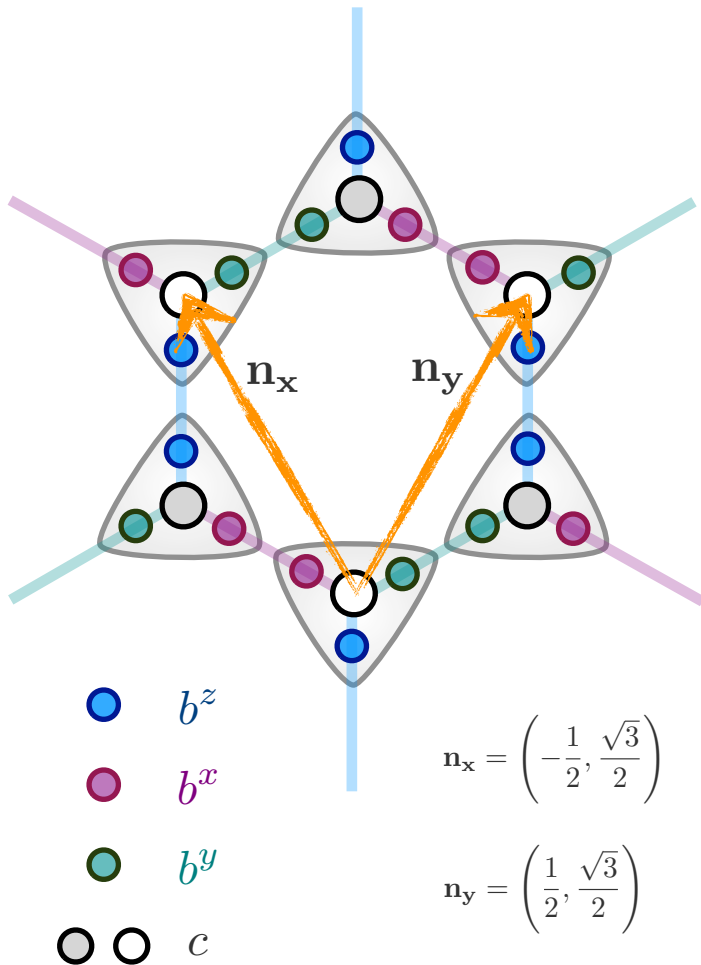
$$u_{jk} = -u_{kj} \longrightarrow A_{jk} = -A_{kj}$$

Finally, there is a gauge choice to be made

$$u_{jk} \text{ has eigenvalues } \pm 1$$

Solving the Kitaev model

Step 2: Diagonalization of Hamiltonian



The Hamiltonian has turned into a free (Majorana) fermion problem

$$\mathcal{H} = \frac{i}{4} \sum_{\langle jk \rangle} A_{jk} c_j c_k$$

which can readily be diagonalized by Fourier transformation

$$\mathcal{H}(\mathbf{q}) = \frac{i}{2} A(\mathbf{q}) = \begin{pmatrix} 0 & i f(\mathbf{q}) \\ -i f^*(\mathbf{q}) & 0 \end{pmatrix}$$

$$f(\mathbf{q}) = J_x e^{i\mathbf{q} \cdot \mathbf{n}_x} + J_y e^{i\mathbf{q} \cdot \mathbf{n}_y} + J_z$$

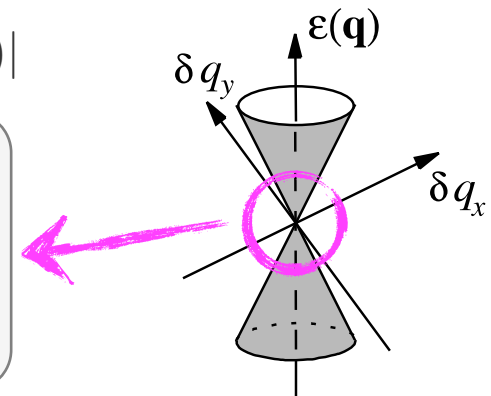
thus yielding a gapless energy spectrum of the form

$$\epsilon(\mathbf{q}) = \pm |f(\mathbf{q})|$$

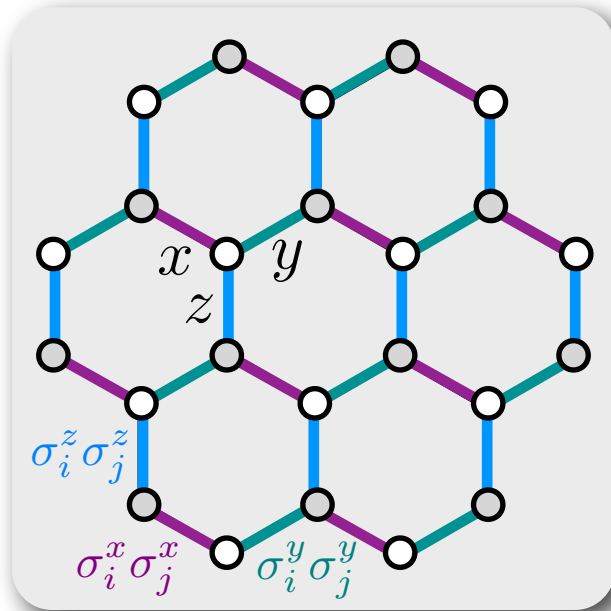
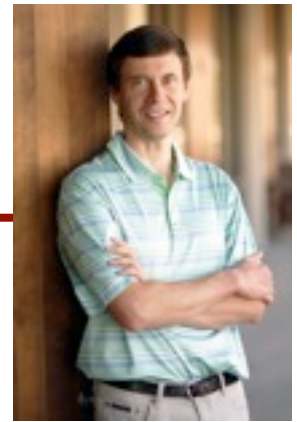
Dirac cones

$$\mathbf{q}_1^* = \left(\frac{2\pi}{3}, \frac{2\pi}{\sqrt{3}}\right)$$

$$\mathbf{q}_2^* = \left(-\frac{2\pi}{3}, \frac{2\pi}{\sqrt{3}}\right)$$

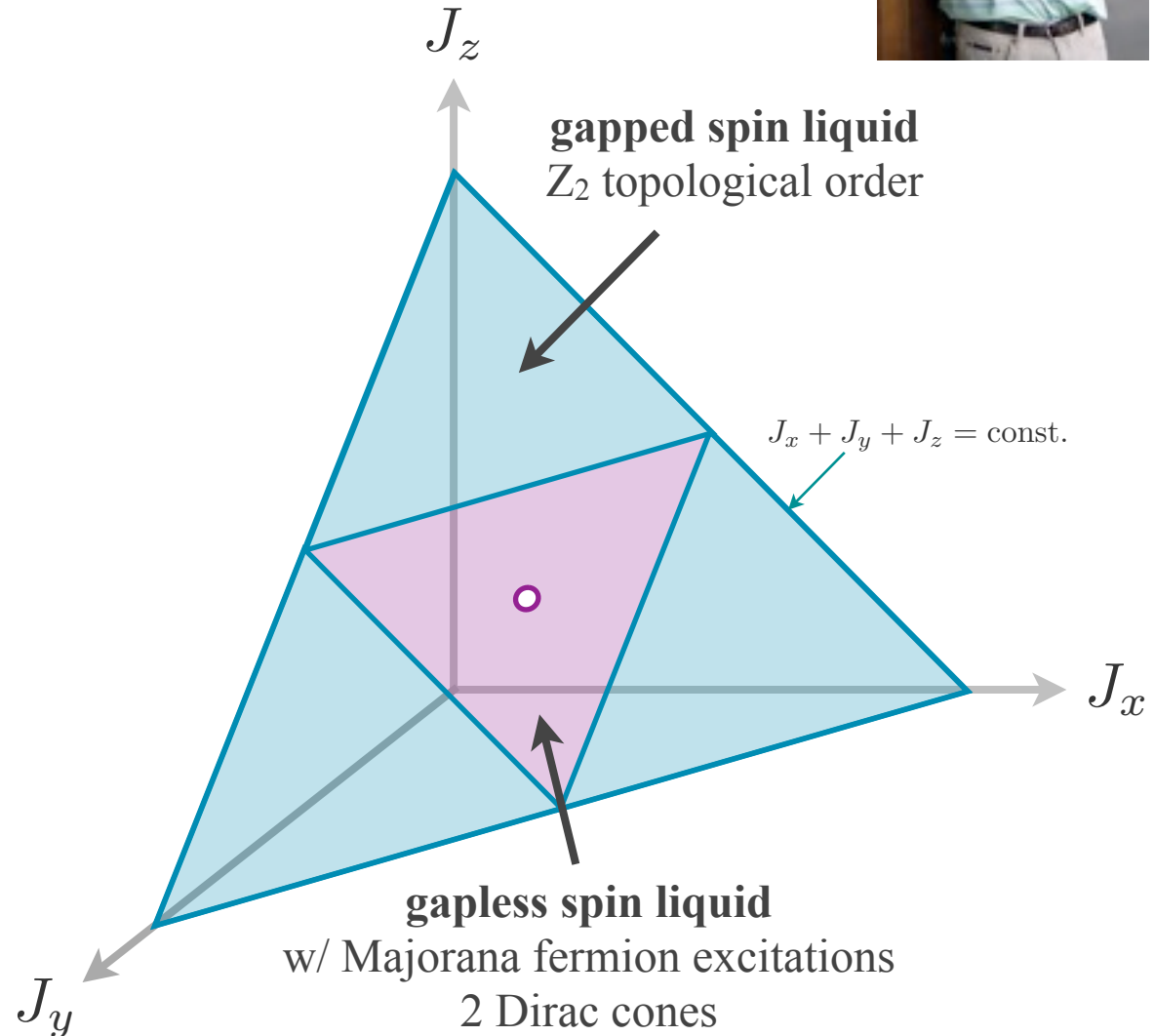


The Kitaev model



$$H_{\text{Kitaev}} = \sum_{\gamma\text{-links}} J_{\gamma} \sigma_i^{\gamma} \sigma_j^{\gamma}$$

Rare combination of a model of fundamental conceptual importance and an *exact* analytical solution.



A. Kitaev, *Ann. Phys.* **321**, 2 (2006)



entanglement

Identification of topological order

Which **observables** can we measure numerically to identify topological order?

- **bulk** properties
 - entanglement entropy & spectrum
 - ground-state degeneracy
- **edge** properties
 - entanglement entropy
 - energy spectra

bulk properties

entanglement entropy

Entanglement

If two quantum mechanical objects are **interwoven** in such a way that their **collective state** cannot be described as a product state we say they are **entangled**.

$$|\psi\rangle = \cos \alpha \begin{array}{c} \uparrow \\ A \end{array} \begin{array}{c} \downarrow \\ B \end{array} + \sin \alpha \begin{array}{c} \downarrow \\ A \end{array} \begin{array}{c} \uparrow \\ B \end{array}$$

- Calculate the **reduced density matrix** for one of the two parts

$$\rho_A = |\psi\rangle_A \langle \psi|_A$$

(traced over subsystem B)

$$\rho_A = \cos^2 \alpha \begin{array}{c} \uparrow \\ A \end{array} \langle \uparrow |_A + \sin^2 \alpha \begin{array}{c} \downarrow \\ A \end{array} \langle \downarrow |_A$$

- One quantitative measure of entanglement is the **entanglement entropy**

$$S(\rho_A) = -\text{Tr}(\rho_A \log \rho_A)$$

von Neumann entropy = first Renyi entropy

$$S_n(\rho_A) = \frac{1}{1-n} \ln [\text{Tr}(\rho_A^n)]$$

Renyi entropy

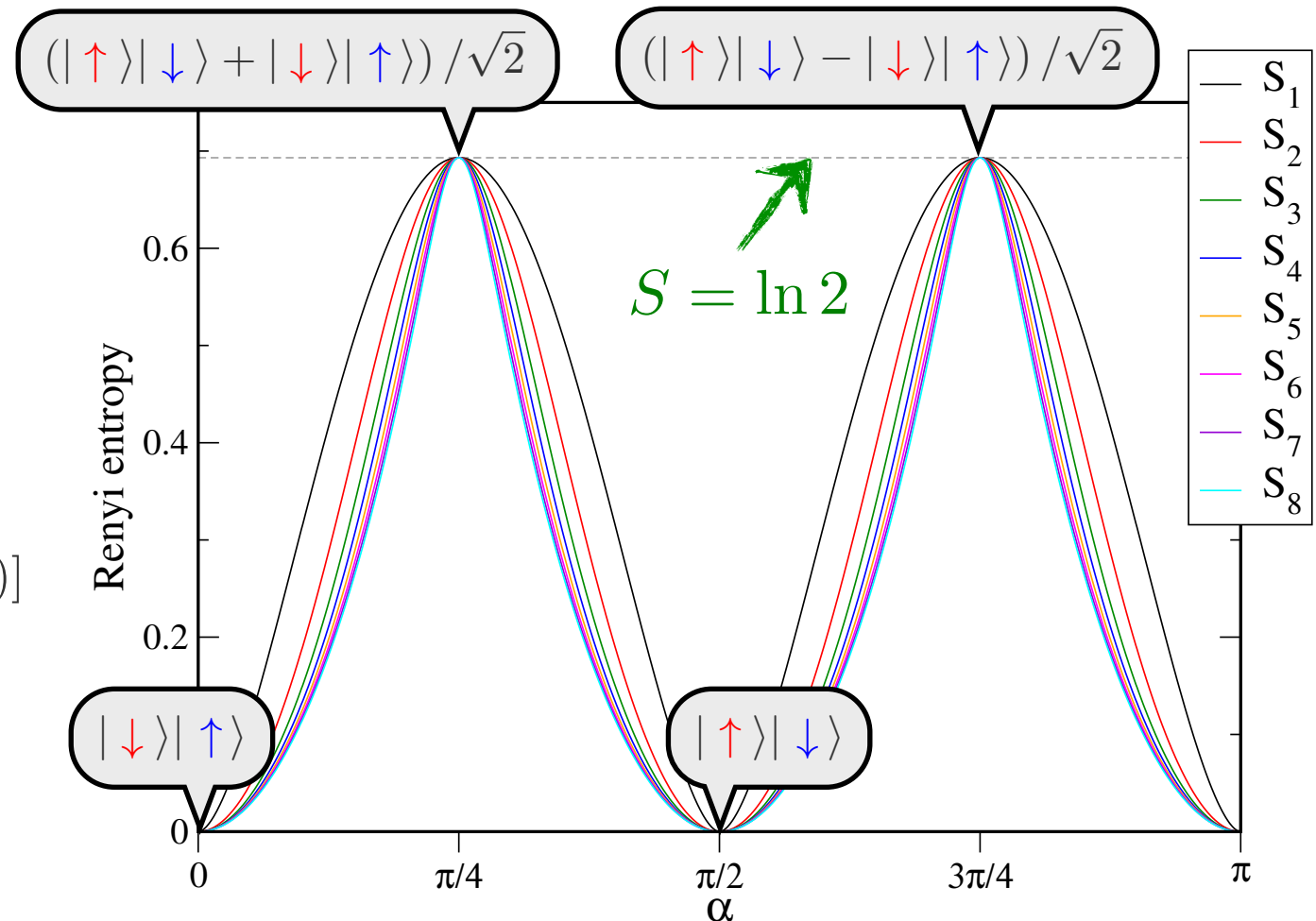
$$S_2(\rho_A) = -\ln [\text{Tr}(\rho_A^2)]$$

second Renyi entropy

Entanglement

If two quantum mechanical objects are **interwoven** in such a way that their **collective state** cannot be described as a product state we say they are **entangled**.

$$|\psi\rangle = \cos \alpha \begin{matrix} |\uparrow\rangle \\ A \end{matrix} \begin{matrix} |\downarrow\rangle \\ B \end{matrix} + \sin \alpha \begin{matrix} |\downarrow\rangle \\ A \end{matrix} \begin{matrix} |\uparrow\rangle \\ B \end{matrix}$$



$$S(\rho_A) = -\text{Tr}(\rho_A \log \rho_A)$$

von Neumann entropy = first Renyi entropy

$$S_2(\rho_A) = -\ln [\text{Tr}(\rho_A^2)]$$

second Renyi entropy

$$S_n(\rho_A) = \frac{1}{1-n} \ln [\text{Tr}(\rho_A^n)]$$

Renyi entropy

Entanglement

- **Entanglement in quantum many-body systems**

- Consider bipartition of system into two parts A and B, and calculate the **reduced density matrix** for the two parts

$$\rho_A = |\psi\rangle_A \langle\psi|_A \quad (\text{traced over subsystem B})$$

- One quantitative measure of entanglement is the **entanglement entropy**

$$S(\rho_A) = -\text{Tr}(\rho_A \log \rho_A)$$

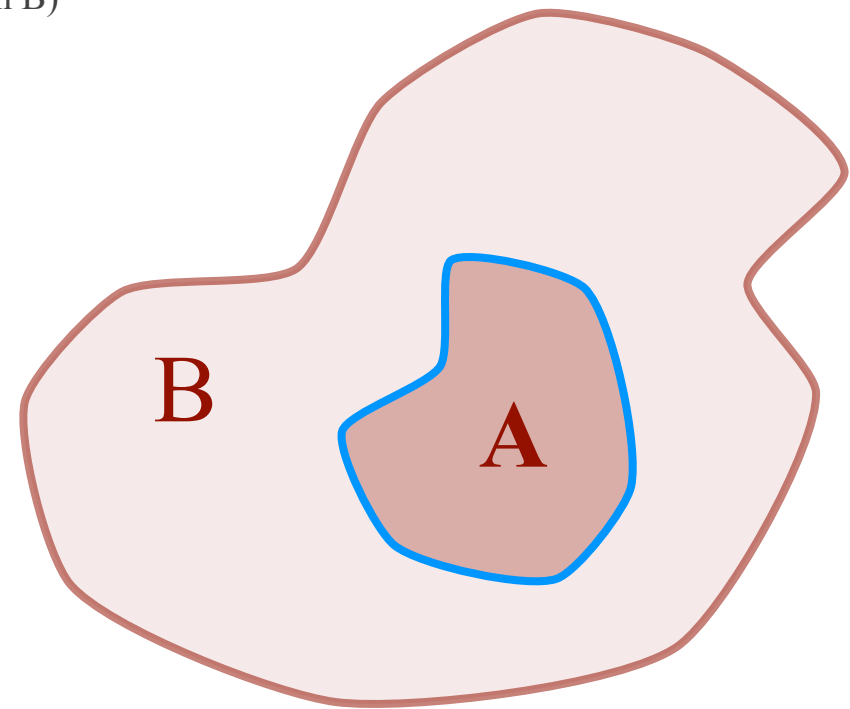
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Renyi entropy

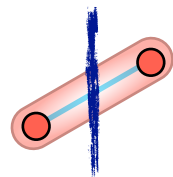
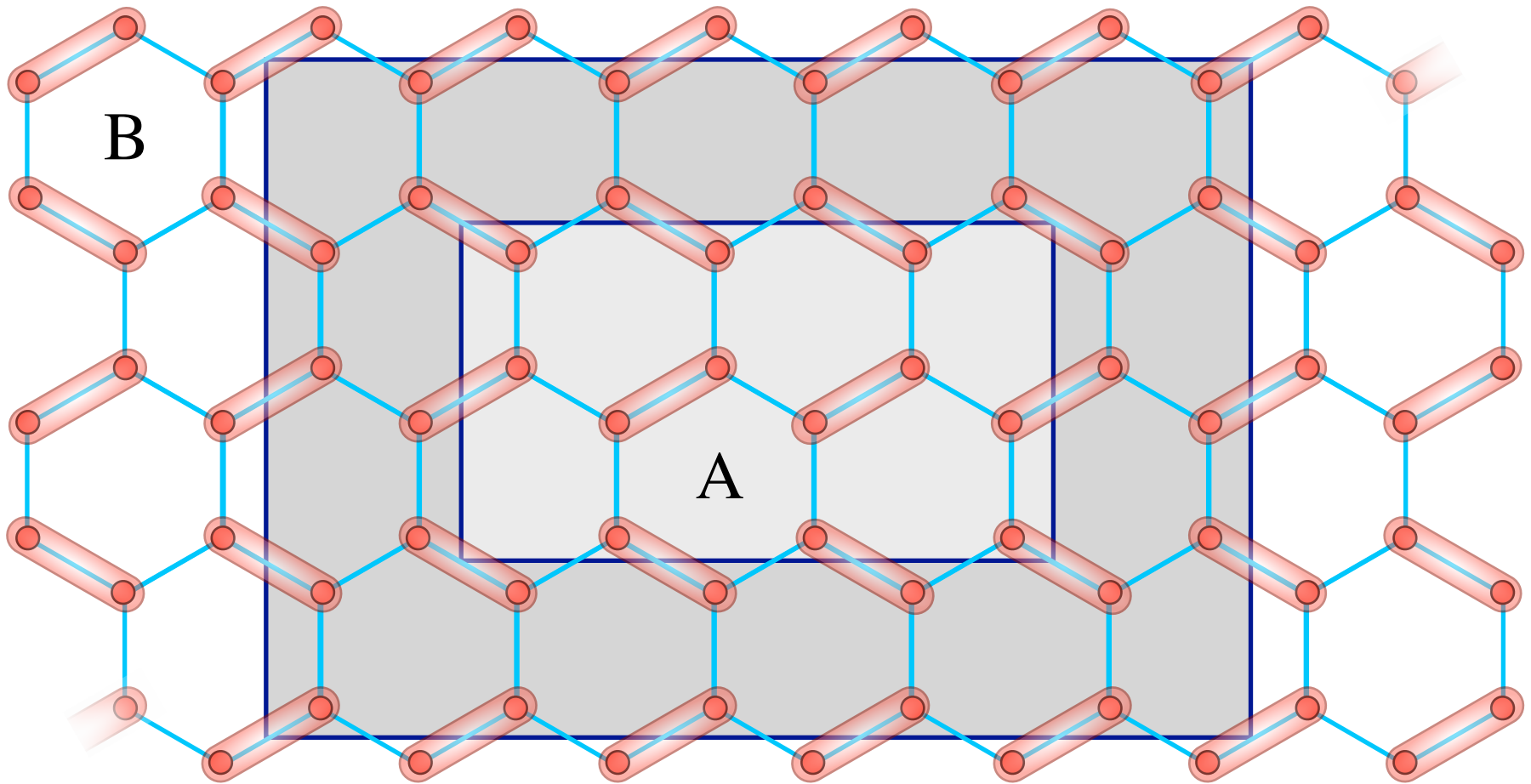
$$S_2(\rho_A) = -\ln [\text{Tr}(\rho_A^2)]$$

second Renyi entropy



Boundary law

also called area law



$$S = \ln 2$$

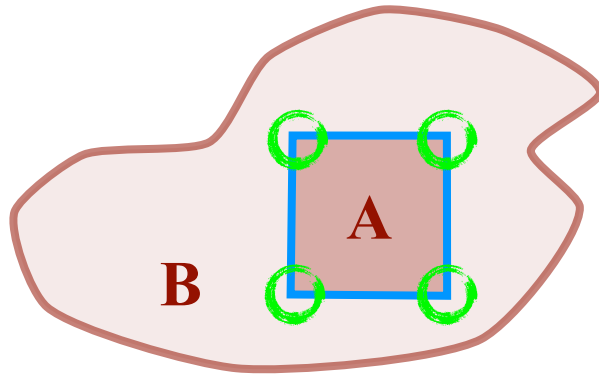
Entanglement scales with the length L
of the **boundary** of the bipartition

$$S \propto aL$$

Corrections to the boundary law

Corrections to the boundary law can arise from

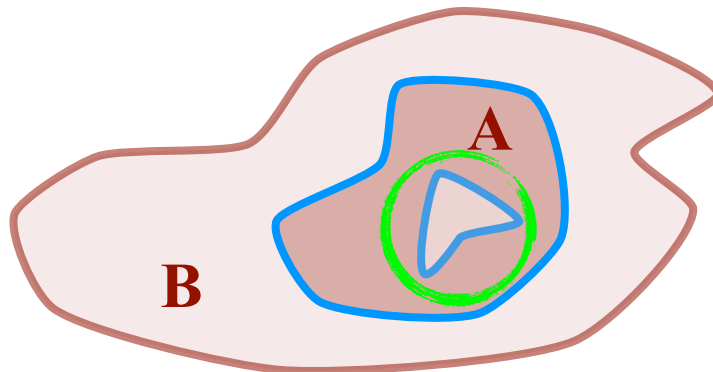
- **geometric aspects** of the bipartition



$$S = aL + b \cdot (\# \text{ of corners})$$

(for 2D gapped state)

- **topological aspects** of the bipartition



$$S = aL - \gamma \cdot (\# \text{ of disconnected parts})$$

(for 2D gapped state)

Corrections to the boundary law

Corrections to the boundary law
also originate from the specific
character of the underlying quantum many-body state!

- **topological** spin liquids



$$S = aL - \gamma$$

quantum double models
Levin-Wen / Kitaev models

- **gapless** spin liquids
 - gapless modes at **singular point** in momentum space

$$S = aL + c\gamma(L_x, L_y)$$

Kitaev model
(honeycomb)

- gapless modes on **surface** in momentum space

$$S = cL \ln(L)$$

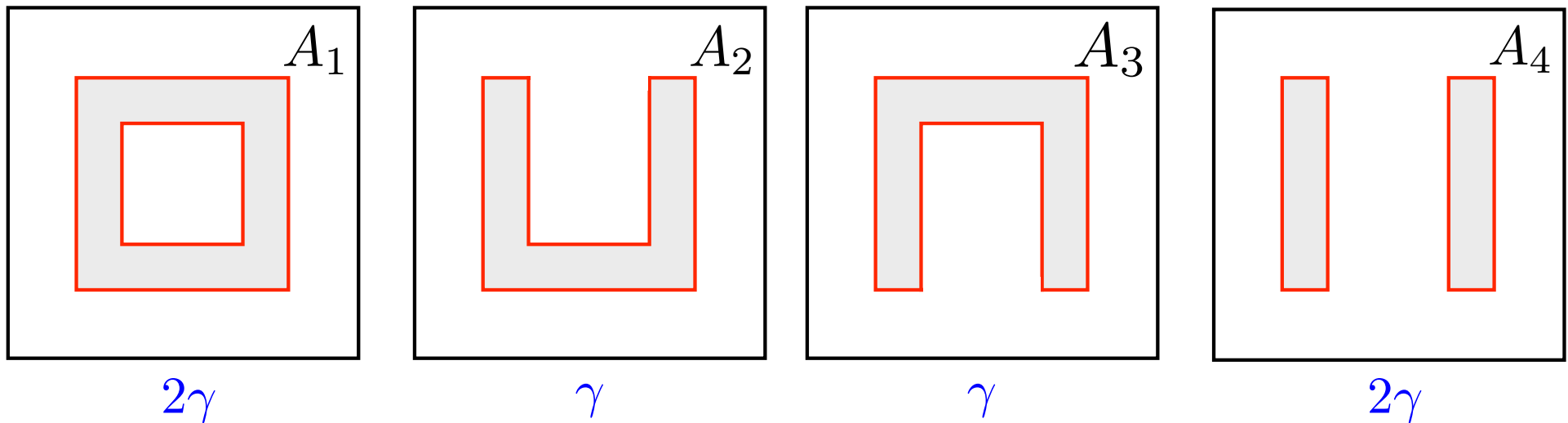
“Bose metals”
(Motrunich, Sheng & Fisher)

- **critical points, conformal critical points, Goldstone modes, ...**

$$S_{\text{QCP}} = aL + c\gamma(L_x, L_y) \quad S_{\text{cQCP}} = \mu L + \gamma_{\text{cQCP}} \quad S_{\text{G}} = aL + b \ln(L) + \gamma(L_x, L_y)$$

Topological entanglement entropy

Distilling the **topological correction**
by using a clever sequence of partitions



$$S = aL + b \cdot (\# \text{ of corners}) - \gamma \cdot (\# \text{ of disconnected parts})$$

$$S_{\text{topo}} = -S_{A_1} + S_{A_2} + S_{A_3} - S_{A_4} = -2\gamma$$

A. Kitaev and J. Preskill, Phys. Rev. Lett. **96**, 110404 (2006);
M. Levin and X.-G. Wen, Phys. Rev. Lett. **96**, 110405 (2006).

Topological entanglement entropy

The topological correction is universal.

$$\gamma = \ln \sqrt{\sum_{i=1}^n d_i^2}$$

← quantum dimension of excitation

Examples: • toric code (loop gas)

	1	<i>e</i>	<i>m</i>	<i>em</i>
<i>d_i</i>	1	1	1	1

$$\gamma = \ln \sqrt{1 + 1 + 1 + 1} = \ln 2 \approx 0.693$$

• Fibonacci theory (string net)

	1	τ
<i>d_i</i>	1	$\phi = \frac{1 + \sqrt{5}}{2}$

$$\gamma = \ln \sqrt{1 + \phi^2} \approx 0.643$$

A. Kitaev and J. Preskill, Phys. Rev. Lett. **96**, 110404 (2006);
M. Levin and X.-G. Wen, Phys. Rev. Lett. **96**, 110405 (2006).

Let's try this on the toric code

Examples: • toric code (loop gas)

	1	e	m	em
d_i	1	1	1	1

$$\gamma = \ln \sqrt{1 + 1 + 1 + 1} = \ln 2 \approx 0.693$$

ARTICLES

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Identifying topological order by entanglement entropy

Hong-Chen Jiang¹, Zhenghan Wang² and Leon Balents^{1*}

Nature Physics 8, 902 (2012).

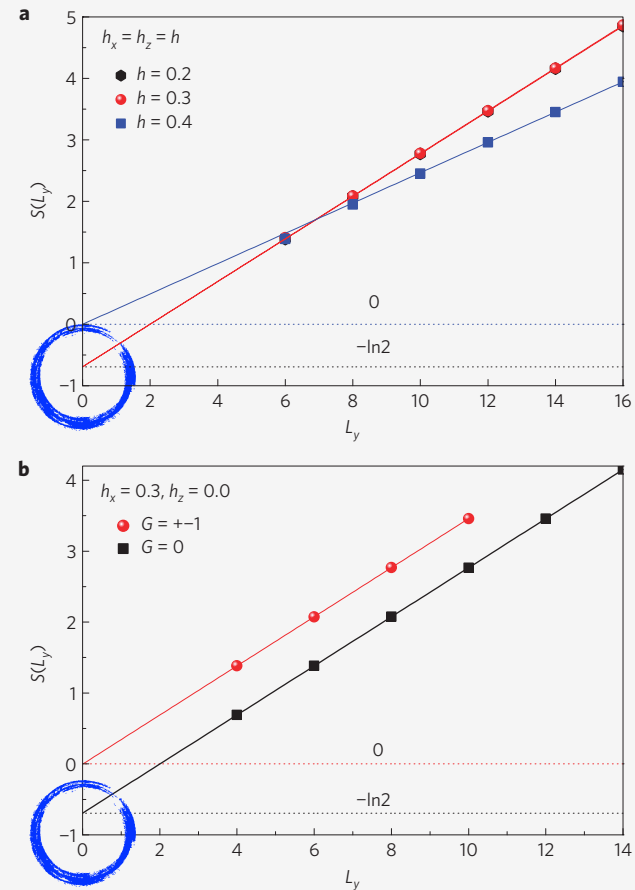
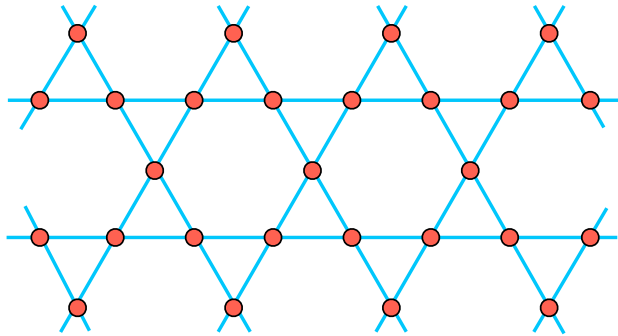


Figure 2 | The von Neumann entropy $S(L_y)$ for the toric-code model in magnetic fields. a, $S(L_y)$ with $L_y = 4-16$ at $L_x = \infty$ for symmetric magnetic fields at $h_x = h_z = h = 0.2, 0.3$ and 0.4 . By fitting $S(L_y) = aL_y - \gamma$, we get $\gamma = 0.693(1), 0.691(4)$ and $0.001(5)$, respectively. **b**, The pure electric case, $h_x = 0.3, h_z = 0$, and comparison of $S(L_y)$ in the MES obtained in the large L_x limit (black squares) with that of the absolute ground state from systems of dimensions $L_x \times L_y = 20 \times 4, 24 \times 6, 24 \times 8, 24 \times 10$ (red circles). Extrapolation shows that the MES has the universal TEE, whereas the absolute ground state has zero TEE.

Kagomé antiferromagnet



Heisenberg model

$$H = \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

on kagomé lattice

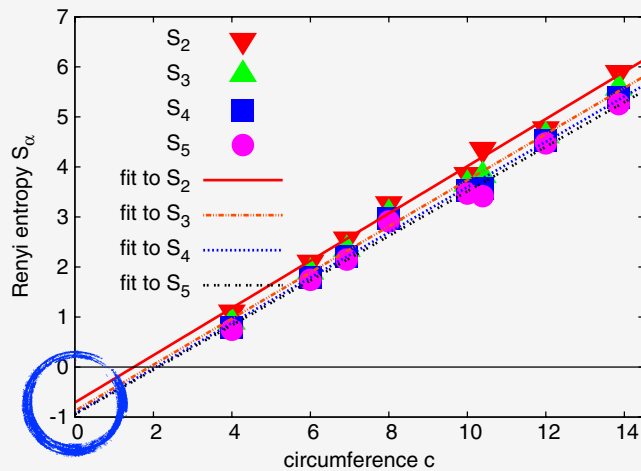


FIG. 6 (color online). Renyi entropies S_α of infinitely long cylinders for various α versus circumference c , extrapolated to $c = 0$. The negative intercept is the topological entanglement entropy γ .

S. Depenbrock, I.P. McCulloch, and U. Schollwöck,
Phys. Rev. Lett. **109**, 067201 (2012).

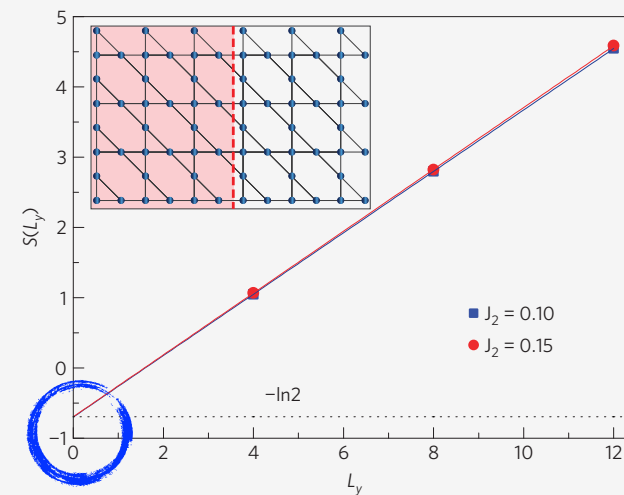


Figure 3 | The entanglement entropy $S(L_y)$ of the kagome J_1 - J_2 model in equation (2), with $L_y = 4$ - 12 at $L_x = \infty$. By fitting $S(L_y) = aL_y - \gamma$, we get $\gamma = 0.698(8)$ at $J_2 = 0.10$ and $\gamma = 0.694(6)$ at $J_2 = 0.15$. Inset: kagome lattice with $L_x = 12$ and $L_y = 8$.

H.-C. Jiang, Z. Wang, and L. Balents,
Nature Physics **8**, 902 (2012).

bulk properties

entanglement spectrum

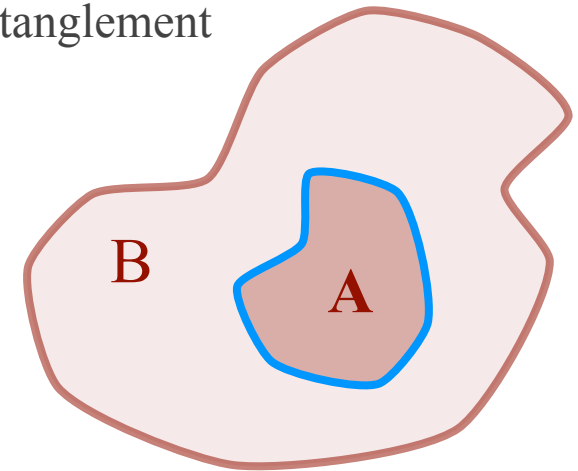
Entanglement spectrum

- The entanglement entropy is one quantitative measure of entanglement

$$\rho_A = |\psi\rangle_A \langle \psi|_A \quad (\text{traced over subsystem B})$$



$$S(\rho_A) = -\text{Tr}(\rho_A \log \rho_A)$$



- However, **a lot of information** possibly contained in the density matrix **is discarded** in this simple measure.
- The **entanglement spectrum** aims at unraveling some of this information

$$\rho_A = |\psi\rangle_A \langle \psi|_A = \sum_{\alpha} \lambda_{\alpha}^2 |\phi_{\alpha}\rangle_A \langle \phi_{\alpha}|_A \quad (\text{Schmidt decomposition})$$

$$S(\rho_A) = - \sum_{\alpha} \lambda_{\alpha}^2 \ln \lambda_{\alpha}^2$$

$$\lambda_{\alpha} = e^{-\xi_{\alpha}/2}$$

$$\rho_A = e^{-\textcircled{H_E}} \quad \text{“entanglement Hamiltonian”}$$

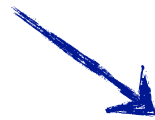
($H_E = -\ln \rho_A$)

Entanglement spectrum

H. Li and F.D.M. Haldane, Phys. Rev. Lett. **101**, 010504 (2008).

- The **entanglement spectrum** aims at unraveling some of the information contained in the density matrix

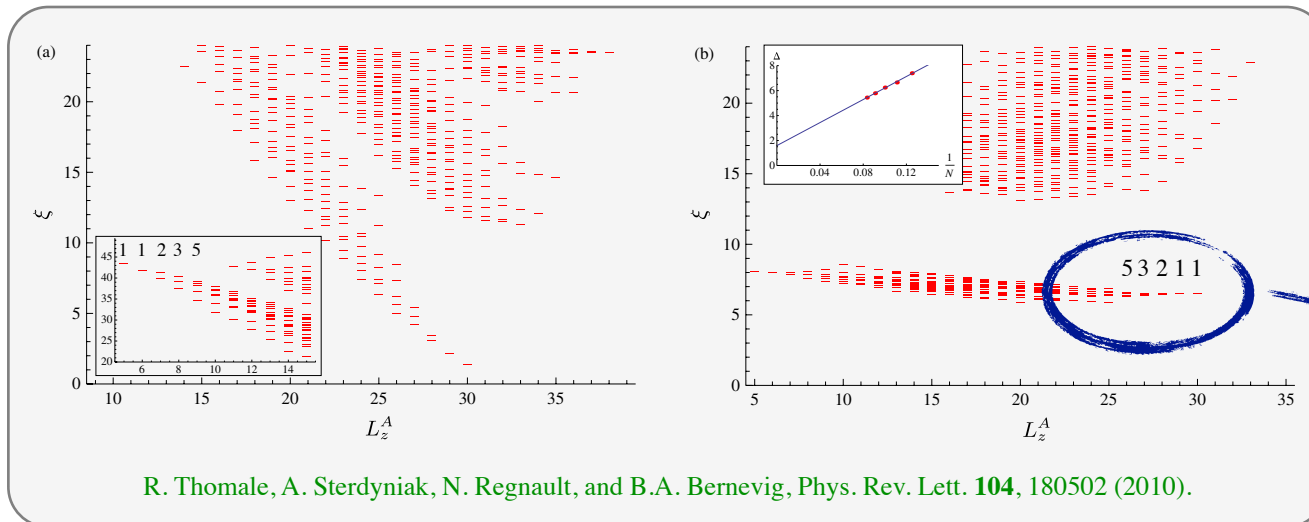
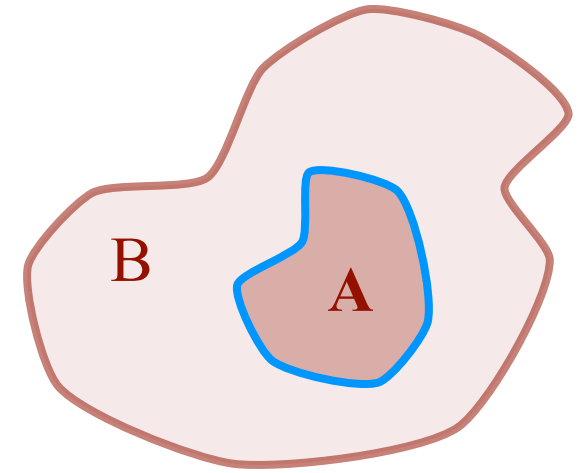
$$\rho_A = |\psi\rangle_A \langle \psi|_A = \sum_{\alpha} \lambda_{\alpha}^2 |\phi_{\alpha}\rangle_A \langle \phi_{\alpha}|_A$$



$$\lambda_{\alpha} = e^{-\xi_{\alpha}/2}$$

$$\rho_A = e^{-H_E}$$

“entanglement Hamiltonian”



counting related to number of modes of the gapless edge theory (CFT)

Entanglement summary

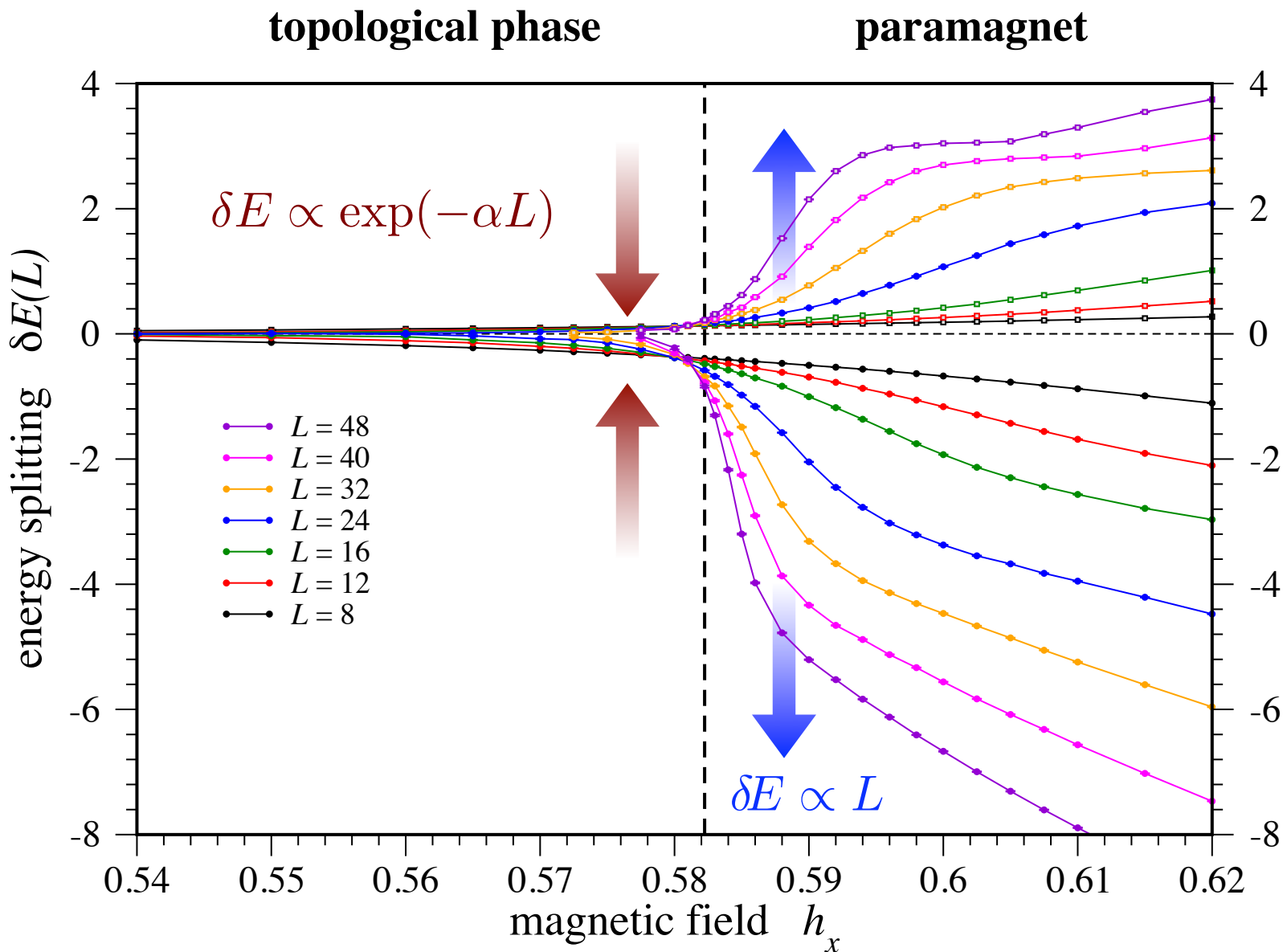
- The **entanglement entropy** and **entanglement spectrum** are powerful bulk “observables” that allow
 - to **unambiguously distinguish topological order** from conventional order
 - to characterize the type of topological order to a good extent*
 - * in some measures, e.g. the topological entanglement entropy, some unambiguities might remain and require additional work
- The **reduced density matrix** is **readily available** in some numerical methods such as
 - exact diagonalization
 - density matrix renormalization group
$$\rho_A = |\psi\rangle_A \langle\psi|_A$$
- Other numerical techniques have caught up, in particular
 - quantum Monte Carlo → replica trick → Renyi entropies / entanglement entropy
You will hear about this in Peter Bröcker’s talk tomorrow morning.
 - numerical linked cluster expansion → mutual information

bulk properties

ground-state degeneracy

Ground-state degeneracy

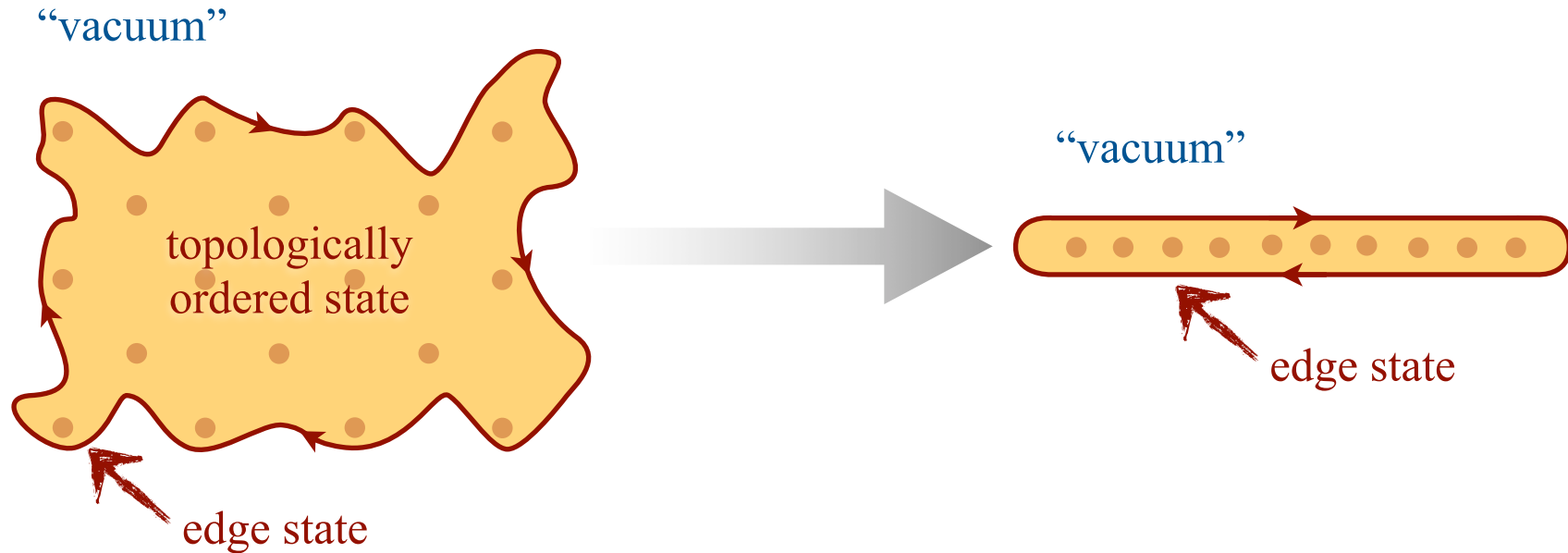
ST *et al.*, Phys. Rev. Lett. **98**, 070602 (2007).



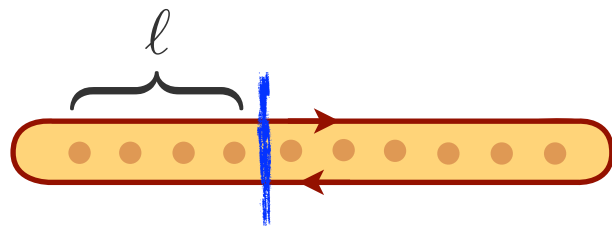
edge properties

entanglement entropy

Edge states



- **Edge states** correspond to the **gapless modes** of a critical one-dimensional system, which are typically described by a **conformal field theory (CFT)**.
- The conformal field theory can again be identified via entanglement properties



central charge

$$S = \frac{c}{3} \log \left(L \sin \left(\frac{\pi \ell}{L} \right) \right) \xrightarrow{\ell = L/2} S = \frac{c}{3} \log L$$

Edge states

- **Edge states** correspond to the **gapless modes** of a critical one-dimensional system, which are typically described by a **conformal field theory (CFT)**.
- The CFT can be identified via **entanglement properties**
- Even more information about the CFT reveals itself in the **energy spectrum**

$$S = \frac{c}{3} \log L$$

$$E = E_1 L + \frac{2\pi v}{L} \left(-\frac{c}{12} + x_s \right)$$

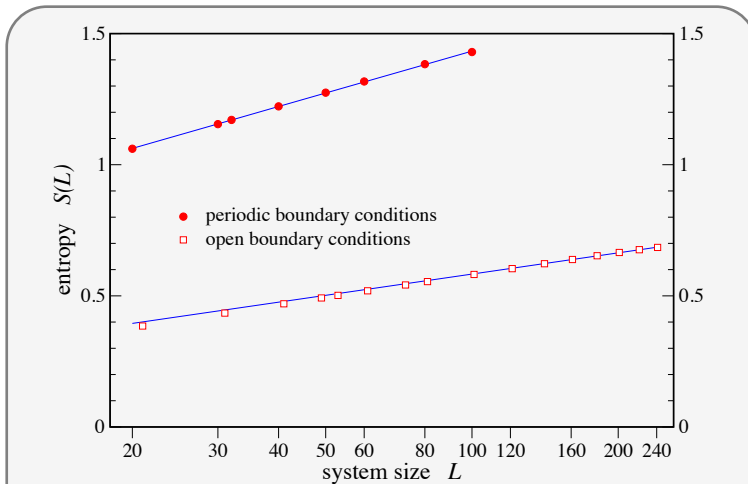


FIG. 2: (Color online) Entropy scaling for interacting Fibonacci anyons arranged along an open (open squares) or periodic chain (closed circles) versus the system size L .

A. Feiguin et al., Phys. Rev. Lett. **98**, 160409 (2007).

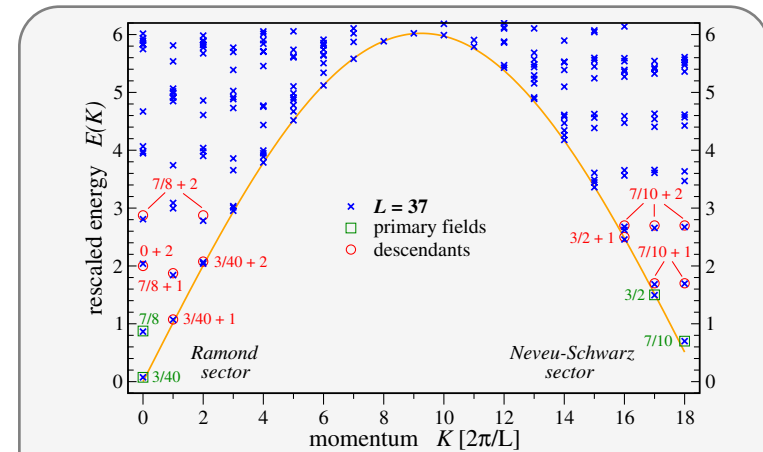


FIG. 3 (color online). Energy spectra for periodic chains of size L . Energies are rescaled and shifted such that the two lowest eigenvalues match the CFT assignments. Open boxes indicate positions of primary fields of the $c = \frac{7}{10}$ CFT. Open circles give positions of descendant fields as indicated.

A. Feiguin et al., Phys. Rev. Lett. **98**, 160409 (2007).

We are done!

So what did we learn?

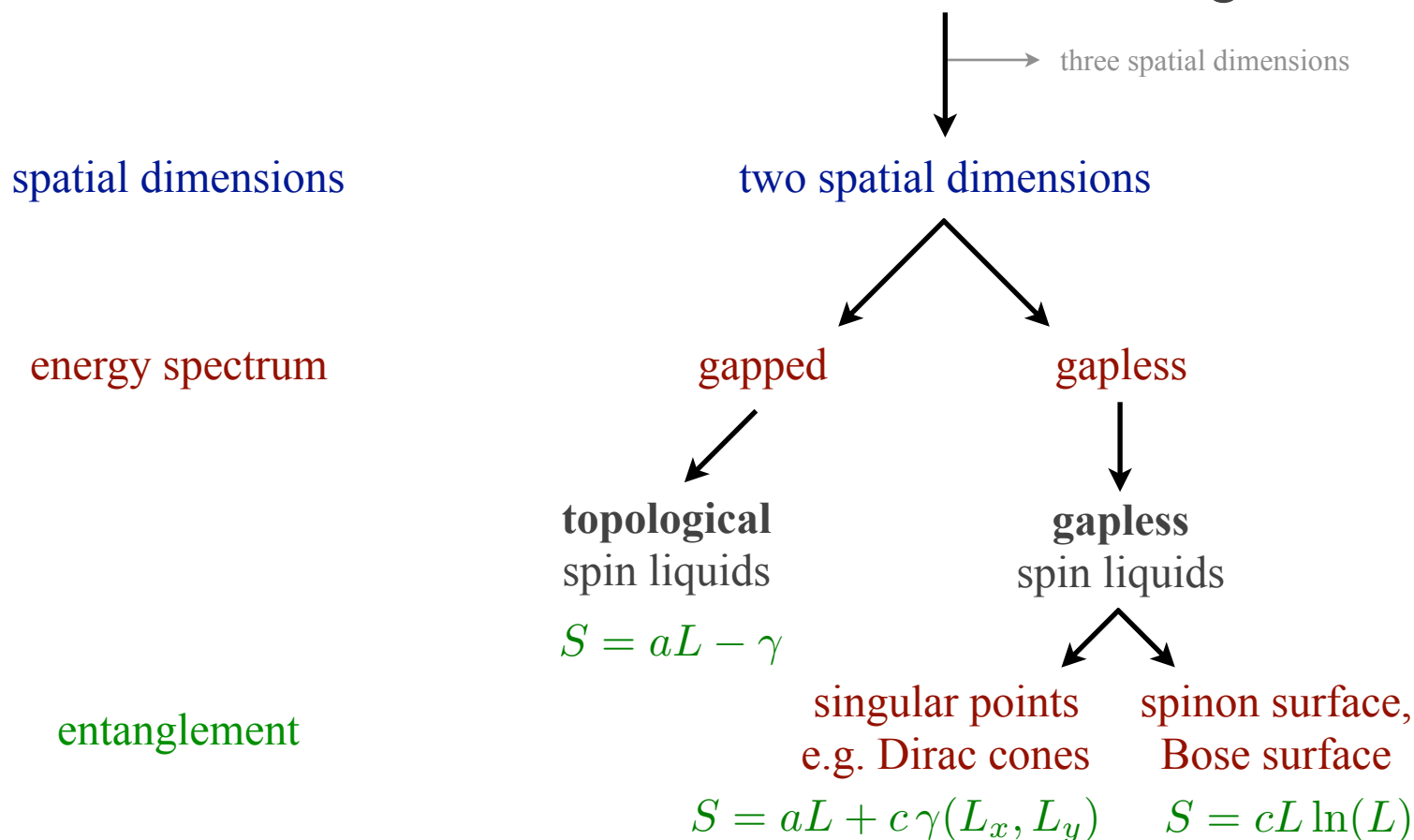


classification of quantum spin liquids

Quantum spin liquids

Quantum spin liquids are exotic ground states of frustrated quantum magnets, in which **local moments** are **highly correlated** but **still fluctuate strongly** down to zero temperature.

Classification a la Xiao-Gang Wen



Summary

- The formation of **quantum spin liquids** in an interacting quantum many-body system is one of the **most fascinating** phenomena in condensed matter physics
- The identification of **topological order or gapless spin liquids** builds on concepts from
 - statistical physics van Neumann & Renyi entropies
 - quantum information theory entanglement
 - mathematical physics boundary laws, anyon theories
- The exploration of topological order is a **rich and quickly evolving research field** – just at its beginning.

All slides of this presentation will become available on our group webpage at www.thp.uni-koeln.de/trebst