

①

Lect 15: Superconductivity — functional field method

$$H = \int d\mathbf{r} \psi_{\alpha}^{\dagger}(\mathbf{r}) \left[\frac{1}{2m} (-i\nabla - e\mathbf{A})^2 + ie\phi - \mu \right] \psi_{\alpha}(\mathbf{r}) - g \int d\mathbf{r} \psi_{\uparrow}^{\dagger}(\mathbf{r}) \psi_{\downarrow}^{\dagger}(\mathbf{r}) \psi_{\downarrow}(\mathbf{r}) \psi_{\uparrow}(\mathbf{r})$$

↑
this ϕ is i ⊗ conventional scalar potential

$$\rightarrow S[\bar{\psi}, \psi] = \int_0^{\beta} d\tau \int d\mathbf{r} \left\{ \left[\bar{\psi}_{\alpha} (\partial_{\tau} + ie\phi + \frac{1}{2m} (-i\nabla - e\mathbf{A})^2 - \mu) \psi_{\alpha}(\mathbf{r}) - g \bar{\psi}_{\uparrow} \bar{\psi}_{\downarrow} \psi_{\downarrow} \psi_{\uparrow} \right] \right\}$$

gauge invariance

$$\begin{aligned} \psi_{\alpha} &\rightarrow e^{i\theta} \psi_{\alpha}, & \vec{A} &\rightarrow \vec{A} + e^{-1} \nabla \theta = \vec{A}' \\ \bar{\psi}_{\alpha} &\rightarrow \bar{e}^{i\theta} \bar{\psi}_{\alpha} & \phi &\rightarrow \phi - e^{-1} \partial_{\tau} \theta = \phi' \end{aligned}$$

minimal coupling

$$\begin{aligned} (-i\nabla - e\vec{A}') \psi' &= e^{i\theta} (-i\nabla - e\mathbf{A}) \psi \\ (\partial_{\tau} + ie\phi') \psi' &= e^{i\theta} (\partial_{\tau} + ie\phi) \psi \end{aligned}$$

§ Hubbard - Stratonovich transformation:

$$\exp \left[g \int d\tau \int d\mathbf{r} \bar{\psi}_{\uparrow} \bar{\psi}_{\downarrow} \psi_{\downarrow} \psi_{\uparrow} \right] = \int D\bar{\Delta} D\Delta \exp \left[- \int d\tau \int d\mathbf{r} \left[\frac{|\Delta|^2}{g} - (\bar{\Delta} \psi_{\downarrow} \psi_{\uparrow} + \Delta \bar{\psi}_{\uparrow} \bar{\psi}_{\downarrow}) \right] \right]$$

define Nambu spinor

$$\bar{\psi} = (\bar{\psi}_{\uparrow}, \psi_{\downarrow}), \quad \psi = \begin{pmatrix} \psi_{\uparrow} \\ \bar{\psi}_{\downarrow} \end{pmatrix}$$

$$\mathcal{Z} = \int D\bar{\psi} D\psi \int D\bar{\Delta} D\Delta \exp \left\{ - \int d\tau d\mathbf{r} \left[\left(\frac{1}{g} |\Delta|^2 - \bar{\psi} \mathcal{G}^{-1} \psi \right) \right] \right\},$$

(2)

$$\text{where } \mathcal{Y}^{-1} = \begin{bmatrix} -\partial_z - ie\phi - \frac{1}{2m}(-i\nabla - eA)^2 + \mu, & \Delta \\ \bar{\Delta}, & -\partial_z + ie\phi + \frac{1}{2m}(i\nabla - eA)^2 - \mu \end{bmatrix}$$

$$G_p^{-1} = -\partial_z - H, \quad G_h^{-1} = -\partial_z + H^T, \quad \text{where } \hat{\chi}^T = \hat{\chi}, \quad \hat{p}^T = -\hat{p}.$$

$$\Rightarrow \mathcal{Z} = \int D\bar{\Delta} D\Delta \exp\left[-\frac{1}{g} \int dz dr |\Delta|^2 + \ln \det \mathcal{Y}^{-1}\right]$$

$$= \int D\bar{\Delta} D\Delta \exp\left\{-\frac{1}{g} \int dz dr |\Delta|^2 + \text{tr} \ln[\mathcal{Y}^{-1}]\right\}$$

$$\mathcal{Y}^{-1} = \mathcal{Y}_0^{-1}(\Delta_0) + \delta\Delta \tau^+ + \delta\bar{\Delta} \tau^-, \quad \text{where } \Delta = \Delta_0 + \delta\Delta$$

$$= \mathcal{Y}_0^{-1} [1 + \mathcal{Y}_0 (\delta\Delta \tau^+ + \delta\bar{\Delta} \tau^-)]$$

$$\Rightarrow \text{tr} [\ln(-\mathcal{Y}^{-1})] = \text{tr} [\ln(-\mathcal{Y}_0^{-1} (1 + \mathcal{Y}_0 (\delta\Delta \tau^+ + \delta\bar{\Delta} \tau^-)))]$$

$$= \text{tr} \{\ln(-\mathcal{Y}_0^{-1})\} + \text{tr} [\ln(1 + \mathcal{Y}_0 (\delta\Delta \tau^+ + \delta\bar{\Delta} \tau^-))]$$

$$= \text{tr} \{\ln(-\mathcal{Y}_0^{-1})\} + \text{tr} [\mathcal{Y}_0 (\delta\Delta \tau^+ + \delta\bar{\Delta} \tau^-)]$$

$$- \frac{1}{2} \text{tr} [\mathcal{Y}_0 (\delta\Delta \tau^+ + \delta\bar{\Delta} \tau^-) \mathcal{Y}_0 (\delta\Delta \tau^+ + \delta\bar{\Delta} \tau^-)]$$

+ ...

$$|\Delta + \delta\Delta|^2 = |\Delta|^2 + \bar{\Delta}_0 \delta\Delta + \Delta_0 \delta\bar{\Delta} + \delta\bar{\Delta} \delta\Delta$$

$\Rightarrow$ Search for the saddle point

to the linear order

③

$$\left\{ -\frac{1}{g} \bar{\Delta}_0 + \text{tr}_{x,z} \left[y_0(\Delta_0)(x,z,x,z) \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \right] \right\} \delta \Delta = 0$$

$$-\frac{1}{g} \bar{\Delta}_0 + \frac{1}{V\beta} \sum_{k, i\omega_n} \begin{bmatrix} i\omega_n - \xi_k & \Delta_0 \\ \bar{\Delta}_0 & i\omega_n + \xi_k \end{bmatrix}^{-1}_{21} = 0$$

$$\Rightarrow -\frac{1}{g} \bar{\Delta}_0 + \frac{1}{V} \sum_k \frac{1}{\beta} \sum_{i\omega_n} \frac{1}{(i\omega_n)^2 - E_k^2} \begin{bmatrix} i\omega_n + \xi_k & -\Delta \\ -\bar{\Delta}_0 & i\omega_n - \xi_k \end{bmatrix}_{21} = 0$$

$$\Rightarrow -\frac{1}{g} + \int \frac{d^3k}{(2\pi)^3} \frac{1}{\beta} \sum_{i\omega_n} \frac{-1}{(i\omega_n)^2 - E_k^2} = 0$$

$$\frac{1}{\beta} \sum_{i\omega_n} \frac{1}{(i\omega_n)^2 - E_k^2} = \frac{1}{\beta} \frac{1}{2E_k} \sum_{i\omega_n} \left[\frac{1}{i\omega_n - E_k} - \frac{1}{i\omega_n + E_k} \right]$$

$$= \frac{1}{2E_k} [n_F(E_k) - n_F(-E_k)] = -\frac{1}{2E_k} \tanh \frac{\beta}{2} E_k$$

$$\Rightarrow \text{gap Equation: } \boxed{\frac{1}{g} = \int \frac{d^3k}{(2\pi)^3} \frac{\tanh \frac{\beta}{2} E_k}{2E_k}}.$$

§ Expansion close to T_c — Ginzburg-Landau free energy

Let us set the free y_0 as $\Delta=0$, and expand the free energy around it. y_0 is propagator of the free system.

$$\Rightarrow \text{tr} \ln \left[-\hat{y}_0^{-1} (1 + \hat{y}_0 \hat{\Delta}) \right] = \underbrace{\text{tr} \ln -\hat{y}_0^{-1}}_{\text{const}} + \underbrace{\text{tr} \ln (1 + \hat{y}_0 \hat{\Delta})}_{\text{even power}} \quad (4)$$

$$= - \sum_{n=0}^{\infty} \frac{1}{2n} \text{tr} [(\hat{y}_0 \hat{\Delta})^{2n}]$$

where $y_0(k, i\omega_n) = \begin{bmatrix} i\omega_n - \xi_k & 0 \\ 0 & i\omega_n + \xi_k \end{bmatrix}$, $\hat{\Delta} = \begin{bmatrix} 0 & \Delta \\ \bar{\Delta} & 0 \end{bmatrix}$.

$$\Rightarrow -\frac{1}{2} \text{tr} [(\hat{y}_0 \hat{\Delta})^2] = -\frac{1}{2} \text{tr} \left[y_0 \begin{bmatrix} 0 & \Delta \\ \bar{\Delta} & 0 \end{bmatrix} y_0 \begin{bmatrix} 0 & \Delta \\ \bar{\Delta} & 0 \end{bmatrix} \right]$$

$$= -\text{tr} [y_{0,11} \Delta y_{0,22} \bar{\Delta}] = - \sum_q \sum_p \left[y_{0,11}(q) \Delta(q) y_{0,22}(p-q) \bar{\Delta}(q) \right]$$

$$\downarrow \langle p | y_{0,11} | p \rangle \langle p | \Delta | p-q \rangle \langle p-q | y_{0,22} | p-q \rangle \bar{\Delta}(q)$$

$$\langle p-q | \bar{\Delta} | p \rangle$$

$$\Rightarrow -\frac{1}{2} \text{tr} [(\hat{y}_0 \hat{\Delta})^2] = - \sum_q \bar{\Delta}(q) \Delta(q) \sum_p \frac{1}{\beta} \sum_{\omega_n} y_{0,11}(q) y_{0,22}(p-q)$$

$$\Rightarrow S^2[\Delta, \bar{\Delta}] = \sum_q P_q^{-1} |\Delta(q)|^2$$

$$= \sum_q \left(\frac{1}{g} + \frac{1}{v\beta} \sum_p \sum_{\omega_n} \frac{1}{i p_n - \xi_p} \frac{1}{i p_n - i \omega_n + \xi_{p-q}} \right)$$

$$= \sum_q \left(\frac{1}{g} - \frac{1}{v\beta} \sum_p \sum_{\omega_n} \frac{1}{i p_n - \xi_p} \frac{1}{i \omega_n - i p_n - \xi_{-p+q}} \right)$$

$$-\frac{1}{\beta} \sum_{\omega_n} \frac{1}{i \omega_n - \xi_p} \frac{1}{i \omega_n - i p_n - \xi_{-p+q}} = \frac{1 - n_f(\xi_p) - n_f(\xi_{-p+q})}{i \omega_n - \xi_p - \xi_{-p+q}}$$

$$P^{-1}(q=0) = \frac{1}{g} + \frac{1}{V} \sum_{\vec{p}} \frac{(1 - 2n_f(\xi_p))}{-2\xi_p} = \frac{1}{g} - \int \frac{d^3k}{(2\pi)^3} \frac{\tanh \frac{\beta}{2} \xi_p}{2\xi_p} \quad (5)$$

$P^{-1}(q=0) = 0$ means the instability, i.e. T_c is determined by

$$\frac{1}{g} = N(0) \int_{-\hbar\omega_0}^{+\hbar\omega_0} d\xi \frac{\tanh \frac{\beta}{2} \xi_p}{2\xi_p}.$$

Expand $P^{-1}(q=0, T)$ around $T_c \Rightarrow$

$$\tanh \frac{\beta}{2} \xi_p = \tanh \frac{\beta_c}{2} \xi_p + \frac{-\frac{\beta_c}{2} \xi_p}{ch^2 \frac{\beta_c}{2} \xi_p} \frac{\Delta T}{T_c}$$

$$\begin{aligned} \Rightarrow P^{-1}(q=0, T) &= \left[\int \frac{d^3k}{(2\pi)^3}, \frac{\frac{\beta_c}{2}}{ch^2 \frac{\beta_c}{2} \xi_p} \right] \frac{\Delta T}{T_c} = N(0) \left[\int d\xi \frac{\frac{\beta_c}{2}}{ch^2 \frac{\beta_c}{2} \xi} \right] \frac{\Delta T}{T_c} \\ &= N(0) \left[\int_{-\infty}^{+\infty} dx \frac{1}{ch^2 x} \right] \frac{\Delta T}{T_c} = N(0) \frac{\Delta T}{T_c}. \end{aligned}$$

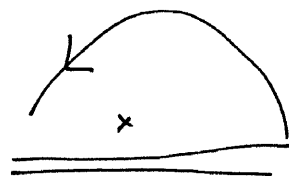
Similarly for higher order: set $(q, q_n) = 0 \Rightarrow$

$$\begin{aligned} S^{(2n)} &= \frac{1}{2n} \text{tr} \left\{ \left[\mathcal{G}_0 \hat{\Delta} \right]^{2n} \right\} = \frac{1}{2n} \text{tr} \left\{ \begin{bmatrix} G_p & \\ & -G_{-p} \end{bmatrix} \begin{bmatrix} 0 & \Delta \\ \bar{\Delta} & 0 \end{bmatrix} \right\}^{2n} \\ &= \frac{1}{2n} \text{tr} \left\{ \begin{bmatrix} 0 & G_p \Delta \\ -G_{-p} \bar{\Delta} & 0 \end{bmatrix}^2 \right\}^n = \frac{(-)^n}{2n} \text{tr} \left[\begin{bmatrix} G_p \Delta G_{-p} \bar{\Delta} & 0 \\ 0 & G_{-p} \bar{\Delta} G_p \Delta \end{bmatrix} \right]^n \\ &= \frac{(-)^n}{n} \sum_P \frac{1}{\beta} \sum_{ip_n} \left[\frac{1}{ip_n - \xi_p} \frac{1}{-ip_n - \xi_{-p}} \right]^n |\Delta|^{2n} \end{aligned}$$

(6)

$$= \frac{(-)^n}{n} \frac{N(0)}{\beta} \sum_{ip_n} \int_{-\omega_D}^{\omega_D} d\xi \left(\frac{1}{P_n^2 + \xi^2} \right)^n |\Delta|^{2n}$$

$$\int_{-\infty}^{+\infty} d\xi \left(\frac{1}{P_n^2 + \xi^2} \right)^n = |P_n|^{-(2n-1)} \int_{-\infty}^{+\infty} dx \left(\frac{1}{x^2 + 1} \right)^n$$



$$\int_{-\infty}^{+\infty} dx \left(\frac{1}{x^2 + 1} \right)^n = \frac{2\pi i}{(n-1)!} \left[\frac{d}{dx} \left(\frac{1}{x+i} \right)^n \right] \Big|_{x=i}$$

$$= \frac{2\pi}{(n-1)!} \frac{(2n-1)!}{(n-1)!} \left(\frac{1}{2i} \right)^{2n-1} (-)^{n-1} \cdot i = \frac{2\pi (2n-1)!}{[(n-1)!]^2 2^{2n-1}}$$

$$\Rightarrow S^{(2n)} = (-)^n N(0) T \left(\frac{|\Delta|}{T} \right)^{2n} \cdot \text{const of } (n).$$

$$\Rightarrow \underline{S_{GL}[\Delta, \bar{\Delta}] = \int d^d r \quad r |\Delta|^2 + \beta |\Delta|^4 + \text{gradient term}}.$$

§ 6 Action of the Goldstone mode (in the ordered state $\Delta \neq 0$) ⑦

$$g^{-1} = \begin{pmatrix} -\partial_z - i\phi - \frac{1}{2m}(-i\nabla - A)^2 + \mu, & \Delta_0 e^{i2\theta} \\ \Delta_0 e^{-i2\theta}, & -\partial_z + i\phi + \frac{1}{2m}(i\nabla - A)^2 - \mu \end{pmatrix}$$

where $e\phi \rightarrow \phi$, $eA \rightarrow A$, "e" has been absorbed. Further, we can absorb "θ" by the transform $\hat{u} = \begin{pmatrix} e^{-i\theta} & 0 \\ 0 & e^{i\theta} \end{pmatrix}$, then

$$g^{-1} \rightarrow u g^{-1} u^\dagger = \begin{pmatrix} -\partial_z - i\tilde{\phi} - \frac{1}{2m}(-i\nabla - \tilde{A})^2 + \mu, & \Delta_0 \\ \Delta_0, & -\partial_z + i\tilde{\phi} + \frac{1}{2m}(i\nabla - \tilde{A})^2 - \mu \end{pmatrix}$$

where $\tilde{A} = A - \nabla\theta$, $\tilde{\phi} = \phi + \partial_z\theta$.

$$\text{Now } g^{-1} = \underbrace{\begin{pmatrix} -\partial_z + \frac{\nabla^2}{2m} + \mu, & \Delta_0 \\ \Delta_0, & -\partial_z - \frac{\nabla^2}{2m} - \mu \end{pmatrix}}_{g_0^{-1}} \underbrace{- i\tilde{\phi}\tau_3 - \frac{i}{2m}[\nabla, \tilde{A}]_+}_{-\hat{\chi}_1} - \underbrace{\frac{\tilde{A}^2}{2m}\tau_3}_{-\hat{\chi}_2}$$

$$S[\tilde{A}, \tilde{\phi}] = -\text{tr}[\ln(-g^{-1})] = -\text{tr}\{\ln[-g_0^{-1}[1 - g_0(\hat{\chi}_1 + \hat{\chi}_2)]\}$$

$$= \text{const} + \underbrace{\text{tr}[-g_0 \hat{\chi}_1]}_{\text{1st order } \tilde{A}, \tilde{\phi}} + \underbrace{\text{tr}[g_0 \hat{\chi}_2 + \frac{1}{2} \hat{g}_0 \hat{\chi}_1 \hat{g}_0 \hat{\chi}_1]}_{\text{2nd order in } \tilde{A}, \tilde{\phi}}$$

the linear term

$$S^{(1)}[\tilde{A}, \tilde{\phi}] = \frac{1}{V\beta} \sum_{\vec{p}, i, p_n} [\underbrace{g_0(p)}_{\langle p | g_0 | p \rangle \times \langle p | \chi_1 | p \rangle} \hat{\chi}_1(p, p)] = \frac{1}{V\beta} \text{tr} \sum_{\vec{p}, i, p_n} [g_0(p) (i\tilde{\phi}_0 \tau_3 + \underbrace{\frac{i}{m} \vec{p} \cdot \tilde{A}}_{\substack{\uparrow \\ \text{the zero frequency} \\ \text{uniform part}}})]$$

⑧

the term propto to $\vec{p}$ should vanish because $g(p)$ is even.

$$\frac{1}{\beta} \sum_{ip_n} \text{tr}[g(p) \tau_3] = \frac{1}{\beta} \sum_{ip_n} [g_{11}(p, ip_n) - g_{22}(p, ip_n)]$$

according to $g(k, z-z') = - \begin{pmatrix} \langle T_z C_k(z) C_k^\dagger(z') \rangle, & \langle T_z C_k(z) C_{-k}(z') \rangle \\ \langle T_z C_{-k}^\dagger(z) C_k^\dagger(z') \rangle & \langle T_z C_{-k}^\dagger(z) C_{-k}(z') \rangle \end{pmatrix}$

$$\Rightarrow \begin{pmatrix} g(k, ik_n), & f(k, ik_n) \\ f^\dagger(k, ik_n), & -g(-k, -ik_n) \end{pmatrix}$$

$$\Rightarrow \frac{1}{\beta} \sum_{ip_n} \text{tr}[g(p) \tau_3] = \frac{1}{\beta} \sum_{ip_n} \left[g_{\uparrow\uparrow}(p, ip_n) + g_{\downarrow\downarrow}(-p, -ip_n) \right] = \langle \psi_\uparrow^\dagger(p) \psi_\uparrow(p) \rangle + \langle \psi_\downarrow^\dagger(p) \psi_\downarrow(p) \rangle$$

$\rightarrow S^{(1)}[\tilde{A}] = iN\phi_0 \leftarrow$ Should be compensated by the background, we can set to zero.

② the first term in the second order

$$\text{tr}[g_0 \hat{\chi}_2] = \frac{1}{2m} \frac{1}{V\beta} \sum_p [g_{11}(p, ip_n) - g_{22}(p, ip_n)] \tilde{A}^2(q=0)$$

$$= \frac{n}{2m} \int dz \int dr A^2(r, z) \text{ --- diamagnetic term.}$$

Now let us consider the second term, where $\hat{\chi}_1 = i\tilde{\phi}\tau_3 + \frac{i}{2m}[\nabla, \tilde{A}]_+$ ⑨

$$\frac{1}{2} \text{tr} [g_0 \hat{\chi}_1 g_0 \hat{\chi}_1],$$

$$= \frac{1}{2V} \frac{1}{\beta} \sum_{p,q} \text{tr} \left[-g_{0,p} \tilde{\phi}_q \tau_3 g_{0,p+\frac{q}{2}} \tilde{\phi}_{-\frac{q}{2}} + g_{0,p-\frac{q}{2}} \langle p | \frac{i}{2m} [\nabla, \tilde{A}]_+ | p+\frac{q}{2} \rangle g_{0,p+\frac{q}{2}} \langle p+\frac{q}{2} | \frac{i}{2m} [\nabla, \tilde{A}]_+ | p \rangle \right]$$

* we will neglect the dependence of

$g_{0,p+q}$ on " q ", because $\tilde{A}$, $\tilde{\phi}$ already contains information of

$\nabla\theta$ and $\partial_z\theta$.

* Let us check $\langle p | \frac{i}{2m} [\nabla, \tilde{A}]_+ | p+\frac{q}{2} \rangle = \frac{i}{2m} [i(p+\frac{q}{2}) A(q) - (-i(p-\frac{q}{2})) A(q)]$
 $= \frac{-i}{m} \vec{p} \cdot \vec{A}(q)$

similarly $\langle p+\frac{q}{2} | \frac{i}{2m} [\nabla, \tilde{A}]_+ | p-\frac{q}{2} \rangle = \frac{-i}{m} \vec{p} \cdot \vec{A}(-q)$

$\Rightarrow$ * Crossing term between $\tilde{\phi}$, $\vec{p} \cdot \vec{A}$, vanishes as $q \rightarrow 0$, because it is odd in momenta.

$$g_{0,p} = [i\omega_n - (\tau_3 \xi_p - \Delta_0 \tau_1)]^{-1} = \frac{1}{\omega_n^2 + \xi_p^2 + \Delta_0^2} [-i\omega_n - \tau_3 \xi_p + \tau_1 \Delta_0]$$

$$\Rightarrow \frac{1}{2} \text{tr} [g_0 \hat{\chi}_1 g_0 \hat{\chi}_1] = \frac{1}{2V\beta} \text{tr} \left\{ \frac{-i\omega_n - \tau_3 \xi_p + \tau_1 \Delta_0}{\omega_n^2 + \xi_p^2 + \Delta_0^2} [-\tilde{\phi}_q \tau_3] \frac{-i\omega_n - \tau_3 \xi_p + \tau_1 \Delta_0}{\omega_n^2 + \xi_p^2 + \Delta_0^2} [\tilde{\phi}_{-q} \tau_3] \right\}$$

$$+ \frac{1}{2V\beta} \text{tr} \left\{ \frac{-i\omega_n - \tau_3 \xi_p + \tau_1 \Delta_0}{\omega_n^2 + \xi_p^2 + \Delta_0^2} \left[\frac{-1}{m} \vec{p} \cdot \vec{A}(q) \right] \frac{-i\omega_n - \tau_3 \xi_p + \tau_1 \Delta_0}{\omega_n^2 + \xi_p^2 + \Delta_0^2} \left[\frac{-1}{m} \vec{p} \cdot \vec{A}(-q) \right] \right\} \quad (10)$$

$$= \frac{1}{V\beta} \sum_{p,q} \frac{1}{(\omega_n^2 + \lambda_p^2)^2} \left[\tilde{\phi}_q \tilde{\phi}_{-q} [-\omega_n^2 + \xi_p^2 - \Delta_0^2] + \frac{(\vec{p} \cdot \vec{A}(q))(\vec{p} \cdot \vec{A}(-q))}{m^2} (-\omega_n^2 + \xi_p^2 + \Delta_0^2) \right]$$

$$\text{set } \lambda_p^2 = \xi_p^2 + \Delta_0^2$$

$$= \frac{1}{V\beta} \sum_{p,q} \frac{1}{(\omega_n^2 + \lambda_p^2)^2} \left[\tilde{\phi}_q \tilde{\phi}_{-q} (-\omega_n^2 + \lambda_p^2 - 2\Delta_0^2) + \frac{\vec{p}^2 \vec{A}(q) \cdot \vec{A}(-q)}{3m^2} (-\omega_n^2 + \lambda_p^2) \right]$$

$$\rightarrow \text{translate back to real space of } \tilde{\phi}, \tilde{A} \quad \begin{cases} \tilde{\phi} = \phi + \partial_z \theta \\ \tilde{A} = A - \nabla \theta \end{cases}$$

$$S[\tilde{A}] = \int dz dr \left[\underbrace{\frac{1}{V\beta} \sum_p \frac{-\omega_n^2 + \lambda_p^2 - 2\Delta_0^2}{(\omega_n^2 + \lambda_p^2)^2}}_{C_1} \tilde{\phi}^2(r, z) + \underbrace{\left(\frac{n}{2m} - \frac{1}{3m^2} \frac{1}{V\beta} \sum_p \frac{\vec{p}^2 (-\omega_n^2 + \lambda_p^2)}{(\omega_n^2 + \lambda_p^2)} \right)}_{C_2} \tilde{A}^2(r, z) \right]$$

do frequency summation $\leftarrow$ exercise

$$\frac{1}{\beta} \sum_n \frac{-\omega_n^2 + \lambda_p^2 - 2\Delta_0^2}{(\omega_n^2 + \lambda_p^2)^2} = -\frac{1}{2\lambda_p} \left[n_F(-\lambda_p) \left(\frac{\Delta_0}{\lambda_p} \right)^2 + n_F'(-\lambda_p) \frac{\xi_p^2}{\lambda_p} \right] + (\lambda_p \rightarrow -\lambda_p)$$

$$\approx -\Delta_0^2 / (2\lambda_p^3)$$

$$\frac{1}{\beta} \sum_n \frac{-\omega_n^2 + \lambda_p^2}{(\omega_n^2 + \lambda_p^2)^2} = -\beta [n_F(\lambda_p) (1 - n_F(\lambda_p))]$$

$$\Rightarrow C_1 = -\frac{1}{V} \sum_p \frac{\Delta^2}{\lambda_p^3} = -\frac{N_0}{2} \int d\xi \frac{\Delta_0^2}{(\xi^2 + \Delta_0^2)^{3/2}} = -\frac{N_0}{2} \int dx \frac{1}{(x^2 + 1)^{3/2}} \quad (11)$$

$$= -N_0$$

← p^2 is peaked at k_F

$$C_2 = \frac{n}{2m} - \frac{N_0}{3m^2} k_F^2 \int d\xi \beta n_F(\lambda) (1 - n_F(\lambda))$$

at $T \ll \Delta_0$; $C_2 \approx \frac{n}{2m}$, i.e. the response is dominated by the diamagnetic term.

$$\text{at } T > \Delta_0 \cdot \int d\xi \beta n_F(\lambda) (1 - n_F(\lambda)) = - \int_{-\infty}^{\infty} d\xi \partial_\xi n_F(\xi) = n_F(-\infty) - n_F(\infty) = 1$$

$$\Rightarrow \lambda = \xi$$

$$n_F(\xi) = \frac{1}{e^{\beta\xi} + 1} \quad \partial_\xi n_F(\xi) = \frac{-e^{\beta\xi} \cdot \beta}{(e^{\beta\xi} + 1)^2} = -\beta n_F(1 - n_F)$$

$\Rightarrow C_2 = 0$. The diamagnetic response should be canceled by the paramagnetic response.

$$\text{in the middle} \Rightarrow C_2 = \frac{n_s}{2m} = n - \frac{2N_0 k_F^2}{3m} \int d\xi \beta n_F(\lambda) (1 - n_F(\lambda)) = n - n_s$$

the second term is the normal fluid density.

①

Lect 10 Superconductivity

The superfluidity theory we have discussed is for neutral systems.

How about for charged systems, say, superconductors?

The neutral boson Lagrangian

$$\mathcal{L}(\varphi) = \frac{i}{2} (\varphi^* \partial_t \varphi - \varphi \partial_t \varphi^*) - \frac{1}{2m} \partial_x \varphi^* \partial_x \varphi + \mu |\varphi|^2 - \frac{V_0}{2} |\varphi|^4$$

has the global $U(1)$ symmetry $\varphi \rightarrow e^{if} \varphi$, but it does not have the

symmetry $\varphi(x,t) \rightarrow e^{if(x,t)} \varphi(x,t)$.

For charged bosons (Cooper pairs of electrons)

$$\mathcal{L}(\varphi, A_\mu) = \frac{i}{2} (\varphi^* (\partial_0 + iA_0) \varphi - \varphi (\partial_0 - iA_0) \varphi^*) - \frac{1}{2m} |(\partial_i + iA_i) \varphi|^2 + \mu |\varphi|^2 - \frac{V_0}{2} |\varphi|^4 + \frac{1}{8\pi e^2} (\frac{1}{c} E^2 - c B^2)$$

$$\text{where } E_i = \partial_0 A_i - \partial_i A_0 = F_{0i}, \quad B_i = \epsilon_{ijk} \partial_j A_k = \frac{1}{2} \epsilon_{ijk} F_{jk}$$

which is invariant as

$$\varphi \rightarrow \tilde{\varphi} = e^{if(x,t)} \varphi, \quad \tilde{A}_\mu = A_\mu - \partial_\mu f$$

If φ_c satisfy the classical equation of motion (fix A_μ).

$\Rightarrow$ do variation respected to $\delta \varphi$: $\varphi' = e^{if(x,t)} \varphi \Rightarrow \delta \varphi' = \varphi (1 + if(x,t))$

$$\Rightarrow \mathcal{L}[\tilde{\varphi}, A_\mu] = \mathcal{L}[\varphi, A_\mu - \partial_\mu f]$$

$$\Rightarrow \delta \mathcal{L} = \int d^4x \, \partial_\mu f \cdot J^\mu$$

$$J_i = \frac{i}{2m} (\varphi^* \partial_i \varphi - \partial_i \varphi^* \cdot \varphi)$$

$$J_0 = \varphi^* \varphi, \quad \partial_\mu J^\mu = 0 + A_i |\varphi|^2$$

* Current correlation function & E-M responses

$$\mathcal{L}[\varphi, A_\mu] = \mathcal{L}[\varphi] - A_0 j^0 - A_i j^i - \frac{1}{2m} (A_i)^2 \rho$$

we use the linear response theory

$$\dot{\varphi}_i = -\frac{i}{2m} [\varphi^\dagger (\partial_i \varphi) - (\partial_i \varphi^\dagger) \varphi]$$

$$\langle J^\mu(x,t) \rangle = \langle j^\mu(x,t) \rangle + (1 - \delta_{\mu,0}) A_\mu \rho$$

$$= \int d^4x' \Pi^{\mu\nu}(x,t; x',t') A_\nu(x',t')$$

The response function ~~read~~ reads

$$\Pi^{00}(x,t; x',t') = -i \Theta(t-t') \langle [\rho(x,t), \rho(x',t')] \rangle$$

$$\Pi^{0i}(x,t; x',t') = -i \Theta(t-t') \langle [\rho(x,t), j^i(x',t')] \rangle$$

$$\Pi^{i0}(x,t; x',t') = -i \Theta(t-t') \langle [j^i(x,t), \rho(x',t')] \rangle$$

$$\Pi^{ij}(x,t; x',t') = -i \Theta(t-t') \langle [j^i(x,t), j^j(x',t')] \rangle + \delta^{ij} \delta(x-x') \delta(t-t') \frac{\langle \rho \rangle}{m}$$

$\Pi^{\mu\nu}$ satisfies

$$(\Pi^{\mu\nu}(x,t; x',t'))^* = \Pi^{\nu\mu}(x',t'; x,t)$$

$$\Rightarrow (\Pi^{\mu\nu}(k))^* = \Pi^{\nu\mu}(-k)$$

$\Pi^{\mu\nu}$ satisfies continuity & gauge invariance conditions.

$$\partial_\mu J^\mu = 0 \Rightarrow$$

$$k_\mu \Pi^{\mu\nu}(k) = 0$$

$$A \rightarrow A + \partial f \rightarrow$$

$$\Pi^{\mu\nu}(k) k_\nu = 0$$

we can decompose $\pi^{ij}(k)$ into transverse & longitudinal parts ③

spatial part $\rightarrow \pi^{ij}(k) = \frac{k_i k_j}{k^2} \pi''(k) + (\delta_{ij} - \frac{k_i k_j}{k^2}) \pi^\perp(k)$

$$\pi^{0i}(k) = (\pi^{i0}(-k))^\dagger = -\frac{k_j}{\omega} \pi^{ji}(k) \Leftarrow k_\mu \pi^{\mu\nu}(k) = 0$$

$$\pi^{00}(k) = -\frac{k_j}{\omega} \pi^{0j} = \frac{k_i k_j}{\omega^2} \pi^{ij}(k)$$

$\Rightarrow$

$$\begin{aligned} \pi^{0i}(k) &= -k_i \pi''(k) \\ \pi^{00}(k) &= \frac{k^2}{\omega^2} \pi''(k) \end{aligned}$$

the time component is only related to the longitudinal component.

For the correlation in the spatial direction, we define the paramagnetic contribution as

$$\pi_{\text{para}}^{ij}(x, t; x', t') = -i\theta(t-t') \langle [j^i(x, t), j^j(x', t')] \rangle,$$

then $\pi''(k) = \pi''_{\text{para}}(k) + \frac{\langle p \rangle}{m}$

$$\pi^\perp(k) = \pi^\perp_{\text{para}}(k) + \frac{\langle p \rangle}{m}$$

$\searrow$ diamagnetic contribution.

we know $\delta p(k) = \pi^{00}(k) A_0(k)$,

Since $A_0(k)$ is just external potential, thus $-\pi^{00}(k)$ is just

the compressibility $\chi(k) = -\pi^{00}(k)$. Let us assume that $\chi(k)$

$\rightarrow \text{const } (k \rightarrow 0)$

then $\Pi''(k) = -\chi(k) \frac{\omega^2}{k^2}$, thus at the static limit

($\omega \rightarrow 0$ first; $k \rightarrow 0$ second), $\Pi''(k)$ goes to zero (longitudinal field has no effect!), thus $\Pi''_{para}(k)$ must exactly ~~cancel~~ cancel the diamagnetic contribution.

On the other hand, if ~~$\omega \rightarrow 0$~~ $k \rightarrow 0$ first and $\omega \rightarrow 0$ second ($k \ll \omega$).

$-i\omega A(k, \omega)$ is the electric field

$$J^i(\omega) = \lim_{k \rightarrow 0} \frac{\Pi^{ij} \cancel{A_j(k, \omega)}}{-i\omega} \underbrace{(-i\omega) A_j(k, \omega)}_{E(\omega, k)}$$

$$\Rightarrow \sigma(\omega) = \frac{\Pi''(\omega, 0)}{-i\omega} = \frac{\Pi^\perp(\omega, 0)}{-i\omega}$$

in the normal state,
as $k \rightarrow 0$, there's no
difference between transverse
and longitudinal modes.

~~$\sigma(\omega)$~~

$$\nabla \cdot \vec{D} = 4\pi\rho \Rightarrow \vec{D} = \vec{E} + 4\pi\vec{P} = (1 + 4\pi\chi)\vec{E} = \epsilon\vec{E}$$

$$\vec{J} = \partial_t \vec{P} \Rightarrow \vec{j}(\omega) = -i\omega \vec{P}(\omega) = -i\omega \chi(\omega) \vec{E}$$

$$\vec{j}(\omega) = \frac{-i}{4\pi} (\epsilon - 1) \omega \vec{E}(\omega)$$

i.e. $\sigma(\omega) = \frac{-i}{4\pi} (\epsilon - 1) \omega$ i.e.

$$\epsilon(\omega) = 1 + \frac{i 4\pi \sigma(\omega)}{\omega}$$

(5)

how about in the static limit $\omega \ll k$, $\pi^\perp(k, \omega)$?

From $\nabla \times \vec{M} = \vec{j}$

$$\Rightarrow j_i = i \epsilon^{ijk} k_j M_k = -\pi^{ij} A_k = -(\delta_{ij} k^2 - k^i k^j) A_j \frac{\pi^\perp}{k^2}$$

$$= \epsilon^{ij'k'} k_{j'} \epsilon^{k'ij} k_{i'} A_j \frac{\pi^\perp(k, \omega)}{k^2} = -i \epsilon^{ijk} k_j B_k \frac{\pi^\perp(k, \omega)}{k^2}$$

$$\Rightarrow \boxed{M_i = - \frac{\pi^\perp(k, 0)}{k^2} B_i}, \text{ i.e. } \frac{\pi^\perp(k, 0)}{k^2} \text{ is magnetic susceptibility.}$$

Summarize: Compressibility $\lim_{k \rightarrow 0} \chi(k) = -\lim_{k \rightarrow 0} k^2 \lim_{\omega \rightarrow 0} \frac{\pi''(k, \omega)}{\omega^2}$

orbital magnetic susceptibility $\lim_{k \rightarrow 0} \chi_m(k) = -\lim_{k \rightarrow 0} \frac{1}{k^2} \pi^\perp(k, 0)$

* E-M:

let us calculate $\pi^{\mu\nu}$ for bosonic field:

$$\mathcal{L} = \frac{\chi}{2} ((\partial_0 \theta + A_0)^2 - v^2 (\partial_i \theta + A_i)^2)$$

the paramagnetic current $j_0 = -\chi \partial_0 \theta$, $j_i = \chi v^2 \partial_i \theta$

total current $J_0 = -\chi (\partial_0 \theta + A_0)$ $J_i = \chi v^2 (\partial_i \theta + A_i)$

$$\Pi_{\text{para}}^{\text{vv}}(k, \omega) = \chi^2 (-i\omega)(+i\omega) \langle \Theta(\omega k) \Theta(-\omega, -k) \rangle = \frac{\chi^2 \omega^2}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)}$$

$$\Pi_{\text{para}}^{oi}(k, \omega) = \Pi_{\text{para}}^{io}(k, \omega)$$

↳ retarded
green's function

$$= \chi^2 \frac{(-i\omega)(-ik_i)}{v^2} \langle \Theta(\omega, k) \Theta(-\omega, -k) \rangle = \frac{v^2 \chi^2 (-) k_i \omega}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)}$$

$$\Pi^{ij} = \chi^2 (ik_i)(-ik_j) \langle \Theta(\omega k) \Theta(-\omega, -k) \rangle = \chi v^4 \frac{k_i k_j}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)}$$

$$\Rightarrow \Pi^{oo}(k, \omega) = \chi \left[\frac{\omega^2}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)} - 1 \right] = +\chi \left[\frac{v^2 k^2}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)} \right]$$

$$\Pi^{oi}(k, \omega) = \Pi^{io}(k, \omega) = -\chi v^2 \frac{\omega k_i}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)}$$

$$\Pi^{ij}(k, \omega) = \chi v^2 \left(\delta_{ij} + v^2 \frac{k_i k_j}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)} \right)$$

$$\Rightarrow \Pi^{||} = \chi v^2 \left(1 + \frac{v^2 k^2}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)} \right) = \chi v^2 \frac{\omega^2}{\omega^2 - v^2 k^2 + i0^+ \text{sgn}(\omega)}$$

$$\Pi^{\perp} = \chi v^2$$

$$\Rightarrow \text{the Compressibility} \quad \lim_{k \rightarrow 0} \lim_{\omega \rightarrow 0} -\Pi^{oo}(k, \omega) = \lim_{k \rightarrow 0} -\Pi^{oo}(k, 0) = \chi.$$

$$\text{magnetic susceptibility} \quad - \lim_{k \rightarrow 0} \frac{1}{k^2} \Pi^{\perp}(k, 0) \quad \text{divergences.}$$

~~rest part of~~ if we choose transverse gauge $\partial_i A_i = 0$

$$\Rightarrow \boxed{\vec{J} = \Pi^{ij} A_j = \Pi^{\perp} A_{\perp i} = \chi v^2 A_i = + \frac{\rho}{m} A_i} \quad \text{London equation!}$$

optical conductivity $\boxed{\text{Re } \sigma(\omega) = -\text{Im} \frac{\Pi''(\omega, 0)}{\omega} = \text{Im} \frac{\chi v^2}{\omega + i0^+} = \frac{\pi \rho}{m} \delta(\omega)}$

which is zero at finite frequency.

* Anderson - Higgs mechanism

In charged superfluid (superconductor), the gapless Goldstone mode is gone. The gauge field A_μ has its own dynamics.

$$\mathcal{Z} = \int \mathcal{D}\varphi \mathcal{D}A_\mu e^{i \int d^4x dt \mathcal{L}(\varphi, A_\mu) + \frac{1}{8\pi e^2} (E^2 - B^2)}$$

in the symmetry breaking ground states $\varphi = |\varphi| e^{i\theta(x,t)}$

we can absorb the phase by doing a gauge transformation

$$\left| (\partial_\mu - iA_\mu) |\varphi| e^{i\theta(x,t)} \right|^2 = \left| (\partial_\mu - i \underbrace{A_\mu + \partial_\mu \theta}_{\tilde{A}_\mu}) |\varphi| \right|^2$$

$\tilde{A}_\mu$ which is equivalent

thus φ can be made real:

$$\varphi = \bar{\varphi} + \delta\varphi$$

to iA_μ , and
does not give new physical
field configuration.

$$\Rightarrow \mathcal{L} = \frac{1}{2} (\partial_0 \varphi + A_0 \varphi)^2 - \frac{1}{2} v^2 (\partial_i \varphi + A_i \varphi)^2$$

~~or~~ or equivalently we can set $\theta=0$ in the

action $\mathcal{L} = \frac{\chi}{2} \left[(\partial_0 \theta + A_0)^2 - v^2 (\partial_i \theta + A_i)^2 \right] = \frac{1}{2V_0} A_0^2 - \frac{\rho}{2m} A_i^2$

$\Rightarrow$ the Lagrangian for A becomes

$$\mathcal{L} = \frac{1}{2V_0} A_0^2 - \frac{\rho}{2m} A_i^2 + \frac{1}{8\pi e^2} (E^2 - B^2)$$

note that $\frac{1}{8\pi e^2} E^2 = \frac{1}{8\pi e^2} [\partial_0 A_i]^2 + \frac{1}{8\pi e^2} (\partial_i A_0)^2 - \frac{1}{4\pi e^2} \partial_i A_0 \partial_0 A_i$

and thus A_0 has no time derivative. Let's integrate out A_0 .

$$A_0 \left(\frac{1}{2V_0} - \frac{\partial_i^2}{8\pi e^2} \right) A_0 + \frac{1}{4\pi e^2} A_0 \partial_0 \partial_i A_i$$

$\nwarrow$ neglected

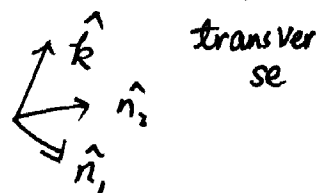
$$\approx \frac{1}{2V_0} \left(A_0 - \frac{V_0}{4\pi e^2} \partial_0 \partial_i A_i \right)^2 - \frac{1}{2V_0} \frac{V_0^2}{(4\pi e^2)^2} (\partial_0 \partial_i A_i)(\partial_0 \partial_j A_j)$$

$\uparrow$
const after gaussian integration

$$\Rightarrow \mathcal{L}_{\text{eff}} = \partial_0 A_j \left[\frac{1}{8\pi e^2} \delta_{ij} + \frac{1}{2} \frac{V_0}{(4\pi)^2 e^4} \frac{\partial_i \partial_j}{\underbrace{\quad}_{\text{longitudinal}}} \right] \partial_0 A_i - \frac{\rho}{2m} A_i^2 - \frac{B^2}{8\pi e^2}$$

$\uparrow$
transverse

Let us decompose $A_i = \hat{k}_i A'' + \hat{n}_a A_a^\perp$



$$\mathcal{L}_{\text{eff}} = \frac{1}{8\pi e^2} [(\partial_0 A_a^\perp)^2 - (\partial_i A_a^\perp)^2] - \frac{\rho}{2m} (A_a^\perp)^2$$

$$+ \frac{1}{8\pi e^2} \partial_0 A'' (1 + \frac{V_0}{4\pi e^2} (\partial_i)^2) \partial_0 A'' - \frac{\rho}{2m} (A'')^2$$

all the 3 modes has the gap $\Delta = e \sqrt{4\pi \rho/m}$

replace ∂_0^2
= $-\Delta^2$
and $v^2 = V_0 \rho/m$

$$\Rightarrow \mathcal{L}_{\text{eff}} = \frac{1}{8\pi e^2} [(\partial_0 A_a^\perp)^2 - (\partial_i A_a^\perp)^2] - \frac{\rho}{2m} (A_a^\perp)^2 + \frac{1}{8\pi e^2} [(\partial_0 A'')^2 - v^2 (\partial_i A'')^2] - \frac{\rho}{2m} (A'')^2$$

* Superfluidity & superfluid density:

Let's consider a boson system which is invariant under Galileo transformation, and assume an excitation spectrum $E(k)$. Consider a single excitation $E(k)$, thus $\vec{p} = \hbar \vec{k}$ and $E = E_{\text{ground}} + E$. Let us boost the system by velocity $\vec{v}$, ~~the excitation has a doppler shift~~ the total energy and momentum change to

$$E = E_{\text{ground}} + E + \frac{1}{2} N m v^2 + \vec{v} \cdot \vec{k}$$

$$\vec{p} = \hbar \vec{k} + N m \vec{v}$$

Thus compared with boosted ground state, we have

$$E_v(k) = E(k) + \hbar \vec{k} \cdot \vec{v}, \quad \vec{p} = \hbar \vec{k}.$$

~~In the equilibrium,~~

In the boosted Superfluid, in the equilibrium, we have distribution according to

$$E_v = E_g + \sum_{\vec{k}} E_v(k) n_B(E_v(k)) + \frac{1}{2} N m v^2 \quad E_v(k).$$

$$\vec{p} = N m \vec{v} + \sum_{\vec{k}} \hbar \vec{k} n_B(E_v(k))$$

The momentum can be written as, (expand to first order of $\vec{v} \cdot \vec{k}$).

$$\vec{p} = (N m - v \rho_n m) \vec{v} = v \underline{\rho_s} m \vec{v}$$

$\uparrow$ superfluid density.

where
$$p_n = - \frac{1}{m d} \int \frac{d^d k}{(2\pi)^d} k^2 n'_B(\epsilon(k))$$

from the angle integral $\vec{v} \cdot \vec{k}$
average

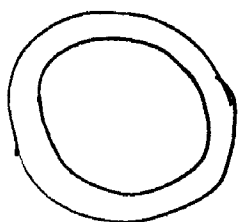
If $\epsilon(k) \propto |k|$

$\Rightarrow p_n \propto T^{d+1}$ ~~and $p_n \propto T^{d+1}$~~

Another question is: Since super-current carrying state is not the lowest energy state, why it is stable?

Let us consider a symmetry breaking state $\varphi = \varphi_0 + \delta\varphi$
↑
condensate fluctuation

Let us twist φ_0 (boost)



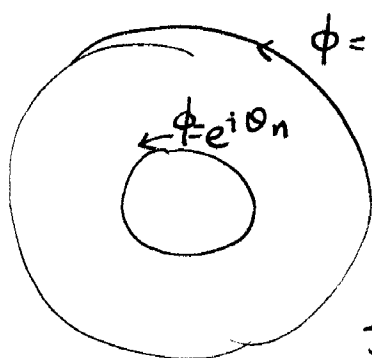
$\varphi' = \varphi_0 e^{imvx}$ such that $mvL = 2\pi n$.

Because $|\varphi_0|$ is fixed, we cannot untwist the condensate

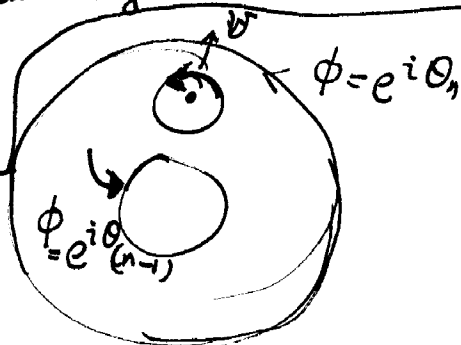
without suppressing $|\varphi_0|$ to zero. Thus although $\varphi_0 e^{imvx}$

has a high energy, it ~~is~~ cannot relax unless vortex tunneling.

The vortex tunneling is the decaying mechanism of superfluidity.



Superfluid won't flow forever, but it is long-lived!



create a vortex in the inner wall and move it across the sample to outer wall untwist the superfluid.