

# Chapter 5

## Superconductivity

In this chapter, we will focus on microscopic theory of superconductivity.

### 5.1 BCS theory

#### 5.1.1 Cooper problem

Cooper consider two body problem with attractive interaction. Fermi gas has stable Fermi surface. The existence of Fermi surface will exert strong restriction to electron scattering. We consider the two electrons scattering process. This process demands momentum conservation, namely

$$\vec{k}'_1 + \vec{k}'_2 = \vec{k}_1 + \vec{k}_2 \quad (5.1)$$

In virtue of Pauli principle, the process shown on Fig(13.1) is not permitted. However, the electrons on the Fermi surface tend to form process shown on Fig(13.1).

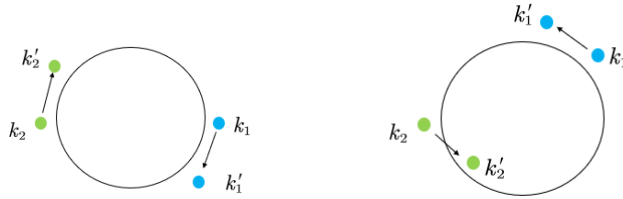


Figure 5.1: The first panel shows the scattering of two electron with opposite momentum. The second panel shows the general scattering process.

The two electrons with opposite momentum has more scattering space. Hence, the system could be described as

$$H = H_0 + H_I = \sum_{k,\sigma} \varepsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} - \frac{g}{V} \sum_{k,k'} c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger c_{-k'\downarrow} c_{k'\uparrow} \quad (5.2)$$

We consider the wavefunction could be expressed as

$$|\psi\rangle = \sum_k a(k) c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger |FS\rangle \quad (5.3)$$

Combining with Eq(5.21) and (5.3), we could write down the eigenequation

$$H | \psi \rangle = E | \psi \rangle \implies (2\varepsilon_k + E_0)a(k) - \frac{g}{V} \sum_{k'} a(k') = Ea(k) \quad (5.4)$$

We can solve the  $\Delta$  from the consistent equation (5.4)

$$\Delta E = -2\hbar\omega_D \exp\left(-\frac{2}{N(0)g}\right) \quad (5.5)$$

**Note:-**

We make consistent equation for Eq(5.4)

$$\frac{a(k)}{\sum_{k'} a(k')} = \frac{g/V}{2\varepsilon_k + E_0 - E} \quad (5.6)$$

We make summation for  $k$

$$1 = \sum_k \frac{g/V}{2\varepsilon_k + E_0 - E} \simeq \frac{g}{N(0)} \int_0^{\hbar\omega_D} d\varepsilon \frac{1}{2\varepsilon - \Delta E} = \frac{g}{2} N(0) \log\left(\frac{2\hbar\omega_D - \Delta E}{-\Delta E}\right) \quad (5.7)$$

We could summarize from the result (5.5) that it will form bounded state with lower energy than original Fermi surface if we consider two electrons on the Fermi surface with attractive interaction. This phenomenon is also called *Cooper instability*.

### 5.1.2 BCS wavefunction

Schrieffer generalizes the single Cooper pair to many-body wavefunction. Let's consider  $N$  Cooper pairs, the single Cooper pair wavefunction could be described by  $\psi(r_1, r_2, \sigma_1, \sigma_2)$ . The BCS wavefunction could be written into

$$\Psi_{\text{BCS}} = \mathcal{A}(\psi(r_1, r_2, \sigma_1, \sigma_2) \cdots \psi(r_{2N-1}, r_{2N}, \sigma_{2n-1}, \sigma_{2n})) \quad (5.8)$$

where  $\mathcal{A}$  is the anti-symmetric operation. We write down the single Cooper pair function

$$\begin{aligned} \psi(r_1, r_2; \sigma_1, \sigma_2) &= \phi(|r_1 - r_2|) \otimes \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \\ &= \sum_k \chi(k) e^{ik(r_1 - r_2)} \otimes \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \\ &= \sum_k \chi(k) c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger | \text{Vac} \rangle \end{aligned} \quad (5.9)$$

Hence, the many-body wavefunction could be written into

$$| \Psi_{\text{BCS}} \rangle = \mathcal{N}^{-\frac{1}{2}} ((\chi(k) c_{k\uparrow}^\dagger) c_{-k\downarrow}^\dagger)^{\frac{N}{2}} | \text{vac} \rangle \quad (5.10)$$

The wavefunction (8.9) is written at canonical ensemble. We generalize the wavefunction into grand canonical ensemble

$$| \psi_{\text{BCS}} \rangle = \exp\left(\chi(k) c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger\right) | \text{vac} \rangle = \prod_k (1 + \chi(k) c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger) | \text{vac} \rangle \quad (5.11)$$

Hence, the many body wavefunction is decomposed into single particle wavefunction product state. Furthermore, we introduce the variational parameter  $u_k, v_k$  to write the wavefunction into

$$|\psi_{BCS}(\phi)\rangle = \prod_k (|u_k\rangle + |v_k\rangle e^{i\phi} c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger) |\text{vac}\rangle \quad (5.12)$$

The variational parameter  $u_k$  and  $v_k$  control the component of superconductivity. The BCS wavefunction is also coherent state, which means coherence of wavefunction phase.

We could project out the  $N$  particle wavefunction from Eq(5.12)

$$\psi_{BCS}(N) = \int_0^{2\pi} e^{-i\frac{N}{2}\phi} \psi_{BCS}(\phi) \quad (5.13)$$

### 5.1.3 BCS wavefunction variation

We have write down the BCS wavefunction on the previous section. The next step is to optimize BCS wavefunction. We substitute the BCS wavefunction into hamiltonian (5.21)

$$E = \langle \psi_{BCS} | H | \psi_{BCS} \rangle = 2 \sum_k \varepsilon_k |v_k|^2 - \frac{g}{V} \sum_{k_1, k_2} g(k_1, k_2) u_{k_1}^* v_{k_1} u_{k_2} v_{k_2}^* \quad (5.14)$$

The Eq(??) shows that the variational parameter on channel  $k_1, k_2$  should be matched with phase to guarantee real energy. Now we can introduce the  $\theta_k$  to describe variational parameter, namely  $u_k = \cos \theta_k, v_k = \sin \theta_k$ .

$$E = 2 \sum_k \varepsilon \sin^2 \theta_k - \frac{1}{V} \sum_{k_1, k_2} g(k_1, k_2) \sin \theta_1 \cos \theta_1 \sin \theta_2 \cos \theta_2 \quad (5.15)$$

We make variation for parameter  $\theta_k$

$$2\varepsilon_k \sin 2\theta_k - \frac{1}{V} \sum_{k'} g(k_1, k_2) \sin \theta_{k'} \cos \theta_{k'} \cos \theta_k = 0 \quad (5.16)$$

We solve the consistent equation (5.16) to derive gap function at zero temperature

$$\Delta = \hbar\omega \exp\left(-\frac{1}{gN(0)}\right) \quad (5.17)$$

#### Note:-

We construct consistent equation from Eq(5.16)

$$\tan 2\theta_k = \frac{\Delta}{\varepsilon_k} \quad (5.18)$$

where  $\Delta(k_1) = \frac{1}{2V} \sum g(k_1, k_2) \sin 2\theta_{k_2}$ . We substitute the relation (8.17) into  $\Delta_k$

$$\Delta_k = \frac{1}{V} \sum_k \frac{\Delta_k}{2\xi_k} \simeq gN(0) \int_{-\hbar\omega_D}^{\hbar\omega_D} \frac{1}{\sqrt{\Delta^2 + \varepsilon^2}} d\varepsilon = 2 \int_0^{\frac{\hbar\omega_D}{\Delta}} \frac{1}{\sqrt{1+x^2}} dx \quad (5.19)$$

### 5.1.4 Mean field theory

We use mean field theory to deal with hamiltonian (5.21) . We define superconductor order parameter as  $\Delta = \frac{g}{V} \sum_k \langle c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \rangle$

$$\mathcal{O}_1 \mathcal{O}_2 = \mathcal{O}_1 (\mathcal{O}_2 - \langle \mathcal{O}_2 \rangle + \langle \mathcal{O}_2 \rangle) = \mathcal{O}_1 \langle \mathcal{O}_2 \rangle + (\mathcal{O}_1 - \langle \mathcal{O}_1 \rangle + \langle \mathcal{O}_1 \rangle) (\mathcal{O}_2 - \langle \mathcal{O}_2 \rangle) \approx \mathcal{O}_1 \langle \mathcal{O}_2 \rangle + \langle \mathcal{O}_1 \rangle \mathcal{O}_2 - \langle \mathcal{O}_1 \rangle \langle \mathcal{O}_2 \rangle \quad (5.20)$$

Hence, we derive effective mean field hamiltonian

$$H = \sum_{k,\sigma} \varepsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} - \sum_k \Delta c_{-k\downarrow} c_{k\uparrow} - \sum_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger + \frac{g}{V} \sum_k |\Delta_k|^2 \quad (5.21)$$

The last term is called condensation energy. We introduce Nambu spinor  $\psi = (c_{k\uparrow}, c_{-k\downarrow}^\dagger)^\mathbf{T}$

$$H = \sum_k (c_{k\uparrow}, c_{-k\downarrow}^\dagger) \begin{pmatrix} \varepsilon_k & -\Delta_k \\ -\Delta_k^* & -\varepsilon_k \end{pmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{-k\downarrow}^\dagger \end{pmatrix} + E_0 + \frac{g}{V} \sum_k |\Delta_k|^2 \quad (5.22)$$

where  $E_0 = \sum_k \varepsilon_k$  . We use Bogliubov transformation to diagonalize hamiltonian (5.22) . This part is easy to do. I leave it for simple task.

$$\begin{pmatrix} \beta_{k\uparrow} \\ \beta_{-k\downarrow}^\dagger \end{pmatrix} = \begin{pmatrix} \cos \theta_k & -\sin \theta_k \\ \sin \theta_k & \cos \theta_k \end{pmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{k\downarrow}^\dagger \end{pmatrix} \quad \tanh 2\theta_k = \frac{\Delta}{\varepsilon_k} \quad \cos^2 \theta_k = \frac{1}{2} \left( 1 + \frac{\varepsilon_k}{E_k} \right) \quad \sin^2 \theta_k = \frac{1}{2} \left( 1 - \frac{\varepsilon_k}{E_k} \right) \quad (5.23)$$

The hamiltonian turns into

$$H = \sum_k E_k \left( \beta_{k\uparrow}^\dagger \beta_{k\uparrow} + \beta_{-k\downarrow}^\dagger \beta_{-k\downarrow} - 1 \right) \quad E_k = \sqrt{\varepsilon_k^2 + \Delta^2} \quad (5.24)$$

Hence, we could written the gap function into Bogliubov quasiparticle

$$\begin{aligned} \Delta &= \frac{g}{V} \sum_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger = \frac{g}{V} \sum_k (\cos \theta_k \beta_{k\uparrow} + \sin \theta_k \beta_{-k\downarrow}) (\cos \theta_k \beta_{-k\downarrow} - \sin \theta_k \beta_{-k\uparrow}) \\ &= \frac{g}{V} \sum_k \sin \theta_k \cos \theta_k (\beta_{-k\downarrow} \beta_{-k\downarrow}^\dagger - \beta_{k\uparrow}^\dagger \beta_{k\uparrow}) \\ &= \frac{gN(0)\Delta}{2} \int_{-\hbar\omega_D}^{\hbar\omega_D} \frac{\tanh \frac{\beta\varepsilon_k}{2}}{\varepsilon} d\varepsilon \\ &= gN(0)\Delta \int_0^{\frac{\hbar\omega_D}{2k_B T_c}} \frac{\tanh x}{x} dx \\ &= gN(0)\Delta \left( \log \frac{\hbar\omega_D}{2k_B T_c} \tanh \frac{\hbar\omega_D}{2k_B T_c} - \int_0^{\frac{\hbar\omega_D}{2k_B T_c}} \log x \operatorname{sech}^2 x dx \right) \\ &= gN(0)\Delta \log \frac{2e^\gamma \hbar\omega_D}{\pi k_B T_c} \quad (5.25) \end{aligned}$$

At the critical temperature, the gap is vanishing. We have that  $k_B T_c = 1.13 \hbar\omega_D \exp\left(-\frac{1}{gN(0)}\right)$ . We can derive the relation. between critical temperature and superconductor gap . We use the integral identity (5.28) on the last step . We could derive the relation between critical temperature and gap function

$$\frac{\Delta}{k_K T_c} \approx 1.76 \quad (5.26)$$

The Eq(5.25) also tells us that the gap function is

$$\Delta = gV \sum_k \frac{\Delta}{2E_k} \tanh \frac{\beta E_k}{2} \quad (5.27)$$

**Claim 5.1 .**

$$\int_0^\infty \log x \operatorname{sech}^2 x dx = \log \frac{\pi}{4} - \gamma \quad (5.28)$$

We consider the integral below

*Proof.*

$$\int_0^\infty x^a \operatorname{sech}^2 x dx = \int_0^{+\infty} dx \frac{4e^{-2x}}{(1+e^{-2x})^2} x^a = 4 \sum_{n=0}^\infty \int_0^\infty x^a (-1)^{n-1} e^{-2nx} dx = \frac{2\Gamma(a+1)}{2^a} \eta(a) \quad (5.29)$$

The integral (5.28) is equal to

$$\left. \frac{d}{da} \left( \frac{2\Gamma(a+1)}{2^a} \eta(a) \right) \right|_{a=0} = (\Gamma'(1)\eta(0) + \eta'(0) - \log 2\eta(0)) = \log \frac{\pi}{4} - \gamma$$

□

## 5.2 Thermodynamic quantity

### 5.2.1 Condensation energy

We could find from hamiltonian (5.21) that

$$\begin{aligned} H &= \sum_{k,\sigma} \varepsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} - \sum_k \Delta c_{-k\downarrow} c_{k\uparrow} - \sum_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger + \frac{g}{V} \sum_k |\Delta_k|^2 \\ &= \sum_k \xi_k \left( \beta_k^\dagger \beta_k + \beta_{-k}^\dagger \beta_{-k} \right) + (\varepsilon_k - \xi_k) + \frac{g}{V} \sum_k |\Delta_k|^2 \end{aligned} \quad (5.30)$$

The constant term on the (5.30) is just condensation energy

$$\begin{aligned} E_{\text{cond}} &= \sum_k (\varepsilon_k - \xi_k) + \frac{g}{V} |\Delta_k|^2 = \sum_k (\varepsilon_k - \sqrt{\varepsilon_k^2 + \Delta^2}) + \frac{\Delta^2}{2\xi_k} \\ &= V \frac{1}{V} \sum_k \left( \varepsilon_k - \frac{\varepsilon_k^2 + \Delta^2/2}{\sqrt{\varepsilon_k^2 + \Delta^2}} \right) \\ &= VN(0) \int_{-\hbar\omega_D}^{\hbar\omega_D} d\varepsilon \left( \varepsilon - \frac{\varepsilon^2 + \Delta^2/2}{\sqrt{\varepsilon^2 + \Delta^2}} \right) \\ &= -VN(0)\Delta^2 \end{aligned} \quad (5.31)$$

We insert the gap function (5.19) on the first line of (5.31), the details about step are given below . The condensation energy relies on density states and superconductor gap .

**Note:-**

$$\begin{aligned} \int_{-\hbar\omega_D}^{\hbar\omega_D} \frac{\varepsilon^2 + \Delta^2/2}{\sqrt{\varepsilon^2 + \Delta^2}} d\varepsilon &= \Delta^2 \int_{-\frac{\hbar\omega_D}{\Delta}}^{\frac{\hbar\omega_D}{\Delta}} \frac{x^2 + \frac{1}{2}}{\sqrt{1+x^2}} dx = \Delta^2 \int_0^{\frac{\hbar\omega}{\Delta}} \frac{2x^2 + 1}{\sqrt{1+x^2}} dx = \Delta^2 \frac{\hbar\omega}{\Delta} \cdot \sqrt{1 + \left(\frac{\hbar\omega_D}{\Delta}\right)^2} \\ &\approx 2(\hbar\omega_D)^2 \left(1 + \frac{1}{2} \left(\frac{\Delta}{\hbar\omega_D}\right)^2\right) \end{aligned} \quad (5.32)$$

### 5.2.2 Specific heat

Firstly , Let us review the statistical mechanics. The free energy for fermionic system is given by

$$F = - \sum_k \frac{1}{\beta} \log(1 + e^{-\beta\varepsilon_k}) \quad (5.33)$$

The entropy could be obtained from free energy as

$$\begin{aligned} S &= - \frac{\partial F}{\partial T} = \sum_k \frac{\partial}{\partial T} (k_B T \log(1 + e^{-\beta\varepsilon_k})) = - \sum_k \frac{\partial}{\partial T} (k_B T \log(1 - f_k)) \\ &= - \sum_k k_B \left( (1 - f_k) \log(1 - f_k) + f_k \log(1 - f_k) - T \frac{1}{1 - f_k} \frac{\partial f_k}{\partial T} \right) \\ &= - \sum_k k_B ((1 - f_k) \log(1 - f_k) + f_k \log f_k) \end{aligned} \quad (5.34)$$

The capacity could be derived from entropy

$$\begin{aligned} C_V &= T \frac{\partial S}{\partial T} = -\beta \frac{\partial S}{\partial \beta} = -2\beta \left( \log \frac{f_k}{1 - f_k} \frac{\partial f_k}{\partial \beta} \right) = -2\beta^2 \sum_k \xi_k \frac{\partial f_k}{\partial \beta} \\ &= -2\beta^2 \sum_k \xi_k \frac{\partial f_k}{\partial(\beta\xi_k)} \left( \xi_k + \frac{\partial \xi_k}{\partial \beta} \right) \end{aligned} \quad (5.35)$$

We consider the sin freedom, then the entropy need to multiply by factor 2. The specific heat on the (5.35) consists of two part. The first part is just specific heat of normal metal when the temperature  $T$  is near critical temperature  $T_c$

$$c_n = 2\beta \sum_k \left( -\frac{\partial f_k}{\partial \xi_k} \right) \xi_k^2 = 2k_B^2 T N(0) \int_{\frac{\hbar\omega_D}{k_B T}}^{\frac{\hbar\omega_D}{k_B T}} \frac{x^2 e^x}{(1 + e^x)^2} dx \approx 4k_B N(0) T \int_{-\infty}^{+\infty} \frac{x^2 e^x}{(1 + e^x)^2} dx \sim \frac{2\pi^2}{3} N(0) k_B^2 T \quad (5.36)$$

**Note:-**

We calculate the integral on the (5.36)

$$\int_0^{+\infty} \frac{x^2 e^x}{(1 + e^x)^2} = \int_0^{\infty} dx \frac{x^2 e^{-x}}{(1 + e^{-x})^2} = \sum_{n=1}^{\infty} \int_0^{\infty} x^2 e^{-nx} dx = \Gamma(3)\eta(2) = \frac{\pi^2}{6} \quad (5.37)$$

At the critical temperature, the second term gives the specific heat between superconductor state and normal state.

$$c_n - c_s = -2\beta^2 \sum_k \xi_k - \frac{\partial f_k}{\partial(\beta\xi_k)} \frac{\partial \xi_k}{\partial \beta} = -\beta^2 N(0) \frac{d\Delta^2}{d\beta} \Big|_{T=T_c} = k_B N(0) \frac{d\Delta^2}{dT} \Big|_{T=T_c} \quad (5.38)$$

We can see that the specific heat is not continuous at  $T_c$ . Hence, this is second order phase transition. At the low temperature region  $T \ll T_c$ , We neglect the second term

$$\begin{aligned} c_{es} &= 2k_B \beta \sum_k \left( -\frac{\partial f_k}{\partial \xi_k} \right) \xi_k^2 = 2\beta N(0) k_B \int_{-\infty}^{+\infty} \xi^2 e^{-\beta\xi} d\xi = 2 \frac{\Delta^2(0)}{T} N(0) e^{-\frac{\Delta(0)}{k_B T}} \int_{-\infty}^{\infty} e^{-\frac{\xi^2}{2k_B T \Delta(0)}} \\ &= 2 \frac{\Delta^2(0)}{T} N(0) \left( \frac{2\pi \Delta(0)}{k_B T} \right)^{0.5} e^{-\frac{\Delta(0)}{k_B T}} \end{aligned} \quad (5.39)$$

### 5.2.3 Gap function dependence on temperature

We start gap function (5.27) directly

$$\begin{aligned} 1 &= N(0)V \int_0^{\hbar\omega_D} \frac{\tanh \frac{1}{2}\beta\xi}{\xi} d\varepsilon = \frac{2N(0)V}{\beta} \int_0^{\infty} \sum_{n=-\infty}^{n+\infty} \frac{1}{\omega_n^2 + \xi^2} d\xi \approx \frac{2N(0)V}{\beta} \sum_{n=-\infty}^{n+\infty} \int_0^{\infty} \left( \frac{1}{\omega_n^2 + \varepsilon^2} - \frac{\Delta(T)^2}{(\omega_n^2 + \varepsilon^2)^2} + \dots \right) \\ &= N(0)V \left[ \int_0^{\hbar\omega} \frac{\tanh \frac{1}{2}\beta h\varepsilon}{\varepsilon} d\varepsilon - \sum_{n=-\infty}^{n+\infty} \frac{2}{\beta} \int_0^{\hbar\omega} \frac{\Delta(T)^2}{(\omega_n^2 + \varepsilon^2)^2} \right] \\ &= N(0)V \left[ \int_0^{\hbar\omega} \frac{\tanh \frac{1}{2}\beta h\varepsilon}{\varepsilon} d\varepsilon - \sum_{n=-\infty}^{n+\infty} \frac{2}{\beta} \int_0^{+\infty} \frac{\Delta(T)^2}{(\omega_n^2 + \varepsilon^2)^2} \right] \\ &= N(0)g \left[ \log \frac{2e^\gamma \hbar\omega_D}{\pi k_B T} - \left( \frac{\Delta^2(T)}{\pi k_B^2 T^2} \right)^2 \sum_{n=0}^{n+\infty} \frac{1}{(n+1)^2} \right] \end{aligned} \quad (5.40)$$

We use the integral ( ) on the last step . We substitute (5.25) into (5.40 )

$$\Delta(T) = \pi k_B T \sqrt{\frac{T_c - T}{\eta(3)T_c}} \quad (5.41)$$

#### Note:-

The Fermionic distribution function could be expressed into Poisson summation ,namly

$$f(\varepsilon) = \sum_{n=-\infty}^{+\infty} \frac{1}{\beta} \frac{1}{i\omega_n - \varepsilon} \quad (5.42)$$

where the  $\omega_n$  is he Matsubara frequency (7.19) .

$$\tanh \frac{\beta\varepsilon}{2} = \frac{e^{\beta\varepsilon} - 1}{e^{\beta\varepsilon} + 1} = f(-\varepsilon) - f(\varepsilon) = \frac{1}{\beta} \sum_{n=-\infty}^{+\infty} \frac{1}{i\omega_n + \varepsilon} - \frac{1}{i\omega_n - \varepsilon} = \frac{1}{\beta} \sum_{n=-\infty}^{+\infty} \frac{2\varepsilon}{\omega_n^2 + \varepsilon^2} \quad (5.43)$$

$$\int_0^{+\infty} \frac{1}{(1+x^2)^2} dx = \frac{1}{2} \int_0^{+\infty} \frac{x^{-0.5}}{(1+x)^2} = \frac{1}{2} B(0.5, 1.5) = \frac{\pi}{4} \quad (5.44)$$

## 5.3 Susceptibility

## 5.4 Single particle tunneling

If we consider two system connected each other, the system could be described by

$$H = H_R + H_L + H_T \quad (5.45)$$

The tunneling hamiltonian could be described as

$$H_T = \sum_k T_{kq} c_{kR}^\dagger c_{qL} + h.c \quad (5.46)$$

The current is defined as <sup>1</sup>

$$I = -\frac{2e}{\hbar} \Im \left( \sum_{k,q} T_{kq} c_{kR}^\dagger c_{qL,\sigma} \right) \quad (5.47)$$

### Claim 5.2 .

The density operator on the tunneling process is defined as

$$I = -\frac{2e}{\hbar} \Im \left( \sum_{k,q} T_{kq} c_{kR}^\dagger c_{qL,\sigma} \right)$$

We can use motion equation to write down the current operator

$$\begin{aligned} I &= -e \frac{d\langle N_L \rangle}{dt} = -2e \frac{1}{i\hbar} [N_L, H] \\ &= -\frac{2e}{\hbar} \sum_{kq\sigma} T_{kq} [c_{Lk\sigma}^\dagger c_{Lk\sigma}, T_{qk} c_{qR\sigma}^\dagger c_{Lk\sigma} + T_{kq} c_{Lk\sigma}^\dagger c_{Rq\sigma}] \\ &= -\frac{2e}{\hbar} \Im \left( \sum_{k,q} T_{kq} c_{kR}^\dagger c_{qL,\sigma} \right) \end{aligned} \quad (5.48)$$

According to fluctuation theorem , we need to evaluate the response function of current operator.

$$G(\tau) = -\langle \mathcal{T}_\tau A(\tau) A^\dagger(0) \rangle \quad (5.49)$$

### Note:-

Accoring to Wick theorem

$$\begin{aligned} G(\tau) &= - \sum_{k,q;k',q'} T_{kq} T_{k'q'} \langle \mathcal{T} c_{Rk}^\dagger(\tau) c_{Lq}(\tau) c_{Rk'}^\dagger c_{Lq'} + c_{Lk}^\dagger(\tau) c_{Rq}(\tau) c_{Lk'}^\dagger c_{Rq'} \rangle \\ &= - \sum_{k,q} |T_{kq}|^2 [G_L(q, \tau) G_R(k, -\tau) + G_R(k, \tau) G_L(q, -\tau)] \end{aligned} \quad (5.50)$$

We transform it into Matsubra representation

<sup>1</sup>You can refer to Claim (5.4)



$$G(i\omega_n) = \int_0^\beta e^{i\omega_n \tau} G(\tau) d\tau = - \sum_{k,q} |T_{kq}|^2 \int_0^\beta d\tau [G_L(q, \tau) G_R(k, -\tau) + L \rightarrow R] e^{i\omega_n \tau} - \sum_{k,q} |T_{kq}|^2 \left[ \sum_n G(q, p_n + \omega_n) G(k, p_n) + L \rightarrow R \right] \quad (5.51)$$

## 5.5 Coherence factor

## 5.6 Electromagnetic response

### 5.6.1 Linear response

In this section we discuss the electromagnetic response to superconductor. We use linear response theory to study paramagnetic current and diamagnetic current. The gauge potential is coupled into kinetic energy term

$$H = \int d^3x \psi^\dagger(x) \frac{1}{2m} \left( -i\hbar \nabla + \frac{e}{c} \vec{A} \right)^2 \psi(x) + \int d^3x \psi(x)^\dagger(x) \psi^\dagger(x') V(x' - x) \psi(x') \psi(x) \quad (5.52)$$

The hamiltonian relying on vector potential  $A$  is just

$$H_1 = \frac{ie\hbar}{mc} \int d^3x \psi^\dagger(x) \left( \vec{A} \cdot \nabla + \nabla \cdot \vec{A} \right) \psi(x) = i\mu_B \int d^3x \psi^\dagger(x) \left( \vec{A} \cdot \nabla + \nabla \cdot \vec{A} \right) \psi(x) \quad (5.53)$$

On the momentum space, we derive the hamiltonian (5.53)

$$H_1 = \frac{1}{2} i\mu_B \int d^3x \psi^\dagger(x) \left( \vec{A} \cdot \nabla + \nabla \cdot \vec{A} \right) \psi(x) = \frac{1}{2} i\mu_B \int d^3x \sum_{k_1, k, k_2} c_{k_1}^\dagger e^{-ik_1 x} \left( \vec{A}(q) e^{iqx} \cdot \nabla c_{k_2} e^{ik_2 x} + \nabla \cdot (\vec{A}(q) e^{iqx} c_{k_2} e^{ik_2 x}) \right) = -\mu_B \sum_{k, q} \vec{A}(q) \cdot \left( \vec{k} + \frac{q}{2} \right) c_{k+q}^\dagger c_k(\vec{k}) \quad (5.54)$$

The hamiltonian (5.54) could be viewed as perturbation. If we use Feynman diagram to express the (5.53), then it turns into

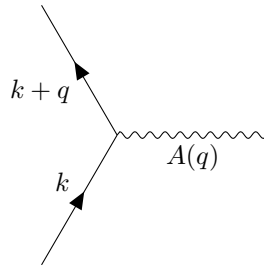


Figure 5.2: Electron couples with photon at vertex. Every vertex contributes to factor  $\mu_B$

Furthermore, we consider take Coulomb gauge  $\nabla \cdot \vec{A} = 0$ , then the perturbation hamiltonian (5.54) becomes into

$$H_I = -\frac{1}{2} \mu_B \sum_{k, q} (\vec{q} \cdot \vec{A}(q)) \left( c_{k+q\uparrow}^\dagger c_{k\uparrow} - c_{-k\downarrow}^\dagger c_{-(k+q)\downarrow} \right) \quad (5.55)$$

In the superconductor region, we use Bogliubov particle formalism to discuss problem . Hence, we substitute the Hamltonian (5.55) with Bogliubov particle operator  $\alpha_k$  to discuss problem .

$$\begin{aligned}
H_I &= -\frac{1}{2}\mu_B \sum_{k,q} (\vec{k} \cdot \vec{A}(q)) \left( c_{k+q\uparrow}^\dagger c_{k\uparrow} - c_{-k\downarrow}^\dagger c_{-(k+q)\downarrow} \right) \\
&= -\frac{1}{2}\mu_B \sum_{k,q} (\vec{k} \cdot \vec{A}(q)) \left[ (u_{k+q}\alpha_{k+q\uparrow}^\dagger - v_{k+q}\alpha_{-(k+q)\downarrow}) (u_k\alpha_{k\uparrow} - v_k\alpha_{-k\downarrow}^\dagger) - (k+q \rightarrow -k, \uparrow \rightarrow \downarrow) \right] \\
&= -\frac{1}{2}\mu_B \sum_{k,q,\sigma} \sum_{k,q} (\vec{k} \cdot \vec{A}(q)) \left[ (u_{k+q}u_k + v_{k+q}v_k) (\alpha_{k+q\uparrow}^\dagger \alpha_{k\uparrow} - \alpha_{k+q\downarrow}^\dagger \alpha_{k\downarrow}) + (-u_{k+q}v_k + u_kv_{k+q}) (\alpha_{k+q\uparrow}^\dagger \alpha_{-k\downarrow}^\dagger - \alpha_{k\uparrow} \alpha_{-(k+q)\downarrow}) \right]
\end{aligned} \tag{5.56}$$

The current  $\vec{j}(r)$  could be derived from (5.54).

$$\vec{j}(r) = \frac{\delta H}{\delta \vec{A}(r)} = \frac{e\hbar}{2mi} (\psi^\dagger \nabla \psi - (\nabla \psi)^\dagger \psi) - \frac{e^2}{mc^2} \psi^\dagger \vec{A} \psi = \vec{j}_1(r) + \vec{j}_2(r) \tag{5.57}$$

The first term called paramagnetic current , which exists on noraml metal but vanishes on superconductor. The second term called diamagnetic curent. We could drive the paramagnetic current from (5.55),namly

$$\begin{aligned}
j_1(r) &= -\frac{1}{2}\mu_B \sum_{k,q} (\vec{k} \cdot \vec{q}) e^{i\vec{q} \cdot \vec{r}} \left( c_{k+q\uparrow}^\dagger c_{k\uparrow} - c_{-k\downarrow}^\dagger c_{-(k+q)\downarrow} \right) \\
&= -\frac{1}{2}\mu_B \sum_{k,q,\sigma} \sum_{k,q} (\vec{k} + \frac{\vec{q}}{2}) \left[ (u_{k+q}u_k + v_{k+q}v_k) (\alpha_{k+q\uparrow}^\dagger \alpha_{k\uparrow} - \alpha_{k+q\downarrow}^\dagger \alpha_{k\downarrow}) + (-u_{k+q}v_k + u_kv_{k+q}) (\alpha_{k+q\uparrow}^\dagger \alpha_{-k\downarrow}^\dagger - \alpha_{k\uparrow} \alpha_{-(k+q)\downarrow}) \right]
\end{aligned} \tag{5.58}$$

We use the first perturbation to calculate the paramagnetic current. If we put the perturbation (5.55) into superconductor hamiltonian (5.21) . We use the first perturbation theory to calculate the superconductor ground state up to first order

$$|\Omega\rangle = |\Omega\rangle_0 + \sum_l |l\rangle_0 \frac{0\langle l | H_1 | \Omega\rangle_0}{E_l - E_0} \tag{5.59}$$

where  $|\Omega\rangle_0$  is the BCS ground state. The BCS is the vaccum of Bogliubov particles . Hence, the paramagnetic current only contributed by second term. The state  $|l\rangle_0$  is defined as

$$|l\rangle = \alpha_{k+q\uparrow}^\dagger \alpha_{-k\downarrow}^\dagger |\Omega\rangle_0 \tag{5.60}$$

The *BCS* ground state  $|\Omega\rangle$  doesn't contribute to paramagnetic current. It requires to immendiate state to  $|l\rangle$  to carry current. We substitute current (5.58) into (5.59)

$$\langle \vec{j}_1(r) \rangle = \sum_l \left[ \frac{0\langle \Omega | H_1 | l\rangle \langle l | H_1 | \Omega\rangle_0}{E_l - E_0} + \frac{\langle l | H_1 | \Omega\rangle_0 \langle l | j_1(r) | \Omega\rangle_0}{E_l - E_0} \right] \tag{5.61}$$

Combing with (5.58,5.60) , the Eq(5.61) could be simplified into

$$\langle \vec{j}_1(r) \rangle = \frac{1}{c} \mu_B^2 \sum_l \left[ \frac{(-u_{k+q}v_k + u_kv_{k+q})^2}{\xi_{k+q} + \xi_k} (\vec{k} + \frac{\vec{q}}{2}) (\vec{k} \cdot \vec{A}(q)) e^{i\vec{q} \cdot \vec{r}} \right] \tag{5.62}$$

If we consider the contributon brought by spin freedom , the current will become

$$\langle \vec{j}_1(r) \rangle = 2 \frac{1}{c} \mu_B^2 \sum_k \left[ \frac{(-u_{k+\frac{q}{2}} v_{k-\frac{q}{2}} + u_{k+\frac{q}{2}} v_{k+\frac{q}{2}})^2}{\xi_{k+\frac{q}{2}} + \xi_{k-\frac{q}{2}}} \vec{k} (\vec{k} \cdot \vec{A}(q)) e^{i\vec{q} \cdot \vec{r}} \right] \quad (5.63)$$

**Note:-**

$$\begin{cases} \langle |H_1| \Omega \rangle_0 = -\frac{1}{2} \mu_B (\vec{k} \cdot \vec{A}(\vec{q})) (-u_{k+q} v_k + u_k v_{k+q}) \\ \langle |l| \vec{j}_1(r) | \Omega \rangle_0 = \frac{1}{2} \mu_B (\vec{k} \cdot \vec{A}(\vec{q})) (-u_{k+q} v_k + u_k v_{k+q}) e^{i\vec{q} \cdot \vec{r}} \end{cases} \quad (5.64)$$

Let's analysis the current direction . The term  $(\vec{k} \cdot \vec{A}(q)) \vec{k}$  could be viewed as two rank tensor

$$(\vec{k} \cdot \vec{A}(q)) \vec{k} \sim k_x (\hat{i} + k_y \hat{j} + k_z \hat{k}) \quad (5.65)$$

The current requires to preserve invariant under mirror reflection about  $xy, xz, yz$  plane, thereby the current propagate along  $x$  direction .

$$\vec{j}(r) = \frac{2e^2 \hbar^2}{m^2 c} \left( \frac{1}{4\pi} \int_0^{2\pi} \cos^2 \phi d\phi \int_{-1}^1 \sin^2 \theta d\cos \theta \frac{N(0)}{2} \int_{-\infty}^{\infty} \frac{(-u_{k+\frac{q}{2}} v_{k-\frac{q}{2}} + u_{k+\frac{q}{2}} v_{k+\frac{q}{2}})^2}{\xi_{k+\frac{q}{2}} + \xi_{k-\frac{q}{2}}} \vec{A}(q) \right) \quad (5.66)$$

**Note:-**

We use (5.23) to calculate the Eq(5.66)

$$\begin{aligned} (-u_{k+\frac{q}{2}} v_{k-\frac{q}{2}} + u_{k+\frac{q}{2}} v_{k+\frac{q}{2}})^2 &= \frac{1}{4} \left( \left(1 + \frac{\varepsilon_{k+\frac{q}{2}}}{\xi_{k+\frac{q}{2}}}\right) \left(1 - \frac{\varepsilon_{k-\frac{q}{2}}}{\xi_{k-\frac{q}{2}}}\right) + \left(1 - \frac{\varepsilon_{k-\frac{q}{2}}}{\xi_{k-\frac{q}{2}}}\right) \left(1 + \frac{\varepsilon_{k+\frac{q}{2}}}{\xi_{k+\frac{q}{2}}}\right) \right) - \frac{1}{2} \frac{\Delta^2}{\xi_{k+\frac{q}{2}} \xi_{k-\frac{q}{2}}} \\ &= \frac{1}{2} \frac{\xi_{k+\frac{q}{2}} \xi_{k-\frac{q}{2}} - \varepsilon_{k+\frac{q}{2}} \varepsilon_{k-\frac{q}{2}} - \Delta^2}{\xi_{k+\frac{q}{2}} \xi_{k-\frac{q}{2}}} \end{aligned} \quad (5.67)$$

We discuss current for the normal metal cases and superconductor cases.

- Normal metal
- Superconductor

## 5.7 Electromagnetic asorbtion

The elctromagnetic asorbtion perturbative hamiltonian reads as

$$\Delta H = \sum_{k,q} \left(k + \frac{q}{2}\right) A(q) c_{k+q}^\dagger c_k \quad (5.68)$$

The vector potential is time reversal odd . <sup>2</sup> Hence, this is case-II response . The initial state is BCS ground state. The final state is connected with Bogliubov particle creation operator  $\alpha_k \alpha_{k'}$  . We can writen down real part of optical conductivity with Fermin golden rule <sup>3</sup>

$$\Re \sigma(\omega) = \frac{2\pi}{\hbar} \sum_{k,k'} |N(k\sigma|k'\sigma')|^2 ((1-f(E_k))(1-f(E_{k'})) - f(E_k)f(E_{k'})) \delta(\hbar\omega - E_k - E_{k'}) \quad (5.69)$$

<sup>2</sup>  $\vec{E} = -\frac{\partial \vec{A}}{\partial t}$ .

<sup>3</sup> The two Bouliubov particle energy meets with frequency  $\delta(\hbar\omega - E_k - E_{k'})$

At the zero temperature, the Eq(5.69) could be written into

$$\Re\sigma(\omega) = -\frac{2\pi}{\hbar} N^2(0) \tilde{N}^2 \int_{\Delta}^{\infty} dE \int_{\Delta}^{\infty} dE' \frac{EE'}{\sqrt{E^2 - \Delta^2} \sqrt{E'^2 - \Delta^2}} (uv' - u'v)^2 \delta(\hbar\omega - E - E') \quad (5.70)$$

**Note:-**

The coherence factor could be calculated as <sup>a</sup>

$$\begin{aligned} (uv' - u'v)^2 &= u^2 v'^2 + u'^2 v^2 - 2uvu'v' = \frac{1}{2} \left(1 + \frac{\varepsilon_k}{\xi_k}\right) \left(1 - \frac{\varepsilon_k}{\xi_k}\right) + \frac{1}{2} \left(1 + \frac{\varepsilon_{k'}}{\xi_{k'}}\right) \left(1 - \frac{\varepsilon_{k'}}{\xi_{k'}}\right) - \frac{1}{2} \frac{\Delta^2}{\xi_k \xi_{k'}} \\ &= \frac{1}{2} \left(1 + \frac{\varepsilon_k \varepsilon_{k'}}{\xi_k \xi_{k'}} - \frac{\Delta^2}{\xi_k \xi_{k'}}\right) \\ &= \frac{1}{2} \left(1 + \frac{\varepsilon_k \varepsilon_{k'}}{\xi_k \xi_{k'}} - \frac{\Delta^2}{\xi_k \xi_{k'}}\right) \end{aligned} \quad (5.71)$$

<sup>a</sup> $\varepsilon_k, \varepsilon_{k'}$  lies on Fermi surface.

Hence, we could written down the optical conductance for normal metal

$$\Re\sigma_n(\omega) = -\frac{2\pi}{\hbar} N^2(0) \tilde{N}^2 \hbar\omega \quad (5.72)$$

We consider the relative ratio at region  $\omega \gg 2\Delta$

$$\begin{aligned} \Re\left(\frac{\sigma_s(\omega)}{\sigma_n(\omega)}\right) &= \frac{1}{\hbar\omega} \int_{\Delta}^{\hbar\omega - \Delta} \frac{E(E - \hbar\omega) - \Delta^2}{\sqrt{E^2 - \Delta^2} \sqrt{(\hbar\omega - 2\Delta)^2 - \Delta^2}} dE \\ &= \frac{1}{x} \int_{\frac{1}{2}}^{x - \frac{1}{2}} \frac{y(x - y) - \frac{1}{4}}{\sqrt{x^2 - \frac{1}{4}} \sqrt{(x - y)^2 - \frac{1}{4}}} dy \\ &= \frac{1}{x} \int_{\frac{1}{2}}^{x - \frac{1}{2}} \frac{-(x - y)^2 - \frac{1}{4} + x^2 - xy}{\sqrt{x^2 - \frac{1}{4}} \sqrt{(x - y)^2 - \frac{1}{4}}} dy \\ &= \frac{1}{x} \int_{\frac{1}{2}}^{x - \frac{1}{2}} \sqrt{\frac{x^2 - \frac{1}{4}}{(x - y)^2 - \frac{1}{4}}} \end{aligned} \quad (5.73)$$

where  $x = \frac{\hbar\omega}{2\Delta}, y = \frac{E}{2\Delta}$ .

**Note:-**

We simplify the Eq(5.73) into elliptic function

$$\begin{aligned} \frac{1}{x} \int_{\frac{1}{2}}^{x - \frac{1}{2}} \frac{y(x - y) - \frac{1}{4}}{\sqrt{x^2 - \frac{1}{4}} \sqrt{(x - y)^2 - \frac{1}{4}}} dy &= \frac{1}{x \sqrt{x^2 - \frac{1}{4}}} \int_{\frac{1}{2}}^{x - \frac{1}{2}} \frac{y(x - y) - \frac{1}{4}}{\sqrt{(x - y)^2 - \frac{1}{4}}} dy \\ &= \frac{1}{x \sqrt{x^2 - \frac{1}{4}}} \int_{\frac{1}{2}}^{x - \frac{1}{2}} \frac{\frac{1}{2}x^2 - \frac{1}{2}(x - y)^2 - \frac{1}{4}}{\sqrt{(x - y)^2 - \frac{1}{4}}} \end{aligned} \quad (5.74)$$

Let  $y = x - \sqrt{\left(x - \frac{1}{2}\right)^2 \cos^2 \theta + \frac{1}{4} \sin^2 \theta}$

$$\begin{aligned}
& \frac{1}{x\sqrt{x^2 - \frac{1}{4}}} \int_0^{\frac{\pi}{2}} \frac{\left((x - \frac{1}{2})^2 - \frac{1}{4}\right) \sin \theta \cos \theta}{\sqrt{\left(x - \frac{1}{2}\right)^2 \cos^2 \theta + \frac{1}{4} \sin^2 \theta}} \left[ \frac{\frac{1}{2}x^2 - \frac{3}{8}}{\sqrt{\left((x - \frac{1}{2})^2 - \frac{1}{4}\right) \cos^2 \theta}} - \frac{1}{2} \sqrt{\left(\left(x - \frac{1}{2}\right)^2 - \frac{1}{4}\right) \cos^2 \theta} \right] \\
&= \frac{1}{x\sqrt{x^2 - \frac{1}{4}}} \sqrt{\left(\left(x - \frac{1}{2}\right)^2 - \frac{1}{4}\right) \left(\frac{1}{2}x^2 - \frac{3}{8}\right)} \int_0^1 \frac{dx}{\sqrt{\frac{1}{4} - \left(\left(x - \frac{1}{2}\right)^2 - \frac{1}{4}\right)^2 x^2}}
\end{aligned}$$

### 5.7.1 Green function method

To calculate conductance, we calculate the current-current correlation function  $\Pi_{\mu\nu}$ . The vertex function is  $\frac{e}{\hbar} \frac{\partial \varepsilon_k}{\partial k_\mu}$

$$\Pi_{\mu\nu}(q, i\nu_n) = \frac{e^2}{\hbar^2 V} \sum_k \frac{\partial \varepsilon_{k+\frac{q}{2}}}{\partial k_\mu} \frac{\partial \varepsilon_{k-\frac{q}{2}}}{\partial k_\nu} \frac{1}{\beta} \sum_{i\omega_n} \text{Tr} (G(k+q, i(\nu_n + \omega_n))G(k, i\omega_n)) \quad (5.74)$$

where  $G(k, i\omega_n)$  is the Nambu-Gorkov green function. We use the Matsubara summation to calculate the trace part

$$\begin{aligned}
& \frac{1}{\beta} \sum_{i\omega_n} \text{Tr} (G(k+q, i(\nu_n + \omega_n))G(k, i\omega_n)) = \frac{1}{\beta} \sum_{i\omega_n} \text{Tr} \left( \frac{1}{i(\omega_n + \nu_n) - \sigma_z \varepsilon_{k+q} - \Delta \sigma_1} \frac{1}{i\omega_n - \sigma_z \varepsilon_k - \Delta \sigma_1} \right) \\
&= \frac{1}{\beta} \sum_{i\omega_n} \text{Tr} \left( \frac{(i(\omega_n + \nu_n) + \sigma_z \varepsilon_{k+q} + \Delta \sigma_1)(i\omega_n + \varepsilon_k \sigma_3 + \Delta \sigma_1)}{[(i(\omega_n + \nu_n))^2 - \varepsilon_{k+q}^2 - \Delta^2][(i\omega_n)^2 - \varepsilon_k^2 - \Delta^2]} \right) \\
&= \frac{1}{\beta} \sum_{i\omega_n} \left( \frac{(i(\omega_n + \nu_n))(i\omega_n) + \varepsilon_k \varepsilon_{k+q} + \Delta^2}{[(i(\omega_n + \nu_n))^2 - \varepsilon_{k+q}^2 - \Delta^2][(i\omega_n)^2 - \varepsilon_k^2 - \Delta^2]} \right) \\
&= \frac{\xi_{k+q}(\xi_{k+q} - i\nu_n) + \varepsilon_k \varepsilon_{k+q} + \Delta^2}{\xi_{k+q} [(\xi_{k+q} - i\nu_n)^2 - \xi_k^2]} f(\xi_{k+q}) + \frac{\xi_k(\xi_k + i\nu_n) + \varepsilon_k \varepsilon_{k+q} + \Delta^2}{\xi_k [(\xi_k + i\nu_n)^2 - \xi_{k+q}^2]} f(\xi_k) \\
&- \frac{\xi_{k+q}(\xi_{k+q} + i\nu_n) + \varepsilon_k \varepsilon_{k+q} + \Delta^2}{\xi_{k+q} [(\xi_{k+q} + i\nu_n)^2 - \xi_k^2]} (1 - f(\xi_{k+q})) - \frac{\xi_k(\xi_k - i\nu_n) + \varepsilon_k \varepsilon_{k+q} + \Delta^2}{\xi_k [(\xi_k - i\nu_n)^2 - \xi_{k+q}^2]} (1 - f(\xi_k)) \quad (5.75)
\end{aligned}$$

We consider the zero temperature limit, the polarization (5.74) will become

$$\Pi_{\mu\nu}(q, i\nu_n) = -\frac{e^2}{\hbar^2 V} \sum_k \frac{\partial \varepsilon_{k+\frac{q}{2}}}{\partial k_\mu} \frac{\partial \varepsilon_{k-\frac{q}{2}}}{\partial k_\nu} \left[ \frac{\xi_{k+q}(\xi_{k+q} + i\nu_n) + \varepsilon_k \varepsilon_{k+q} + \Delta^2}{\xi_{k+q} [(\xi_{k+q} + i\nu_n)^2 - \xi_k^2]} + \frac{\xi_k(\xi_k - i\nu_n) + \varepsilon_k \varepsilon_{k+q} + \Delta^2}{\xi_k [(\xi_k - i\nu_n)^2 - \xi_{k+q}^2]} \right] \quad (5.76)$$

**Note:-**

$$\begin{aligned}
& \Im \left[ \frac{1}{(\xi_k - \nu - i\varepsilon - \xi_{k+q})(\xi_k - \nu - i\varepsilon + \xi_{k+q})} \right] = \Im \left[ \frac{1}{2\xi_{k+q}} \left( \frac{1}{(\xi_k - \nu - i\varepsilon - \xi_{k+q})} - \frac{1}{(\xi_k - \nu - i\varepsilon + \xi_{k+q})} \right) \right] \\
&= \frac{\pi}{2\xi_{k+q}} (\delta(\nu + \xi_{k+q} - \xi_k) - \delta(\nu - \xi_k - \xi_{k+q})) \quad (5.77)
\end{aligned}$$

$$\begin{aligned}
& \Im \left[ \frac{1}{(\xi_k + \nu + i\varepsilon - \xi_{k+q})(\xi_k + \nu + i\varepsilon + \xi_{k+q})} \right] = \Im \left[ \frac{1}{2\xi_{k+q}} \left( \frac{1}{(\xi_k + \nu + i\varepsilon - \xi_{k+q})} - \frac{1}{(\xi_k + \nu + i\varepsilon + \xi_{k+q})} \right) \right] \\
&= \frac{\pi}{2\xi_{k+q}} (\delta(\nu + \xi_{k+q} + \xi_k) - \delta(\nu + \xi_k - \xi_{k+q})) \quad (5.78)
\end{aligned}$$

The imaginary part of polarization is

$$\Im\Pi_{\mu\mu}(q, \nu) = \frac{\pi e^2}{2V\hbar^2} \sum_k \frac{\partial \varepsilon_{k+\frac{q}{2}}}{\partial k_\mu} \frac{\partial \varepsilon_{k-\frac{q}{2}}}{\partial k_\nu} \left[ \frac{\xi_k \xi_{k+q} - \varepsilon_k \varepsilon_{k+q} - \Delta^2}{\xi_k \xi_{k+q}} \right] (\delta(\omega + \xi_k + \xi_{k+q}) + \delta(\omega - \xi_k - \xi_{k+q})) \quad (5.79)$$

We consider to calculate optical conductance for isotropic s-wave superconductors

$$\Re\sigma(\omega) = \frac{\pi e^2 v_F^2}{6} N^2(0) \int_{-\infty}^{\infty} dE \int_{-\infty}^{\infty} dE' \frac{E}{\sqrt{E^2 - \Delta^2}} \frac{E'}{\sqrt{E'^2 - \Delta^2}} \frac{EE' - \Delta^2}{EE'} \delta(\omega - E - E') \quad (5.80)$$

Then we consider to derive optical ratio of normal state metal and superconductor

$$\Re \left( \frac{\sigma_s(\omega)}{\sigma_n(\omega)} \right) = \frac{1}{\hbar\omega} \int_{-\infty}^{\infty} dE \frac{E(\omega - E) - \Delta^2}{\sqrt{E^2 - \Delta^2} \sqrt{(\omega - E)^2 - \Delta^2}} \quad (5.81)$$

**Note:-**

We notice the we can let  $E = \frac{\omega + (\omega - 2\Delta)x}{2}$  to simplify (5.81) into Elliptic integrals

$$\begin{aligned} & \sqrt{E^2 - \Delta^2} \sqrt{(\omega - E)^2 - \Delta^2} = [(E + \Delta)(E - \Delta)(\omega - E + \Delta)(\omega - E - \Delta)]^{0.5} \\ & = \frac{\omega - 2\Delta}{2} (1+x) \frac{\omega + 2\Delta + (\omega - 2\Delta)x}{2} \frac{(\omega + 2\Delta) - (\omega - 2\Delta)x}{2} \cdot \frac{\omega - 2\Delta}{2} (1-x) \\ & = \left( \left( \frac{\omega}{2} \right)^2 - \Delta^2 \right)^2 (1-x^2)(1-\alpha^2 x^2) \end{aligned} \quad (5.82)$$

where  $\alpha = \frac{\omega - 2\Delta}{\omega + 2\Delta}$

$$E(\omega - E) - \Delta^2 = \frac{\omega + (\omega - 2\Delta)x}{2} \frac{\omega - (\omega - 2\Delta)x}{2} - \Delta^2 = \left( \left( \frac{\omega}{2} \right)^2 - \Delta^2 \right)^2 (1 - \alpha x^2) \quad (5.83)$$

Hence, the Eq(5.81) could be simplified into

$$\begin{aligned} \Re \left( \frac{\sigma_s(\omega)}{\sigma_n(\omega)} \right) &= \frac{\omega - 2\Delta}{\hbar\omega} \int_0^1 \frac{1 - \frac{1}{\alpha} + \frac{1}{\alpha}(1 - \alpha^2 x^2)}{\sqrt{(1-x^2)(1-\alpha^2 x^2)}} = (1-y) \left(1 - \frac{y+1}{1-y}\right) K \left( \frac{1-y}{y+1} \right) + (1+y) E \left( \frac{1-y}{1+y} \right) \\ &= -2yK \left( \frac{1-y}{y+1} \right) + (1+y) E \left( \frac{1-y}{1+y} \right) \end{aligned} \quad (5.84)$$

We consider two limits

$$\begin{cases} \lim_{y \rightarrow 1} \Re \left( \frac{\sigma_s(\omega)}{\sigma_n(\omega)} \right) = 2E(0) - 2K(0) = 0 \\ \lim_{y \rightarrow 0} \Re \left( \frac{\sigma_s(\omega)}{\sigma_n(\omega)} \right) = E(1) = 1 \end{cases} \quad (5.85)$$

The behaviour of (5.81) is plotted in Figure(5.3).

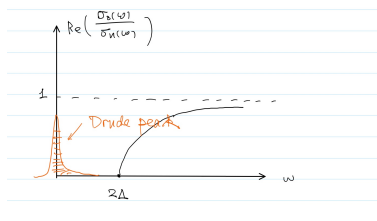


Figure 5.3: The conductance start response from  $2\Delta$ . If the frequency is infinite, optical conductance at superconductivity region is equal to normal state.