

Chapter 13

Kosterlitz-Thouless transition

13.1 Algebraic order in the 2D XY model

The XY model is described by classical hamiltonian

$$H = -J \sum_{\langle i,j \rangle} S_i \cdot S_j \quad (13.1)$$

In the low temperature, the angle difference is very small . We expand hamiltonian (13.1) into

$$\begin{aligned} H &= -J \sum_{\langle i,j \rangle} S_i \cdot S_j \\ &= -J \sum_{\langle i,j \rangle} \left(1 - \frac{1}{2}(\theta_i - \theta_j)^2 \right) \\ &= E_0 + \frac{J}{2} \int d^2r (\nabla \phi(r))^2 \end{aligned} \quad (13.2)$$

13.1.1 Average magnetization

We calculate the average magnetization of hamiltonian (13.2)

$$\begin{aligned} \langle S_x \rangle &= \langle \cos \theta(0) \rangle = \frac{\text{Tr}_{\theta_i} (e^{-\beta H} \cos \theta(r))}{\text{Tr}_{\theta_i} (e^{-\beta H})} \\ &= \Re \left(\frac{1}{Z} \text{Tr}_{\theta_i} (e^{-\beta H} e^{i\theta(0)}) \right) \end{aligned} \quad (13.3)$$

We use the path integral to calculate (13.3)

$$\begin{aligned} \text{Tr}_{\theta_i} (e^{-\beta H} e^{i\theta(0)}) &= \prod_k \int \mathcal{D}[\theta_k] \exp \left(-\beta \left(E_0 - \frac{Jk^2 a^2}{2} \theta_k \theta_{-k} + i\theta(k) \right) \right) \\ &= Z \prod_k \exp \left(-\frac{2}{Jk^2 a^2 \beta} \right) \end{aligned} \quad (13.4)$$

Combing with (13.3),13.4), the momentum has UV and IR cutoff , nmalry $k \in [\frac{\pi}{L}, \frac{\pi}{a}]$.

$$\langle S_x \rangle = \exp \left(-\frac{2k_B T}{J} \int \frac{k^2 dk}{(2\pi)^2} \frac{1}{k^2} \right) = \exp \left(-\frac{k_B T}{\pi J} \log \frac{L}{a} \right) = \left(\frac{a}{L} \right)^{\frac{k_B T}{\pi J}} \quad (13.5)$$

13.1.2 Correlation length

The correlation function is defined as

$$G(r) = \langle e^{i(\theta(r) - \theta(0))} \rangle = \frac{\text{Tr}_{\theta_i} (e^{i(\theta(r) - \theta(0))} e^{-\beta H})}{\text{Tr}_{\theta_i} (e^{-\beta H})} \quad (13.6)$$

By the same way, we use path integral to calculate the correlation function

$$\text{Tr}_{\theta_i} (e^{i(\theta(r) - \theta(0))} e^{-\beta H}) = \prod_k \int \mathcal{D}[\theta_k] e^{-\beta E_0} \exp \left(-\frac{Jk^2 a^2}{2k_B T} \theta_k \theta_{-k} + \theta_k (e^{ikr} - 1) \right) \quad (13.7)$$

In virtue of complex field θ_k , we split it into imaginary part and real part

$$\theta_k = \alpha_k + i\beta_k \quad (13.8)$$

Hence, the Eq(13.7) turns into

$$\begin{aligned} \text{Tr}_{\theta_i} (e^{i(\theta(r) - \theta(0))} e^{-\beta H}) &= \prod_k e^{-\beta E_0} \int \mathcal{D}[\alpha_k] \exp \left(-\frac{Jk^2 a^2}{2k_B T} \alpha_k^2 + \alpha_k (e^{ikr} - 1) \right) \int \mathcal{D}[\beta_k] \exp \left(-\frac{Jk^2 a^2}{2k_B T} + i\beta_k (e^{ikr} - 1) \right) \\ &= Z \exp \left(-\sum_k \frac{k_B T (e^{ikr} - 1)}{aJk^2 a^2} \right) \end{aligned} \quad (13.9)$$

We consider summation on the bracket

$$\sum_k \frac{k_B T (e^{ikr} - 1)}{aJk^2 a^2} \rightarrow \frac{k_B T}{4\pi^2 J} dk \int_0^{2\pi} \int_0^{\frac{\pi}{2}} \frac{1 - \cos(kr \cos \theta)}{k} dk = \frac{k_B T}{2\pi J} \int_0^{\frac{\pi}{2}} \frac{1 - J_0(kr)}{k} dk \quad (13.10)$$

On the ultraviolet region, the Bessel function $J_0(kr)$ tends to zero. The (13.10) could be approximated into ¹

$$\frac{k_B T}{2\pi J} \log \frac{r\pi}{a} \quad (13.11)$$

The behaviour of correlation function of (13.6) admits power law decay

$$G(r) \sim \left(\frac{r}{a} \right)^{-\eta(T)} \quad \eta(T) = \frac{k_B T}{2\pi J} \quad (13.12)$$

In the low temperature, the correlation function admits long range behaviour. At the high temperature, the correlation length have exponential decay behaviour. The ferromagnetic order would be destroyed into disorder phase. We can make hypothesis that the system undergoes phase transition.

13.1.3 Vortices and entropy

Vortices are topological defects of field $\theta(r)$ satisfy Laplace equation $\nabla^2 \theta(r) = 0$. The nontrivial solution of two dimensional Laplace equation is vortex solution.

$$\oint_{\mathcal{C}} \nabla \theta(r) \cdot d\ell = 2\pi n \quad (13.13)$$

¹Firstly, you should make integral (13.10) into dimensionless integral

where n is the winding number . Can proliferation of vortex destroy ferromagnetic order? Now we will give the argument bases on free energy . Lst's estimate the single voretex energy.

$$\varepsilon_0 = \frac{J}{2} \int d^3r \nabla\theta(r) \cdot dl = \frac{J}{2} \int_0^{2\pi} d\theta \int_a^L \frac{n^2}{r} dr = \pi J n^2 \log \frac{L}{a} \quad (13.14)$$

We can put single vortex into system with $(\frac{L}{a})^2$ ways . The entropy could be derived with Boltzmann entropy

$$S = 2k_B \log \frac{L}{a} \quad (13.15)$$

Hence, the free energy to creation of single isolated vortex is

$$\Delta F = \Delta E - T\Delta S = (\pi J n^2 - 2k_B T) \log \frac{L}{a} \quad (13.16)$$

- $T < \frac{\pi J}{2}$. The creation of single vortex isn't favorable . The system tend to form vortex -anti-vortex bounded state to keep in netral.
- $T > \frac{\pi J}{2}$. The isolated vortex tend to proliferate.

13.2 Columb gas analogy

To proceed renoramlization group analysis, we should write down the partittion function . It's know to us that gradient field has no curl . We decompose the θ into regular part and singular part.

$$\nabla\theta = \vec{u}_{\text{reg}} + \vec{u}_s \quad (13.17)$$

The regular part is free from curl . However , the singular part will contribute vortrtex integral . For example, we can take $\theta = \frac{y}{x}$, then this field correpsonds to vortex with winding number one. The singular field satisfifes to

$$\oint_C \nabla\theta(r) \cdot dl = \int d^2r \hat{z} \cdot \nabla \times (\vec{u}_s) = 2\pi n \quad (13.18)$$

Note:-

We can make ansat that

$$\nabla \times \vec{u}_s = 2\pi \sum_i n_i \delta(r - r_i) \hat{z} \quad (13.19)$$

We can set $\vec{u}_s = \nabla \times \psi \hat{z}$,

$$\nabla \times \vec{u}_s = \nabla \times (\hat{z}\psi) = \nabla\psi \times \hat{z} \quad (13.20)$$

We substitute it into (13.19)

$$\nabla^2\psi = -2\pi \sum_i \delta(r - r_i) \quad (13.21)$$

The solution ψ could be derived as

$$\psi = - \sum n_i \log | r - r_i | \quad (13.22)$$

In the Columb gas language , the physical meaning of ψ is just scalar potential genrated by charge density $\sum_i n_i \delta(r - r_i)$.

The continuum halmitoian could be written into regular field ϕ and singular field ψ

$$H = -\frac{J}{2} \int d^2r (\nabla\phi + \nabla \times (\psi\hat{z}))^2 = -\frac{J}{2} \int d^2r ((\nabla\phi)^2 + 2\nabla\phi \cdot \nabla \times (\psi\hat{z}) + (\nabla \times (\psi\hat{z}))^2) \quad (13.23)$$

The first term on the (13.23) is just spin wave part, which could integrate out by gaussian integral. The second term could be written into total partial , which is vanishing on the boundary.

$$\begin{aligned} \int d^2r \nabla\phi \cdot \nabla \times (\psi\hat{z}) &= \int d^2r \nabla\phi \cdot (\nabla\psi \times \hat{z}) = \int d^2r \hat{z} \cdot (\nabla\phi \times \nabla\psi) \\ &= \int d^2r \varepsilon_{ij} \partial_i \phi \partial_j \psi \\ &= \int d^2r \varepsilon_{ij} \partial_i (\phi \partial_j \psi) - \phi \varepsilon_{ij} \partial_i \partial_j \psi \end{aligned} \quad (13.24)$$

Hence, the hamiltonian will simplified into

$$H = -\frac{J}{2} \int d^2r (\nabla^2\phi + \nabla^2\psi) \quad (13.25)$$

We make partial integral for the singular part

$$\begin{aligned} \int d^2r \nabla^2\psi &= \int d^2r \nabla \cdot (\psi \nabla\psi) - \phi \nabla^2\psi = -2\pi \sum_{i,j} n_i n_j \log | n_i - n_j | \\ &= -H_{\text{core}} - 2\pi \sum_{i < j} n_i n_j \log | n_i - n_j | \end{aligned} \quad (13.26)$$

The partition function could be obtained as

$$\begin{aligned} \mathcal{Z} &= \int \mathcal{D}[\phi] e^{-\frac{J}{2} \int d^2r (\nabla\phi(r))^2} \sum_{N=0}^{\infty} \frac{1}{N!^2} \int \prod_{i=1}^{2N} \frac{dp_i^2 dx_i^2}{h^{2N}} e^{2\pi J \sum_{i < j} n_i n_j \log | r_i - r_j |} \\ &= Z_{\text{spin}} \sum_{N=0}^{\infty} \frac{y_0^{2N}}{N!^2} \int \prod_{i=1}^{2N} \frac{dx_i^2}{a^2} \exp \left(2\pi J \sum_{i < j} n_i n_j \log | r_i - r_j | \right) \end{aligned} \quad (13.27)$$

where y_0 is the dimensionless characteristic quantity $y_0 = \frac{\sqrt{2\pi m k_B T a^2}}{h}$

13.2.1 RG flow equation

We use the perturbative treatment to this sytem . We consider two chargeds at position s, s' . Our effective hamiltonian is the average of external charge

$$e^{H_{eff}(r-r')} = \langle e^{-2J\pi \log | r - r' |} \rangle \quad (13.28)$$

Let;s use the partition function (13.27) to write down the effective hamiltonian

$$\begin{aligned}
\langle e^{-2K\pi \log|r-r'|} \rangle &= \frac{\sum_{N=0}^{\infty} \frac{y_0^{2N}}{N!^2} \int \prod_{i=1}^{2N} \frac{dx_i^2}{a^2} \exp\left(-2J\pi \log|r-r'| + 2\pi J \sum_{i<j} n_i n_j \log|r_i - r_j|\right)}{\sum_{N=0}^{\infty} \frac{y_0^{2N}}{N!^2} \int \prod_{i=1}^{2N} \frac{dx_i^2}{a^2} \exp\left(2\pi J \sum_{i<j} n_i n_j \log|r_i - r_j|\right)} \\
&= \frac{\exp(-2J\pi \log|r-r'|) \left(1 + y_0^2 \int ds ds' e^{-2J\pi \log|s-s'| + 2J\pi D(r,r';s,s')}\right)}{\left(1 + y_0^2 \int ds ds' e^{-2J\pi \log|s-s'| + 2J\pi D(r,r';s,s')}\right)} \\
&= \exp(-2J\pi \log|r-r'|) \left(1 + y_0^2 \int ds ds' e^{-2J\pi \log|s-s'|} \left(e^{3J\pi D(r,r';s,s')} - 1\right)\right) \quad (13.29)
\end{aligned}$$

where the $D(r, r'; s, s')$ is the interaction between external charge and the single dipole.

$$D(r, r'; s, s') = \log|s-r| - \log|s-r'| + \log|s'-r'| - \log|s'-r| \quad (13.30)$$

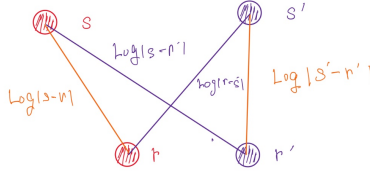


Figure 13.1: The interaction between the external charge and dipole

Note:-

In this short note, we will introduce central coordinate $X = \frac{s+s'}{2}$ and relative coordinate $x = s - s'$ to expand the interaction term $D(r, r'; s, s')$.

$$\begin{cases}
\log|s-r| = \log\left|X + \frac{x}{2} - r\right| = \log|X-r| + \frac{x}{2} \cdot \nabla_X \log|X-r| + \frac{1}{2} \left(\frac{x}{2} \cdot \nabla_X\right)^2 \log|X-r| + \dots \\
\log|s'-r| = \log\left|X - \frac{x}{2} - r\right| = \log|X-r| - \frac{x}{2} \cdot \nabla_X \log|X-r| + \frac{1}{2} \left(\frac{x}{2} \cdot \nabla_X\right)^2 \log|X-r| + \dots \\
\log|s-r'| = \log\left|X + \frac{x}{2} - r'\right| = \log|X-r'| + \frac{x}{2} \cdot \nabla_X \log|X-r'| + \frac{1}{2} \left(\frac{x}{2} \cdot \nabla_X\right)^2 \log|X-r'| + \dots \\
\log|s'-r'| = \log\left|X - \frac{x}{2} - r'\right| = \log|X-r'| - \frac{x}{2} \cdot \nabla_X \log|X-r'| + \frac{1}{2} \left(\frac{x}{2} \cdot \nabla_X\right)^2 \log|X-r'| + \dots
\end{cases} \quad (13.31)$$

We substitute the (13.31) into (13.30)

$$D(r, r'; s, s') = x \cdot \nabla_X (\log|X-r| - \log|X-r'|) \quad (13.32)$$

We expand the $e^{2J\pi D(r,r';s,s')}$ to second order

$$e^{2J\pi D(r,r';s,s')} = 1 + 2J\pi x \cdot \nabla_X (\log|X-r| - \log|X-r'|) + \frac{1}{2} (2J\pi x \cdot \nabla_X (\log|X-r| - \log|X-r'|))^2 + \dots \quad (13.33)$$

The integral measurement could be expressed by variables X, x as $\int d^2 s d^2 s' = \int d^2 x d^2 X$. We substitute the (13.33) into (13.29)

$$\int ds ds' e^{-2J\pi \log|s-s'|+2J\pi D(r,r';s,s')} = \int d^2x d^2X (x)^{-2J\pi} \left(1 + 2J\pi x \cdot \nabla_X \log \left| \frac{r-X}{r'-X} \right| + 2J^2\pi^2 \left(x \cdot \nabla_X \log \left| \frac{r-X}{r'-X} \right| \right)^2 + c \dots \right) \quad (13.34)$$

Note:-

Let's analyze the terms on the (13.34)

$$\int d^2x d^2X e^{-2J\pi \log x} x \cdot \nabla_X \log \left| \frac{r-X}{r'-X} \right| = \int d^2x e^{-2J\pi \log x} x \cdot \int d^2X \nabla_X \log \left| \frac{r-X}{r'-X} \right| = 0 \quad (13.35)$$

$$\begin{aligned} \int d^2x d^2X \left(x \cdot \nabla_X \log \left| \frac{r-X}{r'-X} \right| \right)^2 &= \int_0^\infty e^{-2J\pi \log x} x^3 dx \int d^2X \\ \int_0^{2\pi} d\theta \left(\cos \theta \nabla_{X_1} \log \left| \frac{r-X}{r'-X} \right| + \sin \theta \nabla_{X_2} \log \left| \frac{r-X}{r'-X} \right| \right)^2 \\ &= \pi \int d^2x d^2X \left(x \cdot \nabla_X \log \left| \frac{r-X}{r'-X} \right| \right)^2 = \pi \int_0^\infty e^{-2J\pi \log x} x^3 dx \int d^2X \left(\nabla_X \log \left| \frac{r-X}{r'-X} \right| \right)^2 \end{aligned} \quad (13.36)$$

We calculate the last part integral

$$\begin{aligned} \int d^2X \left(\nabla_X \log \left| \frac{r-X}{r'-X} \right| \right)^2 &= \left(\nabla_X \log \left| \frac{r-X}{r'-X} \right| \right)_{X \rightarrow \infty} - \int d^2X \log \left| \frac{r-X}{r'-X} \right| \nabla_X^2 \log \left| \frac{r-X}{r'-X} \right| \\ &= - \int d^2X \log \left| \frac{r-X}{r'-X} \right| (2\pi \delta(X-r) - 2\pi \delta(X-r')) \\ &= -2\pi \int d^2X (\log |r-r| - \log |r'-r| - \log |r-r'| + \log |r'-r'|) \\ &= 4\pi \log |r-r'| \end{aligned} \quad (13.37)$$

Hence, the integral (13.36) turns into

$$\begin{aligned} \exp(-2J\pi \log |r-r'|) \left(1 + y_0^2 \int ds ds' e^{-2J\pi \log|s-s'|+2J\pi D(r,r';s,s')} \right) &= \exp(-2J\pi \log |r-r'|) \\ \left(1 + 8J^2\pi^4 y_0^2 \log |r-r'| \int_1^\infty x^{3-2J\pi} dx + \mathcal{O}(y_0^4) \right) \end{aligned} \quad (13.38)$$

We use the lattice cut-off to revise the divergent integral

We could write down the K_{eff} from the (13.38)

$$K_{eff} = K - 4\pi^3 K^2 y_0^2 \int_1^\infty dx x^{3-2\pi K} \quad (13.39)$$

To be convenient, we use the K^{-1}

$$K_{eff}^{-1} = \frac{1}{K} \frac{1}{1 - 4\pi^2 K y_0^2 \int_1^\infty dx x^{3-2\pi K}} \simeq K^{-1} + 4\pi^2 y_0^2 \int_1^\infty dx x^{3-2\pi K} \quad (13.40)$$

- Scale $x : 1 \mapsto b$

$$K_{\text{eff}}^{-1} = \left(K^{-1} + 4\pi^2 y_0^2 \int_1^b dx x^{3-2\pi K} \right) + 4\pi^2 y_0^2 \int_b^\infty dx x^{3-2\pi K} \quad (13.41)$$

- Rescale the $x : x \mapsto x/b$

$$\tilde{K}^{-1} = K^{-1} + 4\pi^3 \tilde{y}_0^2 \int_{1/b}^1 dx x^{3-2\pi K} \quad \tilde{y}_0 = b^{2-\pi K} y_0 \quad (13.42)$$

We choose the infinitesimal renormalization parameter $b = e^l$

$$\tilde{y}_0 = y_0 (1 + (2 - \pi K)dl + \mathcal{O}(dl^2)) \implies \frac{dy_0}{dl} = (2 - \pi K)y_0 \quad (13.43)$$

$$\begin{aligned} \tilde{K}^{-1} &= K^{-1} + 4\pi^3 \tilde{y}_0^2 \int_{1/b}^1 dx x^{3-2\pi K} = K^{-1} + 4\pi^3 \tilde{y}_0^2 \frac{x^{4-\pi K}}{4-\pi K} \Big|_{1/b}^1 \\ &= K^{-1} + 4\pi^3 \tilde{y}_0^2 \frac{1 - e^{-(4-\pi K)l}}{4-\pi K} \\ &= K^{-1} + 4\pi^3 l \tilde{y}_0^2 \implies \frac{d\tilde{K}^{-1}}{dl} = 4\pi^3 \tilde{y}_0^2 \end{aligned} \quad (13.44)$$

Now, we have derive the *RG* equation. To simplify problem, we focus on the behaviour of fixed point. The RG equation (13.43) tells us that $K = \frac{2}{\pi}$ is fixed point, which gives the critical temperature

$$T = \frac{\pi}{2} J/k_B \quad (13.45)$$

This result is meeted with vortice argument (13.16). We introduce new varibales $t = \frac{\pi}{2} - K^{-1}$ ² to study the behaviour near fixed point.

$$\begin{cases} \frac{dt}{dl} = 4\pi^3 y^2 \\ \frac{dy}{dl} = \frac{4}{\pi} ty \end{cases} \quad (13.46)$$

The Eq(??) tells us conserve quantity

$$\frac{d}{dl} (t^2 - \pi^4 y^2) = 0 \quad (13.47)$$

²The variable t is small quantity, $2 - \pi K = \frac{2t}{2+\pi/2} \simeq \frac{4}{\pi} t + \mathcal{O}(t^2)$