

Topological Terms of Nonlinear Sigma models

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Topological Terms of Nonlinear Sigma models

Content

1. Introduction: WZW-term and Θ -term, 0+1-dimensional and 1+1 dimensional examples.
2. Infrared physics of 2+1d nonlinear sigma model and principal chiral model with Θ -term, at $\Theta = \pi$
3. Physical realization, deconfined quantum critical point, and others

Topological Terms of Nonlinear Sigma models

Introduction: WZW term and Θ -term

Example: 0+1 dimensional O(3) NLSM

$$S_0 = \int d\tau \frac{1}{g} (\partial_\tau \vec{n})^2$$

Hamiltonian: $H \sim g(\vec{L})^2$ $E \sim gl(l+1)$

Ground state: $|\text{GS}\rangle = |l=0\rangle$

Nondegenerate ground state, gapped spectrum

Topological Terms of Nonlinear Sigma models

Introduction: WZW term and Θ -term

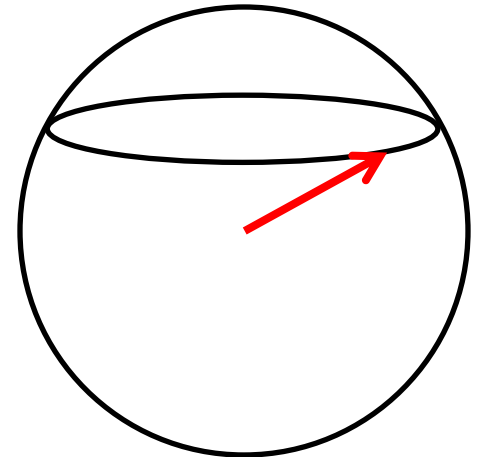
Example: 0+1 dimensional O(3) NLSM, plus WZW term:

$$S = \int d\tau \frac{1}{g} (\partial_\tau \vec{n})^2 + i2\pi W[\vec{n}(\tau)]$$

W is proportional to the solid angle on the sphere enclosed by the closed loop.

$$W[\vec{n}(\tau)] = \frac{k}{4\pi} \int d\tau (1 - \cos \theta(\tau)) \partial_\tau \varphi(\tau)$$

$$W[\vec{n}(\tau)]' = \frac{k}{4\pi} \int d\tau (-1 - \cos \theta(\tau)) \partial_\tau \varphi(\tau)$$



k has to be an integer.

Topological Terms of Nonlinear Sigma models

Introduction: WZW term and Θ -term

Example: 0+1 dimensional O(3) NLSM, plus WZW term:

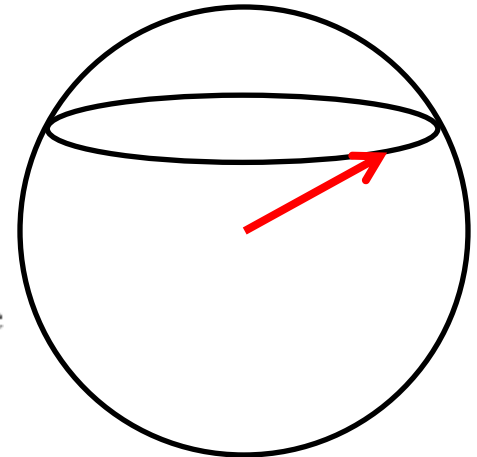
$$S = \int d\tau \frac{1}{g} (\partial_\tau \vec{n})^2 + i2\pi W[\vec{n}(\tau)]$$

W is proportional to the solid angle on the sphere enclosed by the closed loop.

$$W[\vec{n}(\tau)] = \frac{ik}{8\pi} \int dud\tau \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c$$

$$\vec{n}(\tau, u), \quad \vec{n}(\tau, 1) = \vec{n}(\tau) \quad \vec{n}(\tau, 0) = \hat{z}$$

k has to be an integer.



Topological Terms of Nonlinear Sigma models

Introduction: WZW term and Θ -term

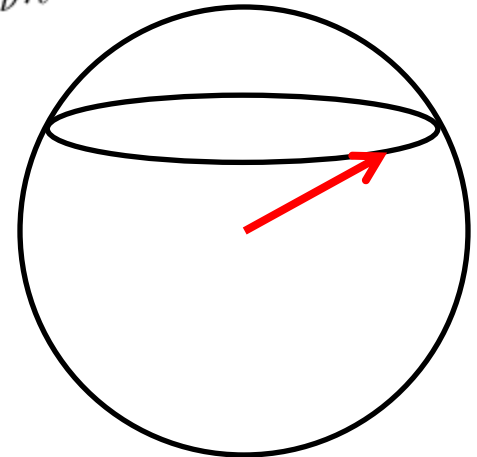
Example: 0+1 dimensional O(3) NLSM, plus WZW term:

$$S = \int d\tau \frac{1}{g} (\partial_\tau \vec{n})^2 + 2\pi \int du d\tau \frac{ik}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c$$

This is equivalent to a point particle moving on a sphere with $2k\pi$ flux through the sphere.

The Landau level degeneracy: $k+1$. Notice the Difference from the flat space.

This is the model describing a single spin, with $S = k/2$.



Topological Terms of Nonlinear Sigma models

Introduction: WZW term and Θ -term

Breaking $O(3)$ symmetry down to inplane $O(2)$ symmetry

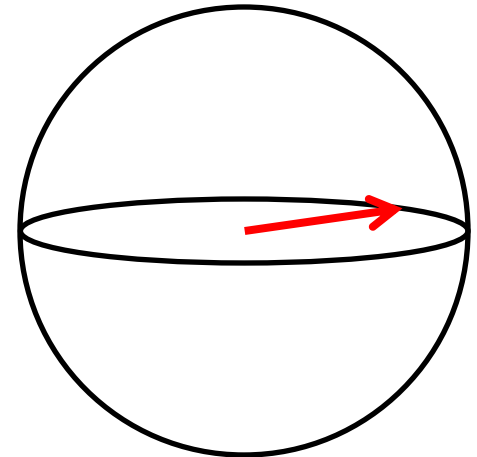
$$L = \int d\tau \frac{1}{g} (\partial_\tau \varphi)^2 + \frac{i\Theta}{2\pi} \partial_\tau \varphi$$

The WZW term reduces to the Θ -term

$$\Theta = k\pi$$

Hamiltonian:

$$H \sim g \left(\hat{n} - \frac{\Theta}{2\pi} \right)^2$$



When k is odd, the ground state is doublet degenerate, otherwise no degenerate. **Topological term increases the degeneracy.**

Topological Terms of Nonlinear Sigma models

Introduction: WZW term and Θ -term

Let us consider 1+1d. Take 1d AF spin chain, define $\vec{n} \sim (-1)^j \vec{S}_j$

$$\sum_j (-1)^j WZW[\vec{n}(\tau)]_j \sim \int d\tau dx \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c$$

Spin chain is described by the 1+1d O(3) NLSM with $\Theta = 2\pi S$
(Haldane).

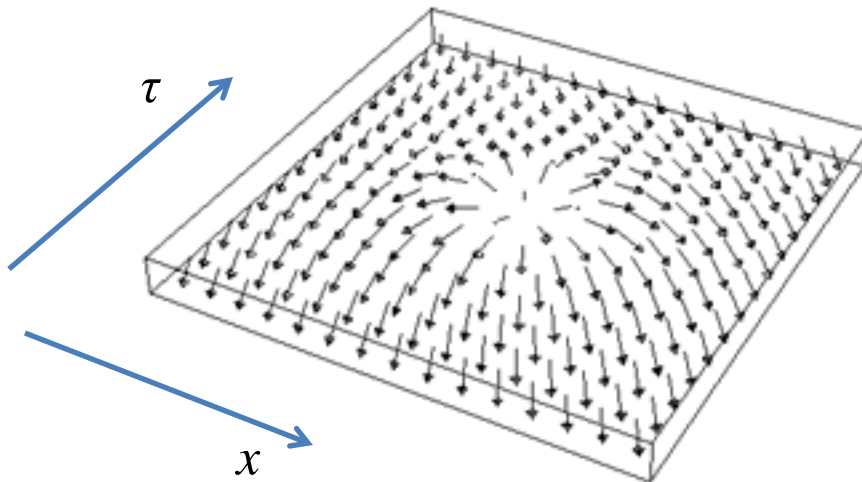
$$L = \frac{1}{g} (\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c$$

Without Θ -term, NLSM is gapped, disordered, and nondegenerate. According to LSM theorem, spin-1/2 chain is either gapless or two fold degenerate i.e. **when $\Theta=\pi$, the NLSM is either gapless or two fold degenerate.**

Topological Terms of Nonlinear Sigma models

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1\text{d}$$

Since $\pi_2(S^2) = \mathbb{Z}$, there are “instantons” in the space-time.



The Θ -term gives phase factor $\exp(i\Theta)$ to every instanton.

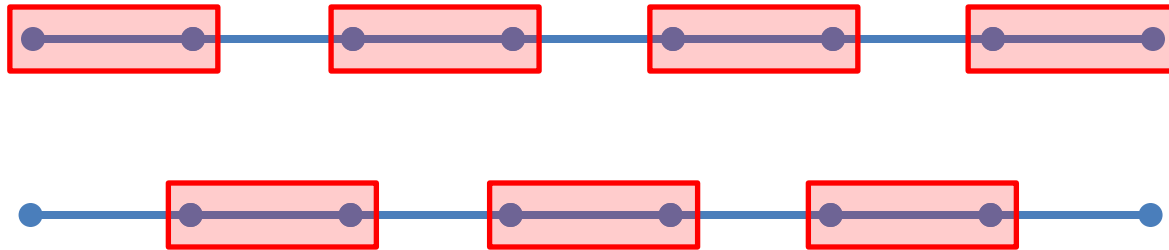
Topological Terms of Nonlinear Sigma models

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1d$$

Gapless CFT, NN AF spin-1/2 chain

$$\Theta = \pi$$

Gapped, 2-fold deg, J_1 - J_2 spin chain



Topological Terms of Nonlinear Sigma models

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1d$$

Gapless CFT, NN AF spin-1/2 chain

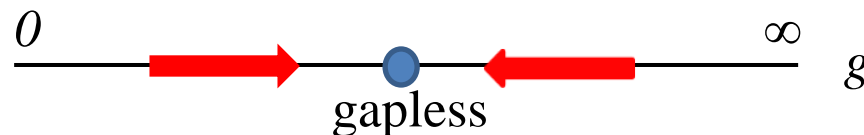
$$\Theta = \pi$$

Gapped, 2-fold deg, J_1 - J_2 spin chain

For **NN** spin-1/2 chain, the Neel order parameter and VBS order parameter have the same scaling dimension $1/2$. Thus...

$$\phi^a = (\vec{n}, Q)$$

$$S = \int d\tau dx \frac{1}{g}(\partial_\mu \phi^a)^2 + 2\pi \int du d\tau dx \frac{ik}{12\pi^2} \epsilon_{abcd} \epsilon_{\mu\nu\rho} \phi^a \partial_\mu \phi^b \partial_\nu \phi^c \partial_\rho \phi^d$$



Topological Terms of Nonlinear Sigma models

Goal:

The goal of this work, is to understand the effect of the Θ term on the **2+1 dimensional** principal chiral model defined on compact simple Lie groups, such as $SU(N)$, $SO(N)$, $Sp(N)$, with $\pi_3 = \mathbb{Z}$.

$$S = \int d\tau d^2x \frac{1}{g} \text{tr}[\partial_\mu U^\dagger \partial_\mu U] + \frac{i\Theta}{G} \int d\tau d^2x \epsilon_{\mu\nu\rho} \text{tr}[(U^\dagger \partial_\mu U)(U^\dagger \partial_\nu U)(U^\dagger \partial_\rho U)]$$

Let us take U in $SU(2)$ group. $U = \phi^0 I_{2 \times 2} + i\vec{\phi} \cdot \vec{\sigma}$

$$S = \int d\tau d^2x \frac{1}{g} (\partial_\mu \phi^a)^2 + \frac{i\Theta}{12\pi^2} \int d\tau d^2x \epsilon_{abcd} \epsilon_{\mu\nu\rho} \phi^a \partial_\mu \phi^b \partial_\nu \phi^c \partial_\rho \phi^d$$

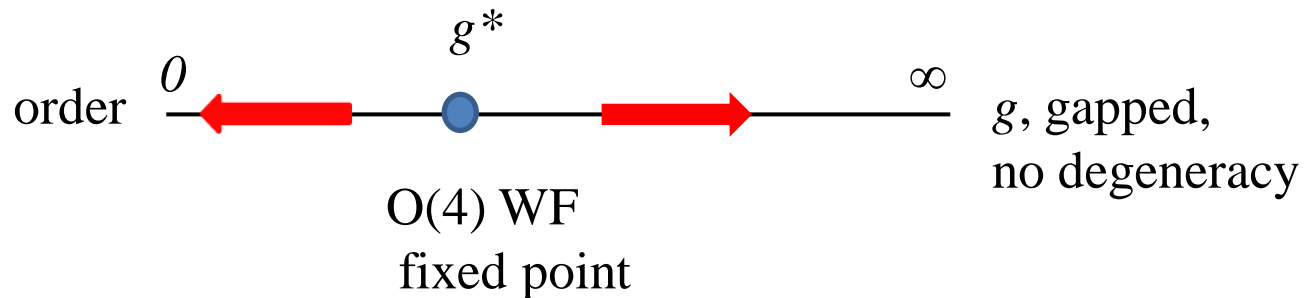
Topological Terms of Nonlinear Sigma models

$$S = \int d\tau d^2x \frac{1}{g} (\partial_\mu \phi^a)^2 + \frac{i\Theta}{12\pi^2} \int d\tau d^2x \epsilon_{abcd} \epsilon_{\mu\nu\rho} \phi^a \partial_\mu \phi^b \partial_\nu \phi^c \partial_\rho \phi^d$$

Under time-reversal transformation, Θ becomes $-\Theta$. The bulk physics (correlation, spectrum) is identical for Θ and $\Theta + 2k\pi$.

We focus on the time-reversal invariant case, where $\Theta = k\pi$.

$\Theta = 2k\pi$, bulk equivalent to $\Theta = 0$.

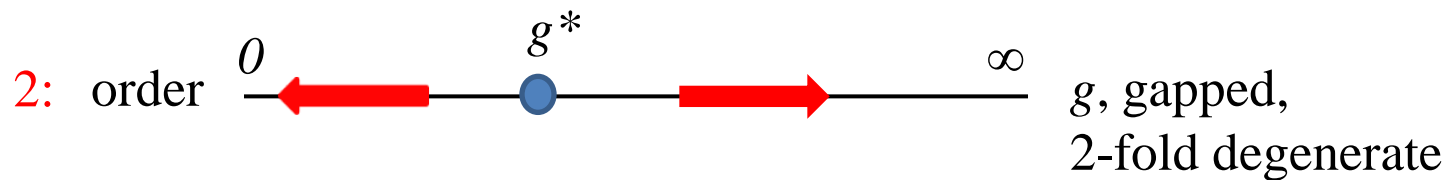
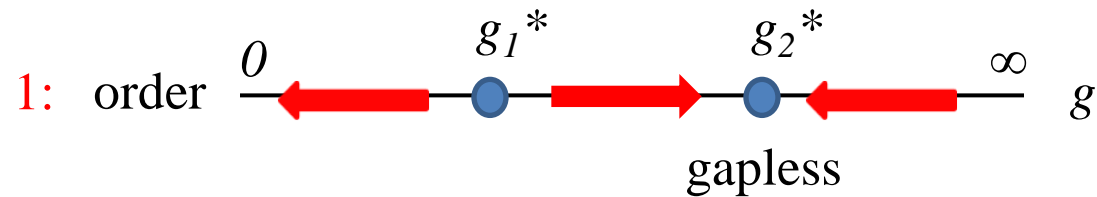


Topological Terms of Nonlinear Sigma models

$$S = \int d\tau d^2x \frac{1}{g} (\partial_\mu \phi^a)^2 + \frac{i\Theta}{12\pi^2} \int d\tau d^2x \epsilon_{abcd} \epsilon_{\mu\nu\rho} \phi^a \partial_\mu \phi^b \partial_\nu \phi^c \partial_\rho \phi^d$$

$\Theta = \pi$, instantons will matter

Conclusion: two generic possibilities:



Topological Terms of Nonlinear Sigma models

Let us come back to investigate 1+1d O(3) NLSM:

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c$$

Based on our knowledge of spin chains, this model is either gapless or two-fold degenerate when $\Theta = \pi$.

We will investigate this model without using spin-chain, and then apply the same argument to 2+1d NLSMs.

Topological Terms of Nonlinear Sigma models

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1d$$

$$\Theta = \pi$$

$$\Theta = 0$$

Bulk gapped
No edge states



$$\Theta = 2\pi$$

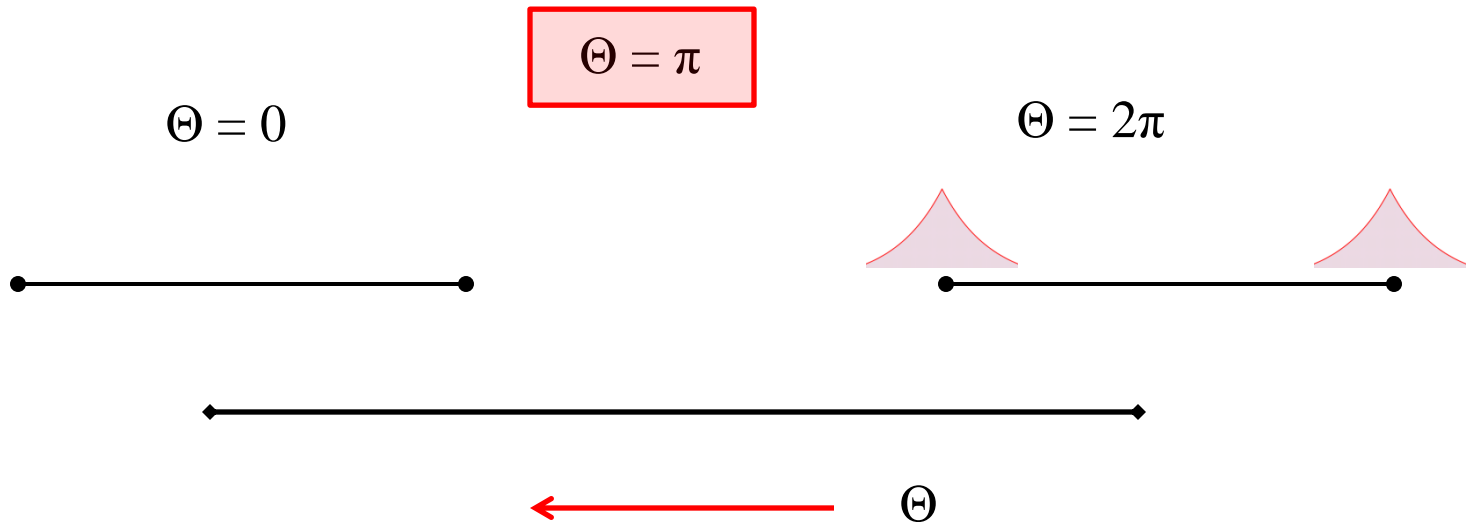
Bulk gapped
Edge NLSM +
WZW with $k = 1$.



Spin-1/2 state
Localized at the edge

Topological Terms of Nonlinear Sigma models

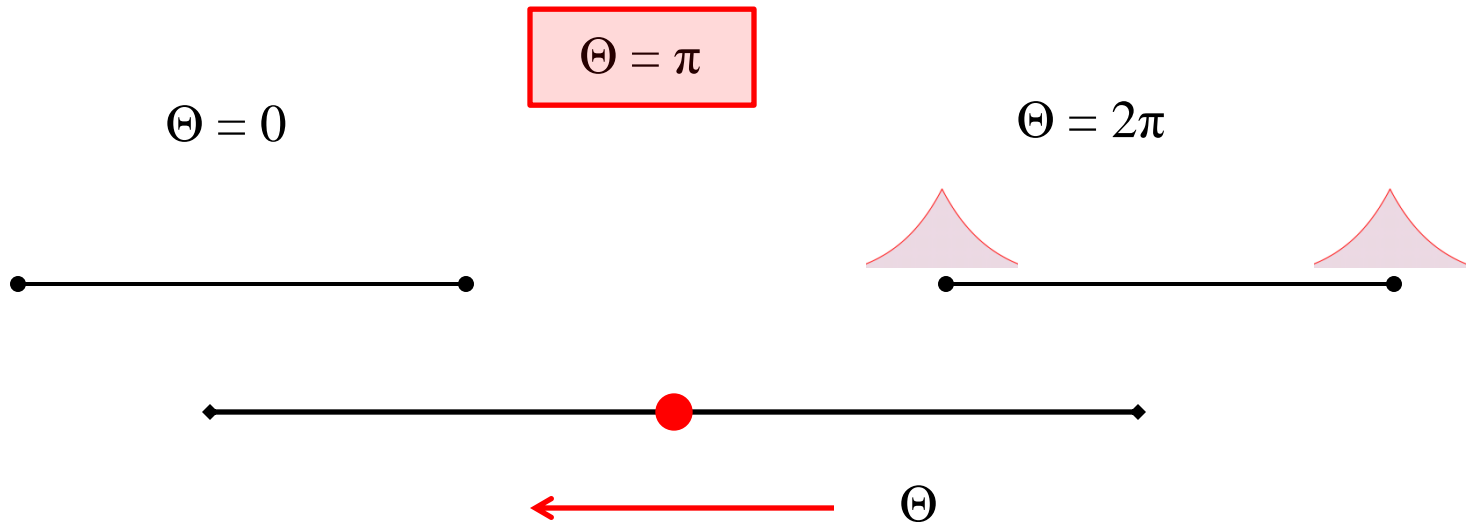
$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1\text{d}$$



Now tune Θ from 2π to 0 , the spin-1/2 edge states have to disappear at some Θ , and **the edge states can only be destroyed through a bulk transition, because single spin-1/2 is stable against discrete symmetry breaking, if $O(3)$ symmetry is preserved.**

Topological Terms of Nonlinear Sigma models

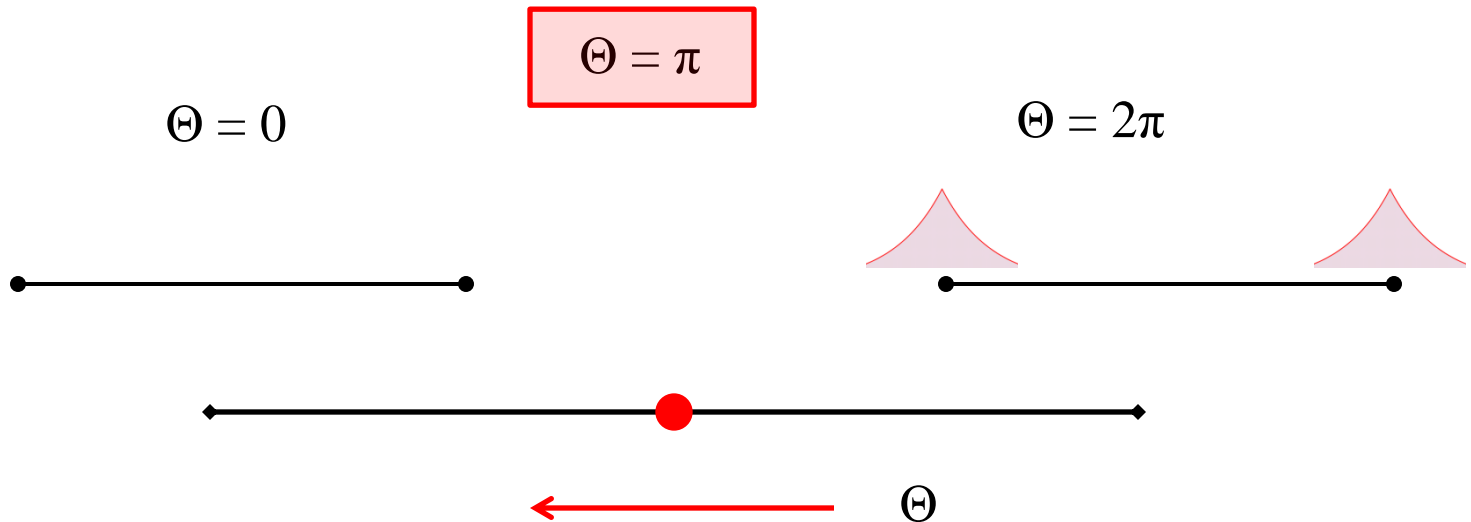
$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1d$$



Possibility 1: a second order bulk transition, bulk gap closes at $\Theta = \pi$. While approaching this transition, the edge state becomes more and more delocalized, eventually continuously absorbed by the gapless bulk state at $\Theta = \pi$. **System is gapless when $\Theta = \pi$.**

Topological Terms of Nonlinear Sigma models

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1\text{d}$$

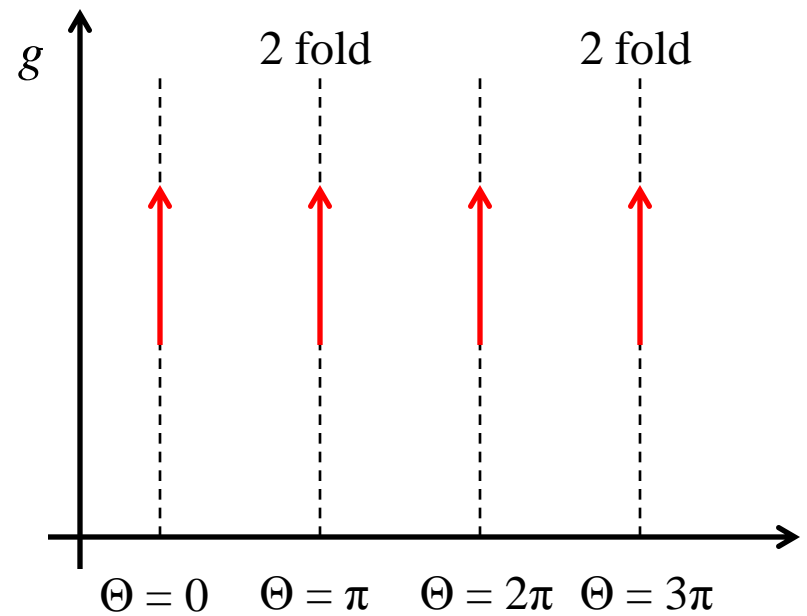
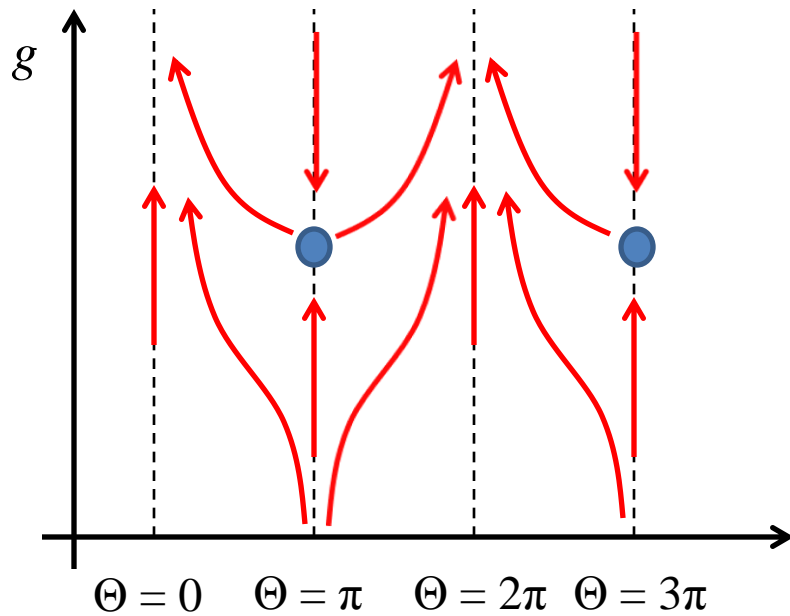


Possibility 2: a first order bulk transition, bulk level crossing $\Theta = \pi$. The edge state disappears suddenly, and **the system is two fold degenerate at $\Theta = \pi$.**

Two possibilities precisely consistent with LSM theorem.

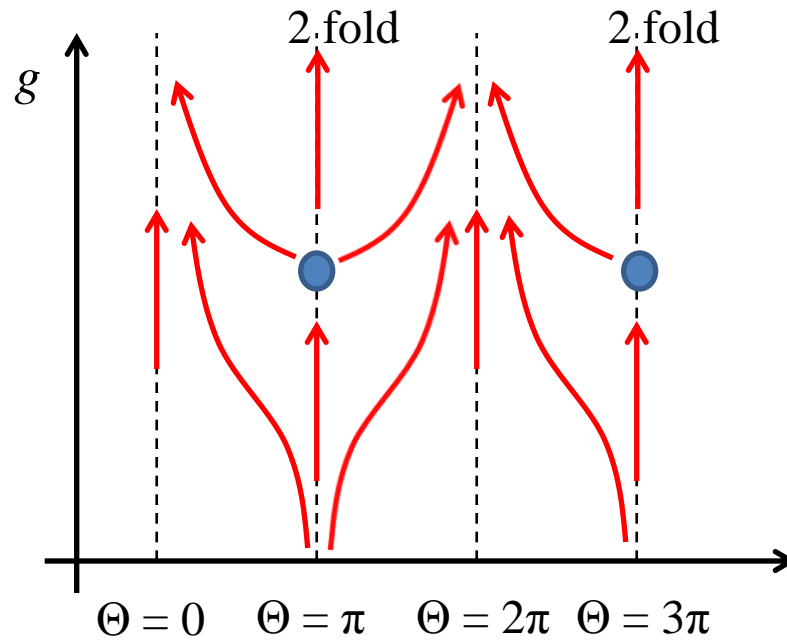
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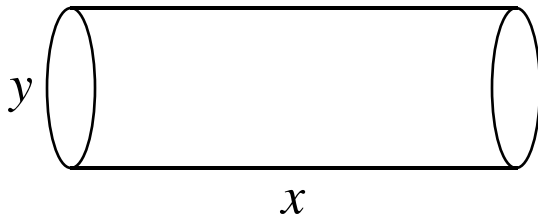
Topological Terms of Nonlinear Sigma models

$$S = \int d\tau d^2x \frac{1}{g} (\partial_\mu \phi^a)^2 + \frac{i\Theta}{12\pi^2} \int d\tau d^2x \epsilon_{abcd} \epsilon_{\mu\nu\rho} \phi^a \partial_\mu \phi^b \partial_\nu \phi^c \partial_\rho \phi^d \quad 2+1d$$

$$\Theta = \pi$$

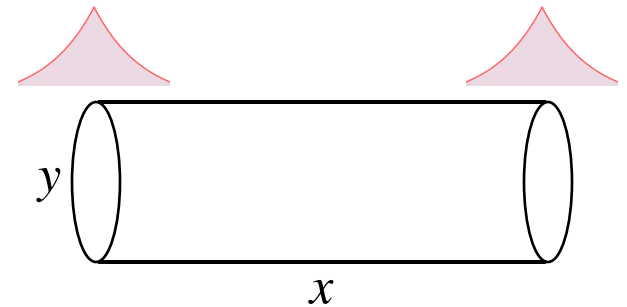
$$\Theta = 0$$

Bulk gapped
No edge states



$$\Theta = 2\pi$$

Bulk gapped
Edge O(4) NLSM +
WZW with $k = 1$.



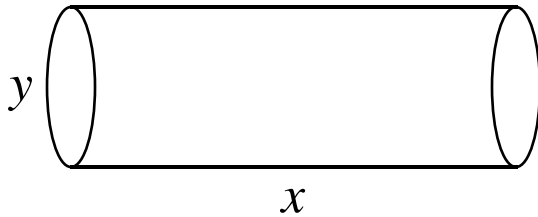
SU(2)₁ CFT at the edge

Topological Terms of Nonlinear Sigma models

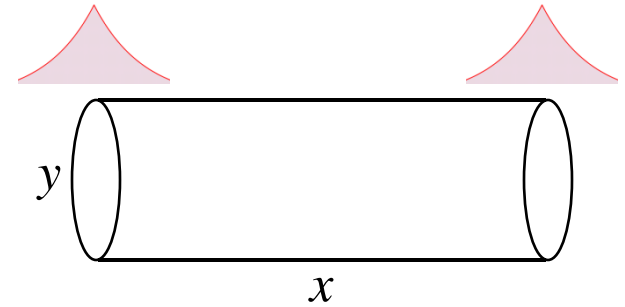
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$$\Theta = \pi$$

$$\Theta = 0$$



$$\Theta = 2\pi$$

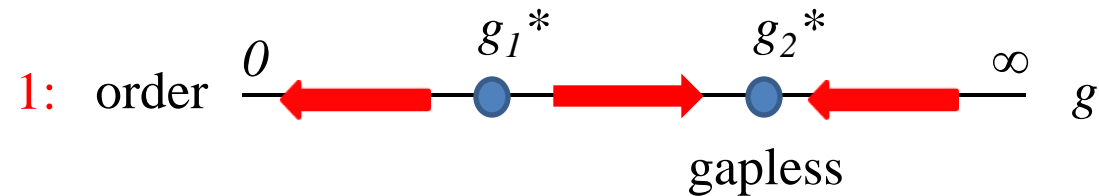


Now tune Θ from 2π to 0 , the gapless edge state has to disappear. Again, the edge state cannot disappear without going through a bulk transition, because gapping a $1+1d$ $SU(2)_1$ CFT, one has to break $O(4) \sim SU(2) \times SU(2)$ down to $SU(2)$.

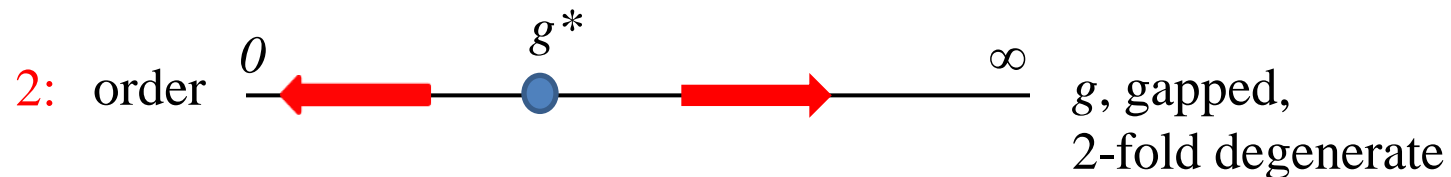
Topological Terms of Nonlinear Sigma models

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Possibility 1: a second order bulk transition, bulk gap closes at $\Theta = \pi$. While approaching this transition, the edge state becomes more and more delocalized, eventually continuously absorbed by the gapless bulk state at $\Theta = \pi$.

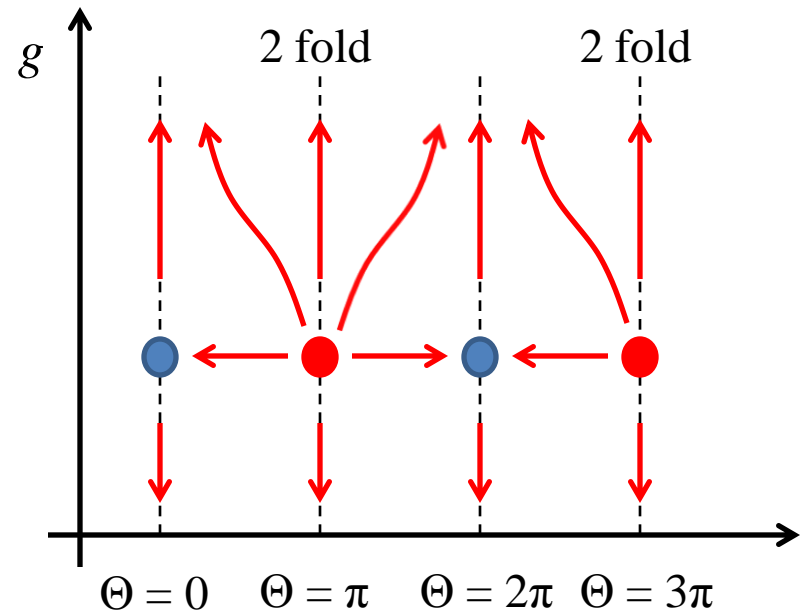
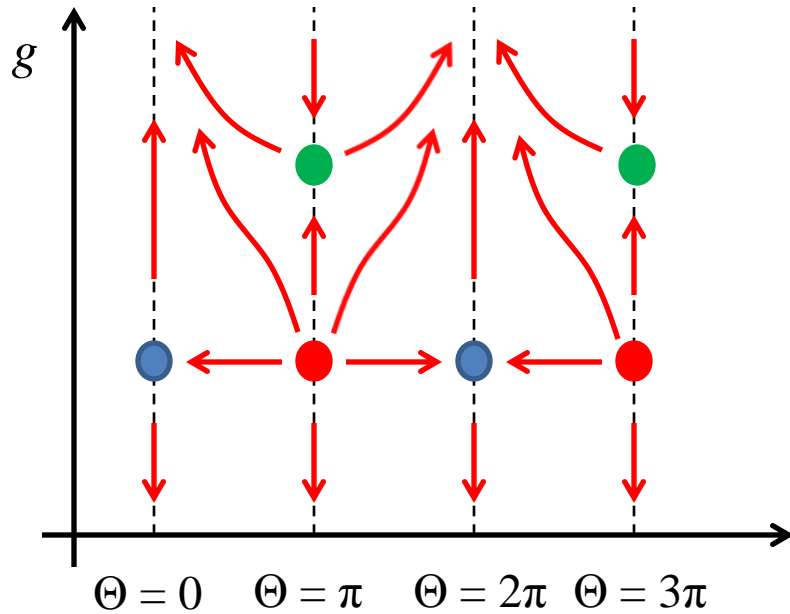


Possibility 2: a first order bulk transition, bulk level crossing $\Theta = \pi$. The edge state disappears suddenly, and the system is two fold degenerate at $\Theta = \pi$.



Topological Terms of Nonlinear Sigma models

$$S = \int d\tau d^2x \frac{1}{g} (\partial_\mu \phi^a)^2 + \frac{i\Theta}{12\pi^2} \int d\tau d^2x \epsilon_{abcd} \epsilon_{\mu\nu\rho} \phi^a \partial_\mu \phi^b \partial_\nu \phi^c \partial_\rho \phi^d \quad 2+1d$$

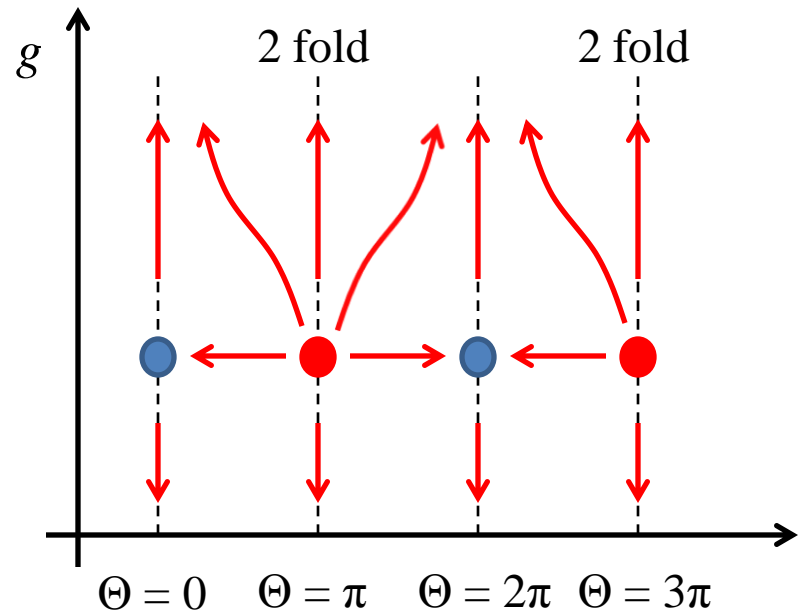
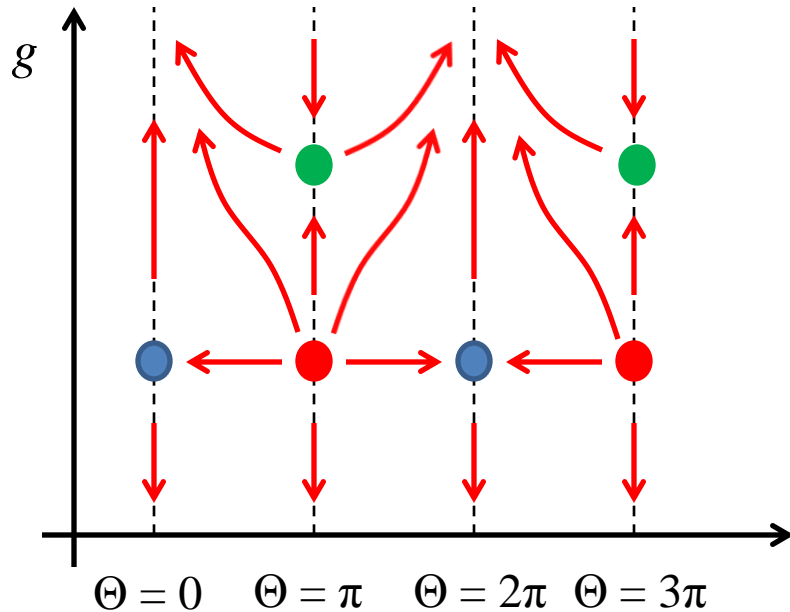


Xu and Ludwig, to appear soon

Topological Terms of Nonlinear Sigma models

$$S = \int d\tau d^2x \frac{1}{g} \text{tr}[\partial_\mu U^\dagger \partial_\mu U] + \frac{i\Theta}{G} \int d\tau d^2x \epsilon_{\mu\nu\rho} \text{tr}[(U^\dagger \partial_\mu U)(U^\dagger \partial_\nu U)(U^\dagger \partial_\rho U)]$$

Generalization to 2+1d principal chiral model



Xu and Ludwig, to appear soon

Topological Terms of Nonlinear Sigma models

How to generate the 2+1d O(4) NLSM with Θ -term?

$$L = \sum_{\alpha=1}^N \bar{\psi}_{\alpha} \gamma_{\mu} \partial_{\mu} \psi_{\alpha} + m \phi^a \bar{\psi}_{\alpha} \Gamma^a \psi_{\alpha}, \quad a = 1 \cdots 4$$

Integrate out the fermions, obtain O(4) NLSM with $\Theta = N\pi$.
[Abanov, Wiegmann, 2000.](#)

A true condensed matter physicist should not assume O(4) symmetry at the beginning, we should make it emerge by itself.

$$L = \bar{\psi} \gamma_{\mu} (\partial_{\mu} - iA_{\mu}) \psi + m \bar{\psi} \vec{\sigma} \psi \cdot \vec{n} + \frac{1}{e^2} (F_{\mu\nu})^2$$

Integrate out fermion, obtain O(4) NLSM with $\Theta = \pi$, O(4) symmetry emerge. [Senthil, Fisher, 2005.](#)

Topological Terms of Nonlinear Sigma models

$$L = \bar{\psi}\gamma_{\mu}(\partial_{\mu} - iA_{\mu})\psi + m \bar{\psi}\vec{\sigma}\psi \cdot \vec{n} + \frac{1}{e^2}(F_{\mu\nu})^2$$

Step 1: Integrate out fermion:

$$L = \frac{1}{g}(\partial_{\mu}\vec{n})^2 + \frac{1}{e^2}(F_{\mu\nu})^2 + i\pi\text{Hopf}[\vec{n}] + iA_{\mu}\frac{1}{8\pi}\epsilon_{abc}\epsilon_{\mu\nu\rho}n^a\partial_{\nu}n^b\partial_{\rho}n^c$$

Step 2: take CP(1) representation of O(3) vector: $\vec{n} = z^{\dagger}\vec{\sigma}z$

$$L = \frac{1}{g}|(\partial_{\mu} - ia_{\mu})z_{\alpha}|^2 + \frac{1}{e^2}(F_{\mu\nu})^2 + \frac{i}{2\pi}\epsilon_{\mu\nu\rho}a_{\mu}\partial_{\nu}A_{\rho} + \frac{i\pi}{12\pi^2}\epsilon_{abcd}\epsilon_{\mu\nu\rho}\phi^a\partial_{\mu}\phi^b\partial_{\nu}\phi^c\partial_{\rho}\phi^d$$

Step 3: Integrate out gauge field: $\vec{\phi} = (\text{Re}[z_1], \text{Im}[z_1], \text{Re}[z_2], \text{Im}[z_2])$

$$S = \int d\tau d^2x \frac{1}{g}(\partial_{\mu}\phi^a)^2 + \frac{i\Theta}{12\pi^2} \int d\tau d^2x \epsilon_{abcd}\epsilon_{\mu\nu\rho}\phi^a\partial_{\mu}\phi^b\partial_{\nu}\phi^c\partial_{\rho}\phi^d$$

Topological Terms of Nonlinear Sigma models

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1\text{d}$$

$\Theta = \pi$

 ∞
 $g, \text{ gapped, 2-fold}$

The two degenerate ground states correspond to the states with $\Theta = 0$ and $\Theta = 2\pi$. We can view Θ as a dynamical field, and these two states are two different condensates of Θ .

$$S = \int d\tau dx \ i \frac{\Theta(x)}{2\pi} \partial_\tau \Phi(x)$$

$$\Phi(x) = \frac{1}{2} \int du \ \epsilon_{abc} n^a \partial_x n^b \partial_u n^c$$

Θ and Φ are conjugate variables. What is Φ ?

Topological Terms of Nonlinear Sigma models

$$L = \frac{1}{g}(\partial_\mu \vec{n})^2 + \frac{i\Theta}{8\pi} \epsilon_{abc} \epsilon_{\mu\nu} n^a \partial_\mu n^b \partial_\nu n^c \quad 1+1d$$

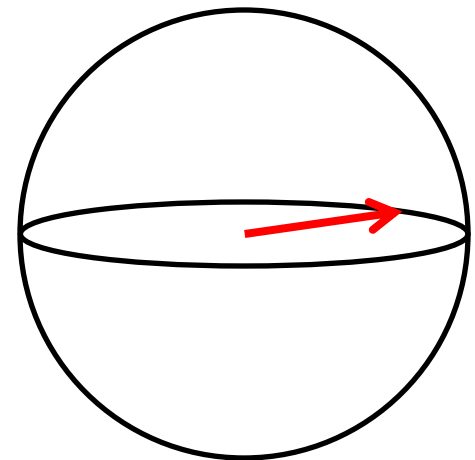
$\Theta = \pi$  ∞ $g, \text{ gapped, 2-fold}$

The two degenerate ground states correspond to the states with $\Theta = 0$ and $\Theta = 2\pi$. We can view Θ as a dynamical field, and these two states are two different condensates of Θ .

What is Φ ? $n^x \sim \cos \varphi$ $n^y \sim \sin \varphi$

Breaking $O(3)$ down to $O(2)$:

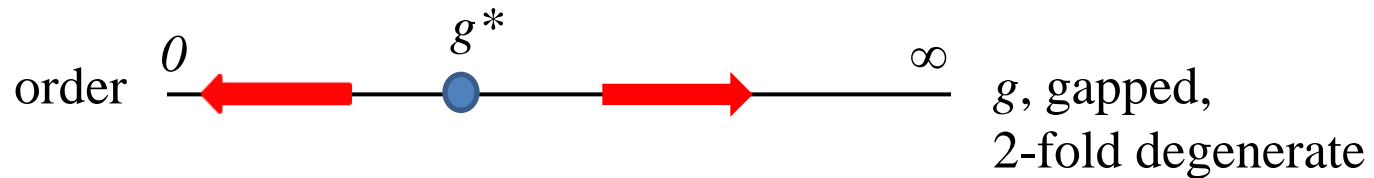
$$\int dx \Phi(x) \sim \int dx \partial_x \varphi$$



The two degenerate states are two coherent states of kink, total $O(4)$ symmetry can emerge.

Topological Terms of Nonlinear Sigma models

$$S = \int d\tau d^2x \frac{1}{g} (\partial_\mu \phi^a)^2 + \frac{i\Theta}{12\pi^2} \int d\tau d^2x \epsilon_{abcd} \epsilon_{\mu\nu\rho} \phi^a \partial_\mu \phi^b \partial_\nu \phi^c \partial_\rho \phi^d \quad 2+1d$$



The same idea can be generalized to the 2+1d O(4) NLSM.

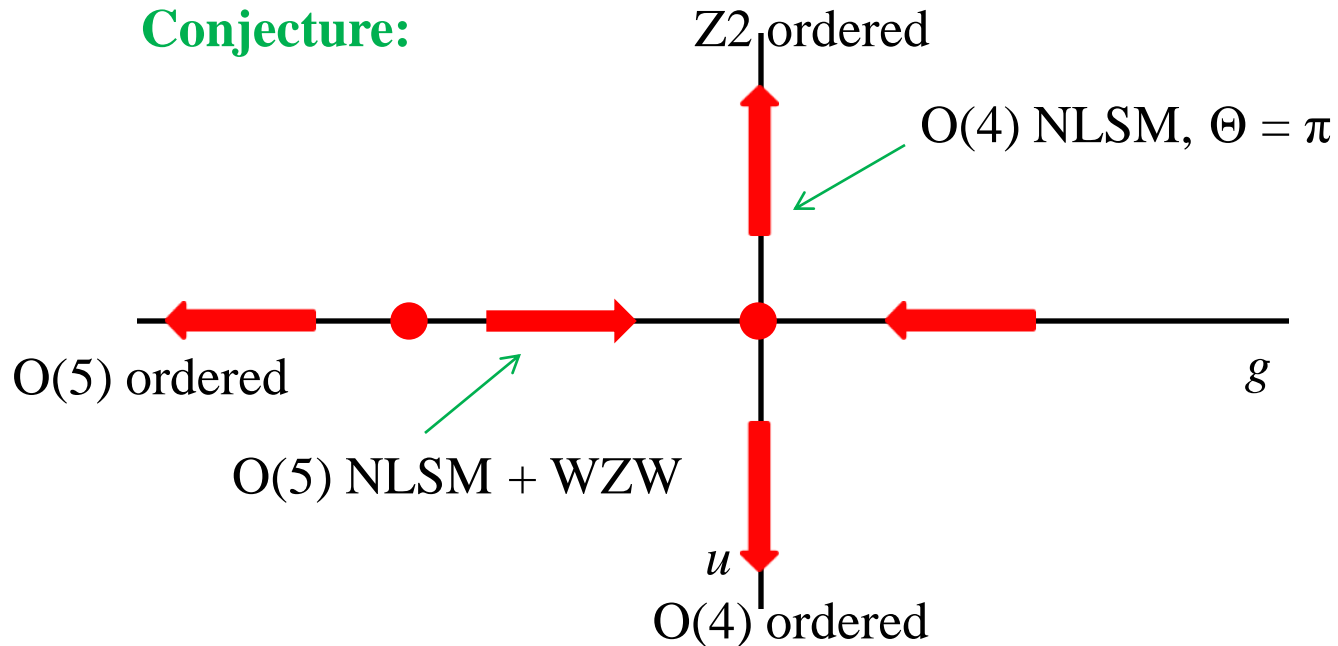
The two degenerate ground states, correspond to two different coherent states of the following quantity:

$$\Phi(x, y) \sim \int du \epsilon_{abcd} \phi^a \partial_x \phi^b \partial_y \phi^c \partial_u \phi^d \sim \epsilon_{abc} n^a \partial_x n^b \partial_y n^c$$

Topological Terms of Nonlinear Sigma models

$$S = \int d^3x \frac{1}{g} (\partial_\mu \vec{V})^2 + \frac{i3k}{4\pi} \int du dx dy d\tau \epsilon_{abcde} \epsilon_{\mu\nu\rho\sigma} V^a \partial_\mu V^b \partial_\nu V^c \partial_\rho V^d \partial_\sigma V^e$$

$$+ u \left(\sum_{a=1}^4 (V^a)^2 - (V^5)^2 \right) \quad u \text{ breaks } O(5) \text{ to } O(4) \times Z_2$$



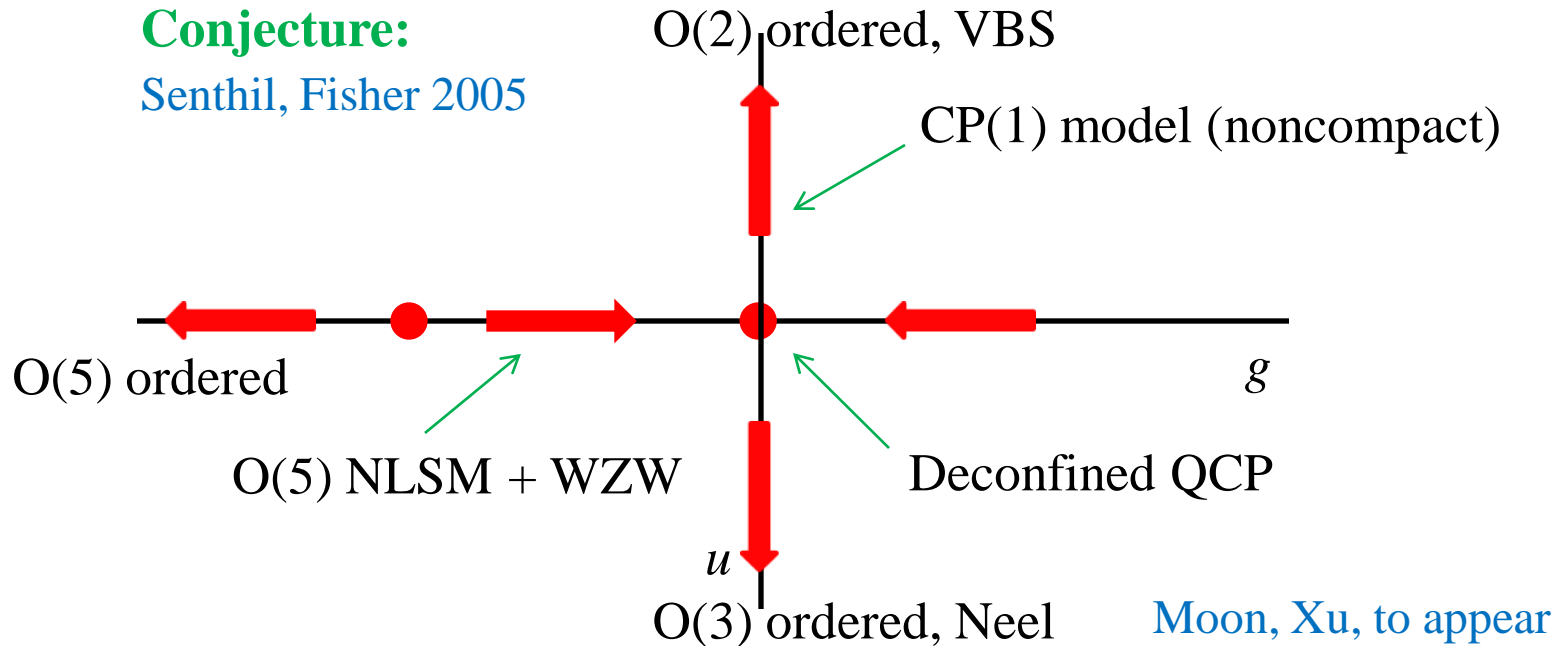
Topological Terms of Nonlinear Sigma models

$$S = \int d^3x \frac{1}{g} (\partial_\mu \vec{V})^2 + \frac{i3k}{4\pi} \int du dx dy d\tau \epsilon_{abcde} \epsilon_{\mu\nu\rho\sigma} V^a \partial_\mu V^b \partial_\nu V^c \partial_\rho V^d \partial_\sigma V^e$$

$$+ u \left(\sum_{a=1}^3 (V^a)^2 - (V^4)^2 - (V^5)^2 \right) \quad u \text{ breaks } O(5) \text{ to } O(3) \times O(2)$$

Conjecture:

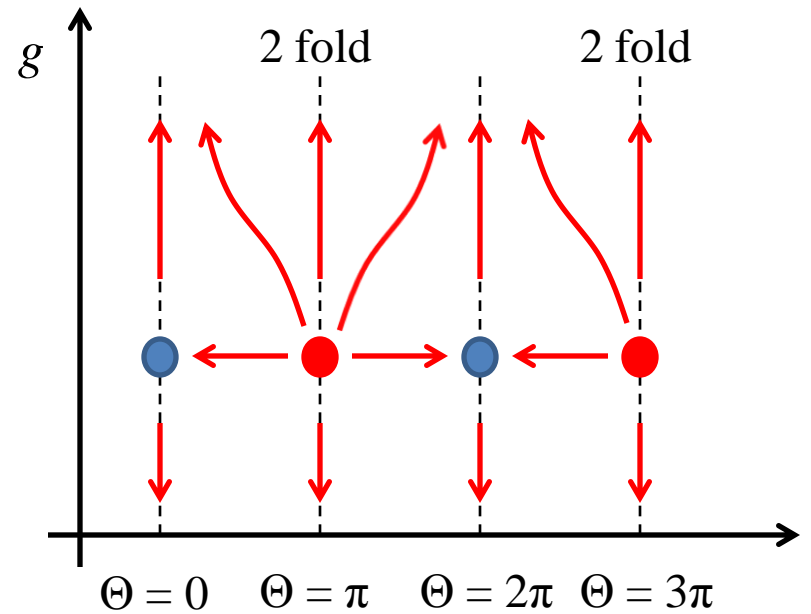
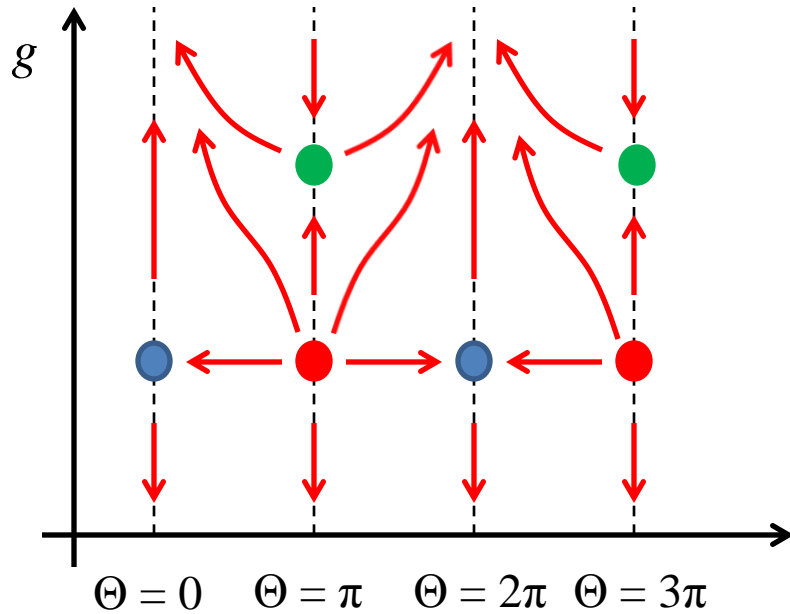
Senthil, Fisher 2005



Topological Terms of Nonlinear Sigma models

Conclusion:

$$S = \int d\tau d^2x \frac{1}{g} \text{tr}[\partial_\mu U^\dagger \partial_\mu U] + \frac{i\Theta}{G} \int d\tau d^2x \epsilon_{\mu\nu\rho} \text{tr}[(U^\dagger \partial_\mu U)(U^\dagger \partial_\nu U)(U^\dagger \partial_\rho U)]$$



Xu and Ludwig, to appear soon