

Symmetry and phases in cold atomic and condensed matter systems

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Novel correlation effects in cold atom condensates

- Accurate realization of models with short ranged interactions.
- Higher spin particles.
 - Spinor condensates, internal Josephson effect, large s approximations, quarteting, etc.
- Higher symmetry groups.
 - Symmetry principles provide non-perturbation information on strongly correlated systems. Organizational principle for different competing states.
- Geometric phases.
 - $U(1)$ Berry's phase and the $SU(2)$ holonomy of $s=3/2$ fermions.

Outline

- Generic $SO(5)$ symmetry in spin $3/2$ systems.
- Quantum phases of spin $3/2$ Hubbard model, Quartet condensation in the spin $3/2$ model.
- Non-Abelian holonomy of $s=3/2$ fermions.
- Emergent non-Abelian gauge structure from Fermi liquid theory: dynamic generation of the spin-orbit interaction.
- New QMC algorithm without the sign problem: reliable determination of quantum phases.

Lecture I

Progress on ultra-cold fermions

- Quantum degeneracy in ultra cold fermionic gases:

^{40}K : $|F, m\rangle = |\frac{9}{2}, \frac{9}{2}\rangle, |\frac{9}{2}, \frac{7}{2}\rangle$: JILA, Science 285, 1703 (1999);

^6Li : Rice group, Science 291, 2570 (2001).

- BCS v.s BEC Molecule condensation:

^{40}K JILA, PRL 92, 40403(2004);

^6Li MIT, PRL.92, 120403; Innsbruck, PRL 92, 120401(2004);

- Fermions on the optical lattice:

$^{40}\text{K} + ^{87}\text{Rb}$ G. Modugno et al, PRA 68,11601(2003).

Spin degrees of freedom

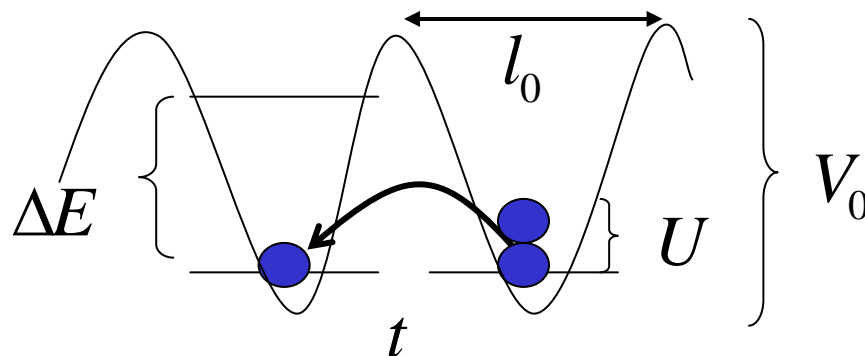
- Optical traps and lattices: playground for high spin physics.
- Spin one bosons ^{23}Na ($F = 1$), ^{87}Rb ($F = 1$)
T. L. Ho, PRL 81,742 (1998), W. Ketterle, cond-mat/0005001,
Zhou, PRL 87, 80491 (2001), E. Demler and F. Zhou PRL 88, 163001(2002)
- High spin fermions: ^{22}Na ($F = \frac{5}{2}$), ^{40}K ($F = \frac{9}{2}$),
 ^{86}Rb ($F = \frac{5}{2}$), ^{132}Cs ($F = \frac{3}{2}$)
T. L. Ho and S. Yip, PRL 82, 247(1999). S. Yip and T. L. Ho, PRA 59, 4653(1999).
- The simplest high spin fermionic atoms: $F=3/2$.
metastable $^3\text{He}^*$, ^{132}Cs , ^9Be , ^{135}Ba , ^{137}Ba

Cold atoms on a optical lattice: the Hubbard model

$$H = \sum_{\langle ij \rangle, \sigma} -t \{c_{i,\alpha}^+ c_{j,\alpha} + h.c.\} - \mu \sum_i c_{i,\alpha}^+ c_{i,\alpha} + U_0 \sum_i c_{i,\uparrow}^+ c_{i,\uparrow} c_{i,\downarrow}^+ c_{i,\downarrow}$$

- Validity of the single band Hubbard model in the optical lattice.

a_s : scattering length, E_r : recoil energy



$$\frac{U}{\Delta E} \approx \frac{\pi^2 a_s}{2l_0} \left(\frac{V_0}{E_r}\right)^{1/4} < 0.1,$$

$$l_0 \sim 5000 \text{ \AA}, a_s \sim 100 a_B$$

$$\left(\frac{V_0}{E_r}\right)^{1/4} \approx 1 \sim 2$$

The spin 3/2 Hubbard model

$$\begin{aligned}
 H = & \sum_{\langle ij \rangle, \sigma} -t \{ c_{i,\alpha}^+ c_{j,\alpha} + h.c. \} - \mu \sum_i c_{i,\alpha}^+ c_{i,\alpha} \\
 & + U_0 \sum_i P_{00}^+(i) P_{00}(i) + U_2 \sum_{i,m=\pm 2, \pm 1, 0} P_{2m}^+(\vec{r}) P_{2m}(\vec{r})
 \end{aligned}$$

- The generic Hamiltonian with spin SU(2) symmetry.
- F=0 (singlet), 2(quintet); m=-F, -F+1, ..., F.

$$P_{Fm}^+(\vec{r}) = \sum_{\alpha\beta} \langle \frac{3}{2} \frac{3}{2}; Fm | \frac{3}{2} \frac{3}{2}; \alpha\beta \rangle \psi_{\alpha}^+(\vec{r}) \psi_{\beta}^+(\vec{r})$$

- s-wave singlet and quintet scattering lengths:

$$g_{0,2} = 4\pi\hbar^2 a_{0,2} / M ,$$

Energy levels of in spin 3/2 systems

Energy level degeneracy

$$E_0 = 0$$

$$E_1 = -\mu$$

$$E_2 = U_2 - 2\mu$$

$$E_3 = U_0 - 2\mu$$

$$E_4 = \frac{1}{2}U_0 + \frac{5}{2}U_2 - 3\mu$$

$$E_5 = U_0 + 5U_2 - 4\mu$$

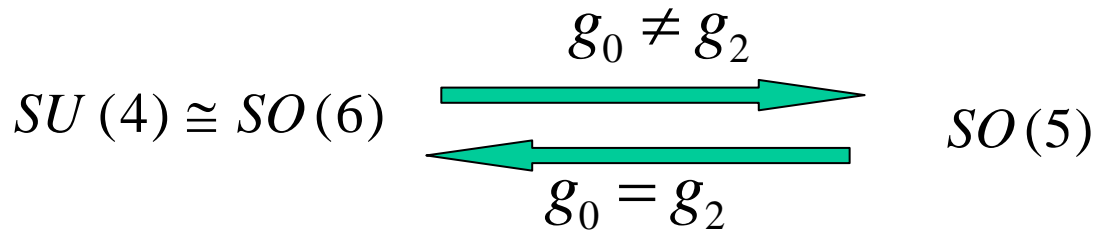
$$S_z : \begin{matrix} \uparrow & \uparrow & \downarrow & \downarrow \\ \frac{3}{2}, & \frac{1}{2}, & -\frac{1}{2}, & -\frac{3}{2} \end{matrix}$$

SO(5) structure

- Level degeneracy: 1, 4, 5.
- E_1, E_4, E_6 states are spin SU(2) and SO(5) singlets.
- E_2, E_5 quartet states are the 4-d SO(5) spinor states.
- E_3 spin-2 quintet states are the 5-d SO(5) vector states.
- $U_0 = U_2 \longleftrightarrow E_2 = E_3 \longleftrightarrow \text{SU}(4)$

Generic SO(5) symmetry

- The kinetic energy part is SU(4) symmetric, which is broken into SO(5) by interactions at $g_0 \neq g_2$.



	Kinetic part	g_0 term	g_2 term
Spin SU(2)	$s=3/2$ (d=4)	$s=0$ (d=1)	$s=2$ (d=5)
SO(5)	spinor (d=4)	singlet (d=1)	vector (d=5)

- Spin 3/2 system high Tc superconductivity

The **particle-hole** channel SO(5) vs. the **particle-particle** channel SO(5)

Spin SU(2) algebra: $s=1/2, 3/2$

- $S=1/2$ 2-d spinor Representation and 2×2 Pauli matrices:

$$\psi(r) = (\psi_{1/2}, \psi_{-1/2})^T \quad \sigma_1, \sigma_2, \sigma_3, \quad \{\sigma_a, \sigma_b\} = 2\delta_{ab}$$

- $S=3/2$ 4-d spinor Representation.

$$\psi(r) = (\psi_{3/2}, \psi_{1/2}, \psi_{-1/2}, \psi_{-3/2})^T$$

$$F_x = \begin{pmatrix} 0 & \frac{\sqrt{3}}{2} & 0 & 0 \\ \frac{\sqrt{3}}{2} & 0 & 1 & 0 \\ 0 & 1 & 0 & \frac{\sqrt{3}}{2} \\ 0 & 0 & \frac{\sqrt{3}}{2} & 0 \end{pmatrix} \quad F_y = \begin{pmatrix} 0 & \frac{\sqrt{3}i}{2} & 0 & 0 \\ \frac{\sqrt{3}i}{2} & 0 & -i & 0 \\ 0 & i & 0 & -\frac{\sqrt{3}i}{2} \\ 0 & 0 & \frac{\sqrt{3}i}{2} & 0 \end{pmatrix} \quad F_z = \begin{pmatrix} \frac{3}{2} & 0 & 0 & 0 \\ 0 & \frac{1}{2} & 0 & 0 \\ 0 & 0 & \frac{1}{2} & 0 \\ 0 & 0 & 0 & \frac{3}{2} \end{pmatrix}$$

SO(5) Algebra (I)

- Spin 3/2 nematic matrices:

- Five vector \uparrow matrices:

$$\begin{aligned} \frac{1}{\sqrt{3}}\{F_x, F_y\} &= \Gamma^1, & \frac{1}{\sqrt{3}}\{F_z, F_x\} &= \Gamma^2, \\ \frac{1}{\sqrt{3}}\{F_y, F_z\} &= \Gamma^3, & F_z^2 - \frac{5}{4} &= \Gamma^4, \\ F_x^2 - F_y^2 &= \Gamma^5 \end{aligned}$$



$$\begin{aligned} \{\Gamma^a, \Gamma^b\} &= 2\delta_{ab}, \quad (1 \leq a, b \leq 5) \\ \Gamma^1 &= \begin{pmatrix} 0, -iI \\ iI, 0 \end{pmatrix}, & \Gamma^{2,3,4} &= \begin{pmatrix} \vec{\sigma}, 0 \\ 0, -\vec{\sigma} \end{pmatrix}, \\ \Gamma^5 &= \begin{pmatrix} 0, I \\ I, 0 \end{pmatrix} \end{aligned}$$

- 3 spin operators F_i and
+ 7 cubic symmetric traceless
combinations $\xi_{ijk}^m F_i F_j F_k$



- Ten SO(5) generators

$$\Gamma^{ab} = \frac{i}{2} [\Gamma^a, \Gamma^b] \quad (a < b)$$

- Γ^a, Γ^{ab} together form the 15 generators of the SU(4) group.

SO(5) Algebra (II)

- Particle-hole channel bilinears:

$$s=1/2$$

4=1 scalar +3 tensors

$$s=3/2$$

16=1 scalar+5 vectors +10 tensors

$$n(\vec{r}) = \psi^\dagger(\vec{r})\psi(\vec{r}),$$

$$\vec{S}(\vec{r}) = \psi^\dagger(\vec{r}) \frac{\vec{\sigma}}{2} \psi(\vec{r})$$



$$n(\vec{r}) = \psi^\dagger(\vec{r})\psi(\vec{r}),$$

$$n_a(\vec{r}) = \psi^\dagger(\vec{r}) \frac{\Gamma^a}{2} \psi(\vec{r}),$$


$$L_{ab}(\vec{r}) = \psi^\dagger(\vec{r}) \frac{\Gamma^{ab}}{2} \psi(\vec{r})$$

- Under the spin SU(2) rotation:

$$n(\vec{r}) \text{ spin } 0, \quad n_a(\vec{r}) \text{ spin } 2, \quad L_{ab}(\vec{r}) \text{ spin } 1+3$$

SO(5) Algebra (III)

- Time reversal transformations: $T = R C$ (C : complex conjugate)

$s=1/2$	$R = i\sigma_y$ $TnT^{-1} = n,$ $T\vec{S}T^{-1} = -\vec{S}.$	$s=3/2$ 	$R = \begin{pmatrix} 0, & -i\sigma_y \\ -i\sigma_y, & 0 \end{pmatrix}$ $TnT^{-1} = n, \quad Tn_aT^{-1} = n_a,$ $TL_{ab}T^{-1} = -L_{ab}$
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- Pairing operators:

spin0 and SO(5)scalar: $\eta^+(\vec{r}) = \frac{1}{2}\psi^+(\vec{r})R\psi^+(\vec{r})$

spin2 and SO(5)vectors: $\chi_a^+(\vec{r}) = \frac{-i}{2}\psi^+(\vec{r})\Gamma^a R\psi^+(\vec{r})$

The exact particle-hole channel SO(5) symmetry

- Explicit SO(5) invariant Hamiltonian:

$$H = \int dr \psi^\dagger(r) \left(\frac{-\hbar^2}{2m} \hat{\nabla}^2 - \mu \right) \psi(r) + g_0 \eta^\dagger(\vec{r}) \eta(\vec{r}) + g_2 \sum_{a=1 \sim 5} \chi_a^\dagger(\vec{r}) \chi_a(\vec{r})$$

axial form : $P_{2m}^+(r)$ \Leftrightarrow polar form : $\chi_a^+(r) (a = 1 \sim 5)$

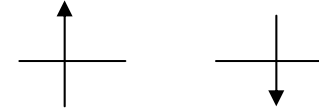
analogy : $Y_{2m}(\theta, \phi)$ \Leftrightarrow $d_{xy}, d_{zx}, d_{yz}, d_{r^2-3z^2}, d_{x^2-y^2}$

- The above proof is also valid for both the lattice and the continuum model.
- Particle-hole SO(5) symmetry vs. particle-particle SO(5) symmetry in the high T_c context.

C. J. Wu, J. P. Hu and SCZ, PRL 91, 186402(2003).

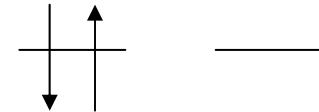
SO(4) symmetry in spin 1/2 Hubbard model

- $\eta^+(i) = c_{i\uparrow}^+ c_{i\downarrow}^+, \quad \eta(i) = c_{i\downarrow} c_{i\uparrow}, \quad N(i) = \frac{1}{2}(n_i - 1)$



- Pseudo-spin SU(2) algebra.

$$I_x = \sum_i (-)^i \text{Re} \eta^+(i), \quad I_y = \sum_i (-)^i \text{Im} \eta^+(i), \quad I_z = \sum_i N(i)$$



- Exact SO(4) symmetry at half-filling and bipartite lattices.

$$SU_{psp}(2) \otimes SU_{sp}(2) \cong SO(4)$$

- Degeneracy of CDW and SC at negative U.

$$O_{cdw} = \sum_i (-)^i N(i), \quad \text{Re } O_{sc} = \sum_i \text{Re} \eta^+(i), \quad \text{Im } O_{sc} = \sum_i \text{Im} \eta^+(i),$$

-  double modes at $(\square \square \square \square)$:

 I_x, I_y

Rotating CDW to SC.

C.N. Yang and SCZ, Mod. Phys. Letter B 4, 759(1991).

Higher symmetries at half-filling and bipartite lattice

- SO(7) symmetry**

a) 5-vec AF spin nematics $(-)^i n_a(i)$
and singlet SC $\eta(i)$.

5- \mathfrak{so} models: rotate $SC \leftrightarrow AF$.

Analogy to the \square modes in high T_c .

b) 10-tensor AF $(-)^i L_{ab}(i)$,

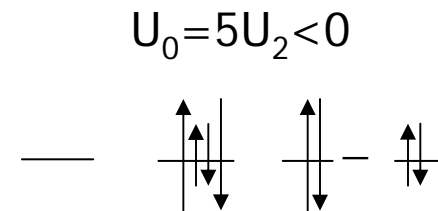
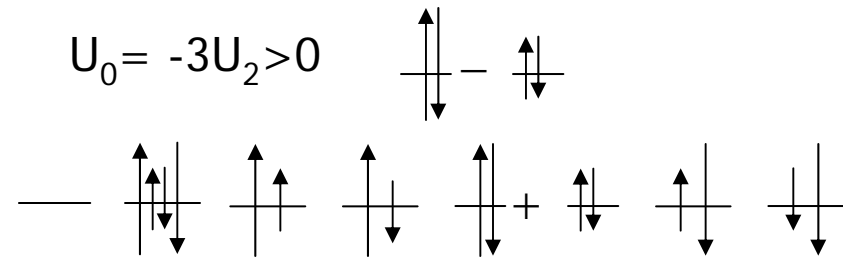
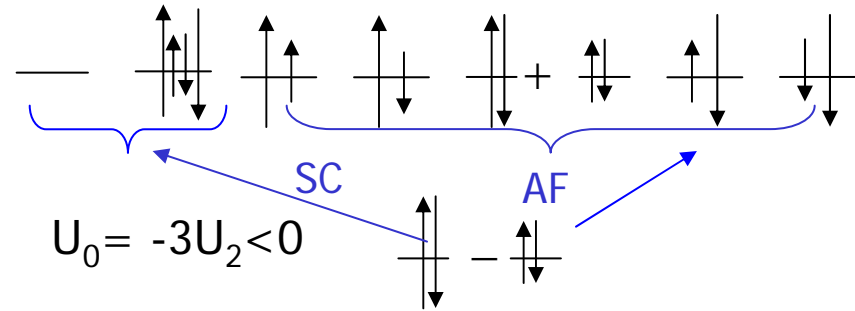
CDW $(-)^i N(i)$, quintet SC $\chi^+(i)$

21d adjoint Rep. of SO(7)

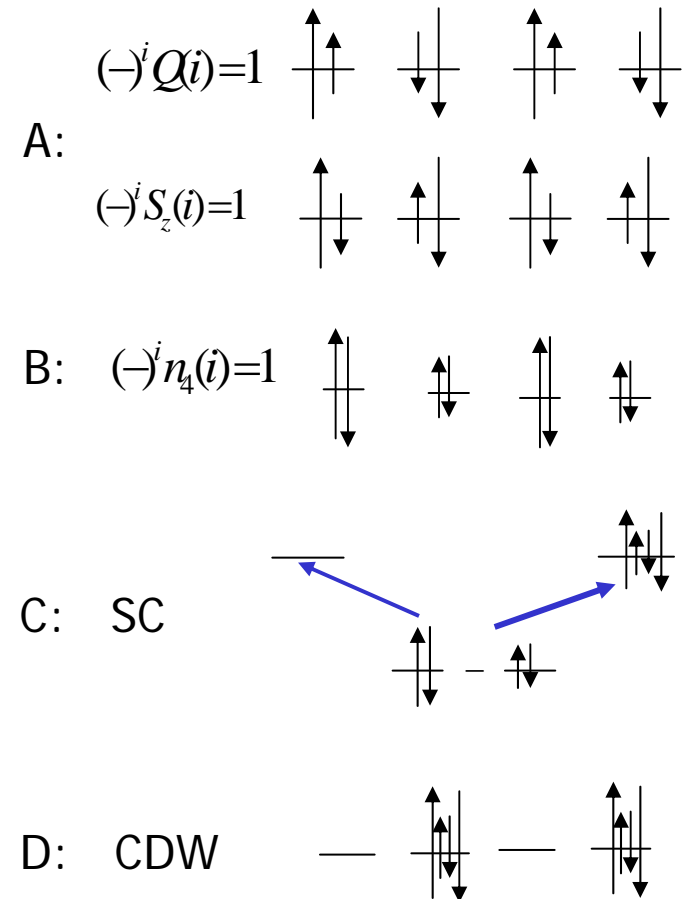
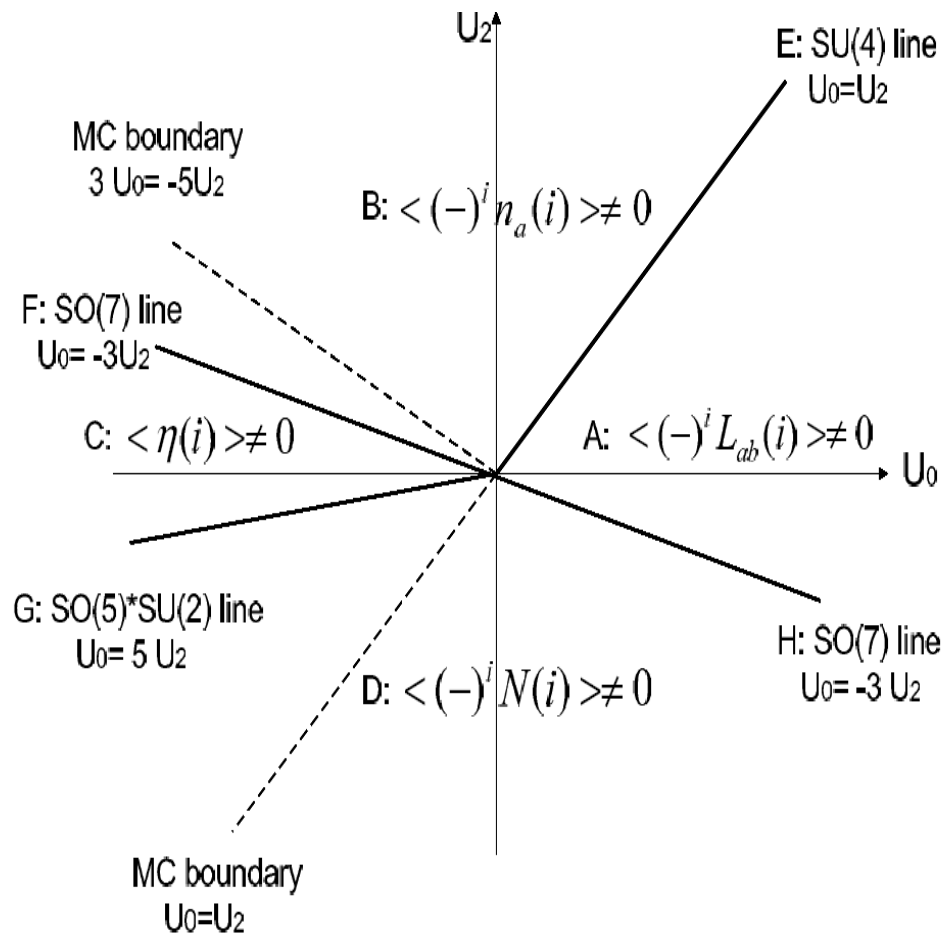
- SO(5)*SU(2) symmetry:**

CDW $(-)^i N(i)$, singlet SC $\eta(i)$.

\approx -modes: rotate $CDW \leftrightarrow SC$



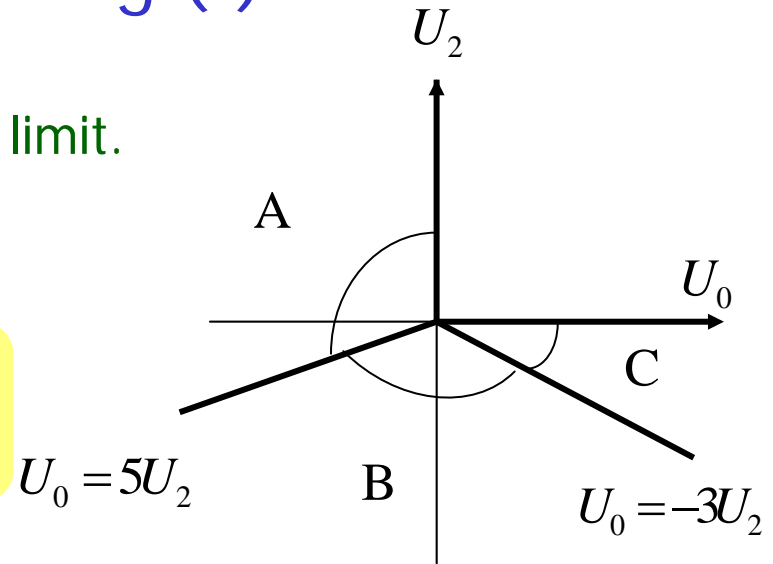
Phase diagram at half-filling, phase boundaries are exact!



Pairing vs. quartetting (I)

- The low density and strong coupling limit.
- Region A: composite singlet boson:

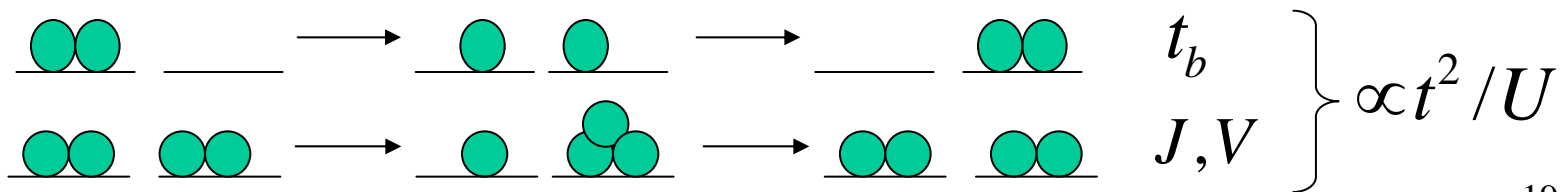
$$H_{eff} = -2t_b \sum_{\langle ij \rangle} \{b_i^+ b_j + h.c.\} + V \sum_{\langle ij \rangle} b_i^+ b_i b_j^+ b_j$$



- Region C: composite quintet boson:

$$H_{eff} = -2t_b \sum_{\langle ij \rangle, a} \{b_{ia}^+ b_{ja} + h.c.\} + V \sum_{\langle ij \rangle} b_{ia}^+ b_{ia} b_{jb}^+ b_{jb} + J \sum_{\langle ij \rangle} L_{ab}(i) L_{ab}(j)$$

- $V=0$ on the phase boundaries.

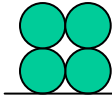


Pairing vs. quartetting (II)

- Region B: quartetting bosons:

$$H_{eff} = -2t_b \sum_{\langle ij \rangle} \{b_i^+ b_j + h.c.\} + V \sum_{\langle ij \rangle} b_i^+ b_i b_j^+ b_j$$

$$t_b = \left(\frac{2t}{-U_0 + 5U_2} \right)^2 \left(\frac{t^2}{U_0 - 5U_2} + \frac{t^2}{-U_0 - 3U_2} \right), \quad V = 2t_b$$



- Deuteron condensation vs alpha-like quartetting in the nuclear matter.

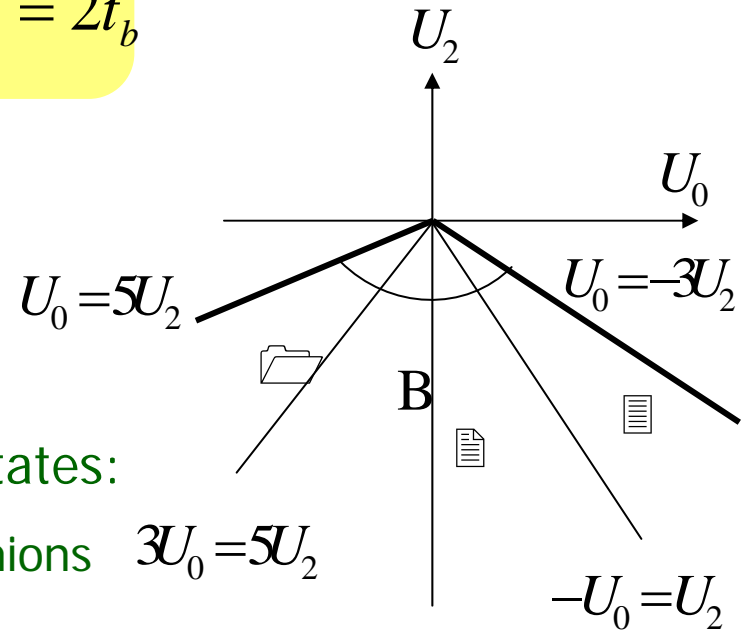
G. Ropke *et al*, PRL 80, 3177(1998).

- Lowest energy quartetting breaking states:

1: two singlet pairs; 2: single + three fermions $3U_0 = 5U_2$

3: two quintet pairs.

- vortices with $\Omega a^2 = \hbar / 4M$

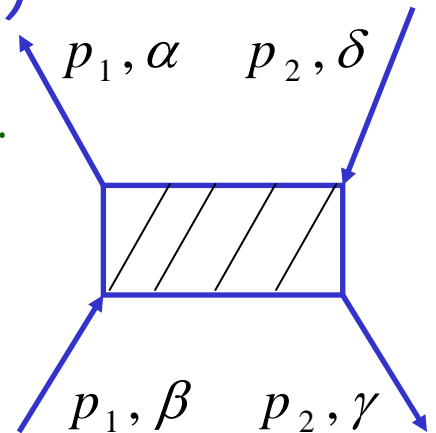


Spin 3/2 Fermi liquid theory (I)

- 4 sets of Landau functions without SO(5) symmetry.

$$\frac{3}{2}(p) + \frac{3}{2}(h) \rightarrow 0 + 1 + 2 + 3$$

S. Yip and T. L. Ho, PRA 59, 4653(1999) 



- Only three sets of Landau functions by the SO(5) symmetry.

Particle-hole spin triplet and septet \rightarrow SO(5) adjoint Rep (tensor).

$$F_{x,y,z} \begin{array}{c} \text{degenerate} \\ \longleftrightarrow \\ \text{Not accidental} \end{array} \xi_{ijk}^m F_i F_j F_k \quad 3+7=10$$

$$m = 1 \sim 7$$

- SO(5) symmetric Landau functions:

$$f_{\alpha\beta,\gamma\delta}(\hat{p}_1, \hat{p}_2) = f^S(\hat{p}_1, \hat{p}_2) + f^V(\hat{p}_1, \hat{p}_2) \frac{\Gamma_{\alpha\beta}^a}{2} \frac{\Gamma_{\gamma\delta}^a}{2} + f^T(\hat{p}_1, \hat{p}_2) \frac{\Gamma_{\alpha\beta}^{ab}}{2} \frac{\Gamma_{\gamma\delta}^{ab}}{2}$$

Spin 3/2 Fermi liquid theory (II)

- S-wave scattering approximation:

$$\begin{aligned}
 f^S &= (g_0 + 5g_2)/16 : n && \text{density channel (spin 0)} \\
 f^V &= (g_0 - 3g_2)/4 : n_a && \text{spin nematics channel (spin 2)} \\
 f^T &= -(g_0 + g_2)/4 : L_{ab} && \text{SO(5) tensor channel (spin 1+3)}
 \end{aligned}$$

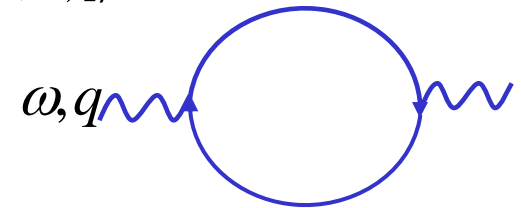
- Collective modes: $\hat{O}(rt) = n(rt), n_a(rt), L_{ab}(rt)$

$$\chi_{s,v,t}(r-r', t-t') = -i\theta(t-t') \langle [\hat{O}_{s,v,t}(r,t), \hat{O}_{s,v,t}(r',t')] \rangle$$

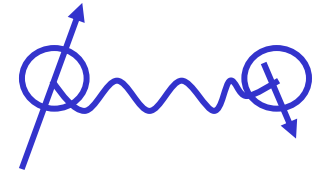
- RPA approximation:

$$F_0^{S,V,T} = N_F f^{S,V,T}, \quad 1 = F_0 \int \frac{d\cos\theta}{2} \frac{\cos\theta}{\omega/v_f q - \cos\theta + i\eta}$$

$F_0 > 0 :$	propagating modes
$0 > F_0 > -1 :$	damped modes
$F_0 < -1 :$	Landau-Pomeranchuk instabilities



Quintet superfluid (I)



- $g_2 < 0$ favors quintet Cooper pairing. T. L. Ho and S. Yip, PRL 82, 247(1999).

order parameters as symmetric and traceless tensor:

$$A_{ij} = |g_2| \langle |\psi^+ [\{F_i, F_j\} - \delta_{ij} \frac{F(F+1)}{3}] R \psi^+ | \rangle$$

- Without SO(5) symmetry, the Ginzburg-Landau free energy:

$$F = -\alpha' \text{Tr}(AA^+) + \beta'_1 |\text{Tr}A^2|^2 + \beta'_2 |\text{Tr}A^*A|^2 + \beta'_3 \text{Tr}(A^{*2}A^2)$$

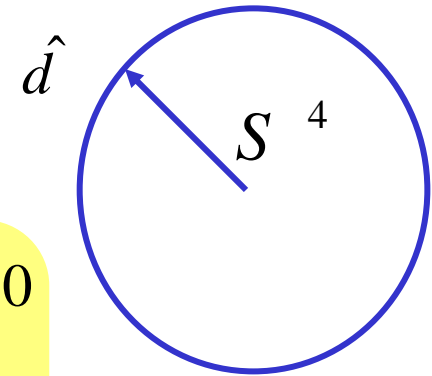
- Simplified G-L free energy with SO(5) symmetry.

$$F = \gamma \nabla \Delta_a^* \nabla \Delta_a + \alpha(T) |\Delta|^2 + \frac{\beta_1}{2} |\Delta|^4 + \frac{\beta_2}{2} L_{ab}^2$$

5-d complex order parameters: $\Delta_a = |g_2| \langle |\chi_a^+(\vec{r})| \rangle$

SO(5) spin of Cooper pairs: $L_{ab} = \frac{1}{\sqrt{2}} (\Delta_a^* \Delta_b - \Delta_b^* \Delta_a)$

Quintet superfluid (II)



- Polar states vs. Axial states:

Unitary states:

$$\Delta_{pl,a} = |\Delta| e^{i\phi} \hat{d}_a, \Rightarrow \langle |L_{ab}| \rangle = 0$$

Non-unitary states:

$$\Delta_{ax,a} = \frac{1}{\sqrt{2}} \Delta e^{i\phi} (\hat{d}_{1,a} + i\hat{d}_{2,a}),$$

$$\hat{d}_{1,a} \perp \hat{d}_{2,a} \Rightarrow \langle |L_{ab}| \rangle \neq 0$$

$\beta_2 > 0 \Rightarrow$ Polar states, $\beta_2 < 0 \Rightarrow$ axial states

- Polar state: Pairing on all fermi surface:

- Axial state: Pairing on two fermi surface:

$$\chi_4^+(\vec{r}) = i(\psi_{\frac{3}{2}}^+ \psi_{-\frac{3}{2}}^+ + \psi_{\frac{1}{2}}^+ \psi_{-\frac{1}{2}}^+) \longleftrightarrow \chi_1^+ + i\chi_5^+ = 2\psi_{\frac{3}{2}}^+ \psi_{-\frac{1}{2}}^+$$

Polar state is more stable.

Quintet superfluid (III)

- Gross-Pitaevskii equation at $T=0$:

$$H_{GP} = \int d^D r \frac{\hbar^2}{4M} \nabla \Psi_a^* \nabla \Psi_a + \frac{c_1}{2} (\Psi_a^* \Psi_a - \rho_0)^2 + \frac{c_2}{2} \sum_{1 \leq a < b \leq 5} (\Psi_c^* L_{cd}^{ab} \Psi_d)^2$$

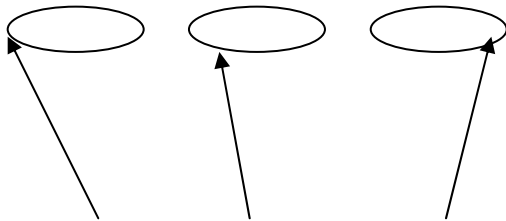
$$c_2 > 0 \Rightarrow \Psi_a = \sqrt{\rho} e^{i\phi} \hat{d}_a$$

- Goldstone modes in the polar state:

one phonon mode:

$$\partial_t^2 \phi = \frac{\rho c_0}{2M} \nabla^2 \phi, \quad v_c = \sqrt{\rho_0 c_0 / 2M}$$

four spin nematics waves:



$$\partial_t L_{ab} = \frac{\rho}{2M} (\hat{d}_a \nabla^2 \hat{d}_b - \hat{d}_b \nabla^2 \hat{d}_a),$$

$$\partial_t \hat{d}_a = -c_2 L_{ab} \hat{d}_b \quad v_s = \sqrt{\rho_0 c_2 / 2M}$$

The SO(5) version of the Leggett equation.

















Lecture II

Non-abelian holonomy of SO(5) spinor states



- Wigner-Von Neumann classes for level crossing:

	Co-dimension	symmetry	systems
orthogonal	2	Time-reversal invariant, no Kramer degeneracy.	bosons
unitary	3	Time-reversal breaking	SO(3) spinor
symplectic	5	Time-reversal invariant, with Kramer degeneracy.	SO(5) spinor

- Example of SO(5) spinors.

fermions in high T_c : E. Demler and SCZ, Annal of Physics       
        

electrons in p-doped semiconductor (Luttinger model)

S. Murakami, N. Nagaosa, SCZ, science 301,1348 (2003)  

fermions in spin 3/2 spin nematics AF phase.

quasi-particles in the quintet pairing states.

C. H. Chern, H. D. Chen, C. J. Wu, J. P. Hu and SCZ, PRB 69 214512(2004).

SO(3) spinor and Abelian holonomy

- SO(3) spinor: $H_{\alpha\beta} = n_a(t)\sigma_{\alpha\beta}^a$,

the eigenstate for nondegeneration eigenvalue 1:

$$|\psi_+^1\rangle = \frac{1}{N} \frac{1 + \hat{n}_a \sigma^a}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |\psi_+^2\rangle = \frac{1}{N} \frac{1 + \hat{n}_a \sigma^a}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

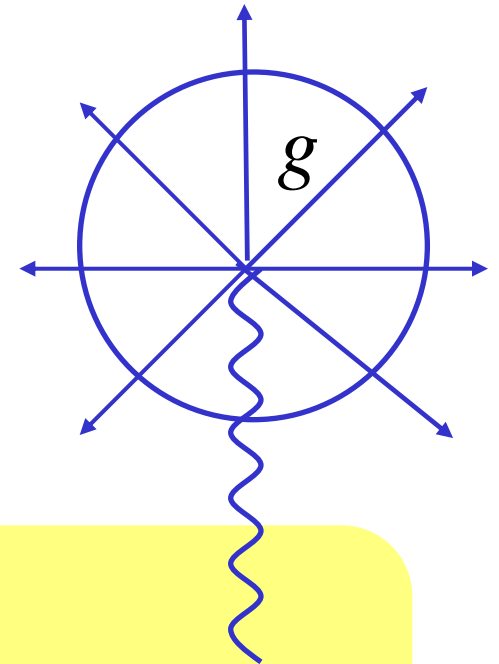
- Abelian Berry's phase and Dirac Monopole:

$$\gamma_+^1 = -i \int dn_a \left\langle \psi_+^1(n) \left| \frac{d}{dn} \right| \psi_+^1(n) \right\rangle = \int dn_a A_+^a(n)$$

$$A^a dn_a = \frac{1}{2(1 + \hat{n}_z)} (\hat{n}_1 d\hat{n}_2 - \hat{n}_2 d\hat{n}_1) \quad \tilde{A}^a dn_a = \frac{-1}{2(1 - \hat{n}_z)} (\hat{n}_1 d\hat{n}_2 - \hat{n}_2 d\hat{n}_1)$$

$$B^a = \frac{1}{2} \varepsilon_{abc} \partial_b A^c = \frac{n^a}{n^2}$$

- First Chern number: $\oint B^a dS_a = g = 1$



SO(5) spinor and Non-Abelian holonomy

- SO(5) spinor: $H_{\alpha\beta} = n_a(t)\Gamma_{\alpha\beta}^a$,

eigenstates for double
degenerate eigenvalue 1:

$$|\psi_+^1\rangle = \frac{1}{N} \frac{1 + \hat{n}_a \Gamma^a}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad |\psi_+^4\rangle = \frac{1}{N} \frac{1 + \hat{n}_a \Gamma^a}{2} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

- non-Abelian Berry's phase and Yang Monopole:

$$\gamma_+^1 = -i \int dn_a \left[\begin{array}{l} \left\langle \psi_+^1 \left| \frac{d}{dn} \right| \psi_+^1 \right\rangle, \left\langle \psi_+^1 \left| \frac{d}{dn} \right| \psi_+^4 \right\rangle \\ \left\langle \psi_+^4 \left| \frac{d}{dn} \right| \psi_+^1 \right\rangle, \left\langle \psi_+^4 \left| \frac{d}{dn} \right| \psi_+^4 \right\rangle \end{array} \right] = \int dn_a A_+^a(n)$$

$$F^{ab} = \partial_a A^b - \partial_b A^a + i[A^a, A^b]$$

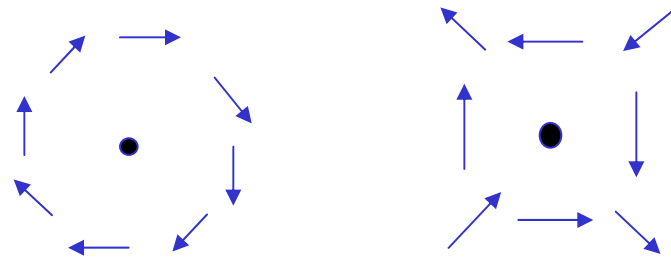
- Second Chern number:

$$8\pi^2 c_2 = \text{Tr} \oint_{s^4} d\sigma_{abcd} F^{ab} F^{cd} = 1$$

Real space topological defects

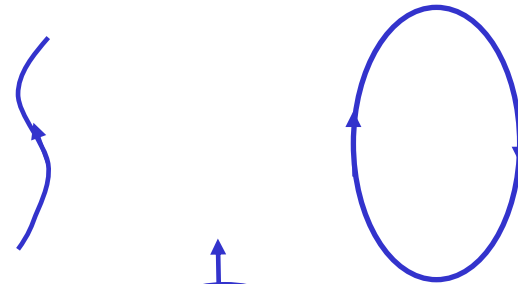
- Vortex and antivortex:

2D superfluid



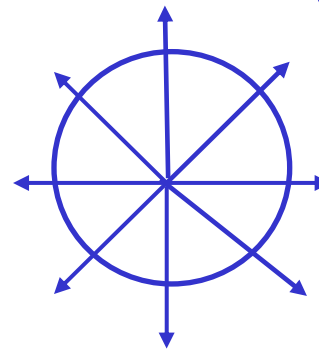
- Vortex string and loop:

3D superconductor



- 3 D monopoles:

cosmic cooling



New physics: Generation of topological singularities in the momentum space at quantum phase transitions.

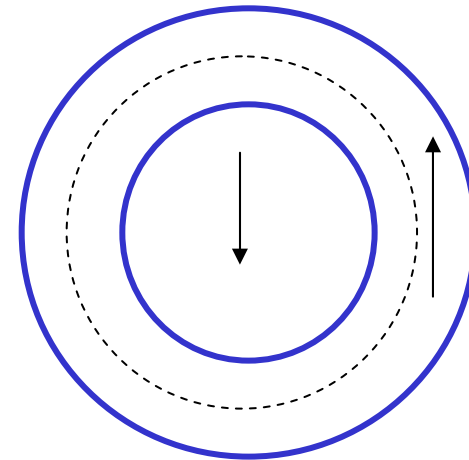
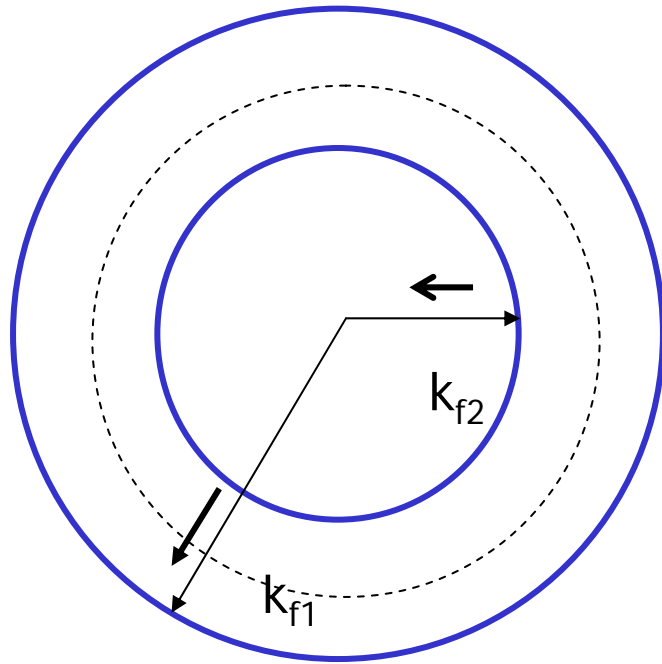
Dynamic generation of spin-orbit coupling

- Spin-orbit coupling originates from the Dirac equation.
Relativistic effect, single body effect.
Rashba, Dresselhaus, Luttinger Hamiltonian
Application in spintronics to control spin through electric fields
S. Murakami, N. Nagaosa, SCZ, science 301,1348 (2003)
- Q: Can spin-orbit coupling as an order parameter be generated dynamically in a non-relativistic, strongly interacting system through phase transitions, like ferromagnetism?
- A: Yes, through Landau-Pomeranchuk Fermi surface instability in the high angular momentum channel.

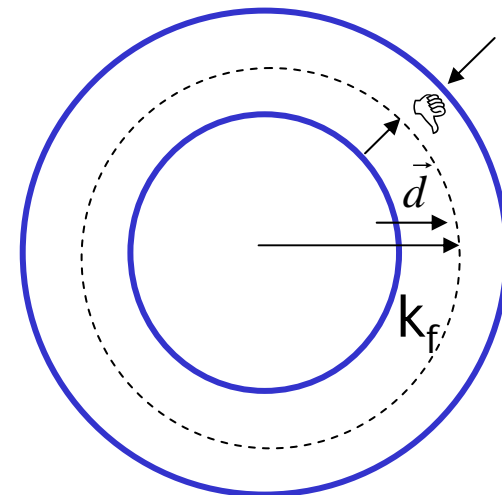
C. J. Wu and SCZ, Phys. Rev. Lett. **93**, 36403 (2004).

Dynamic generation of spin-orbit coupling

- Ω phase with spin-orbit coupling dynamically generated.



Ferromagnetic state



He-3 B phase

- Landau-Pomeranchuk instability in the channel F_1^a .
- Broken relative spin-orbit symmetry.

Landau-Pomeranchuk (L-P) instability (spin 1/2 Fermi liquid)

I. I. Pomeranchuk, Sov. Phys. JETP 8, 361(1959)

- Landau interaction functions:

$$f_{\alpha\beta\gamma\delta}(\hat{p}_1, \hat{p}_2) = f^s(\hat{p}_1, \hat{p}_2) + f^a(\hat{p}_1, \hat{p}_2) \vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta}$$

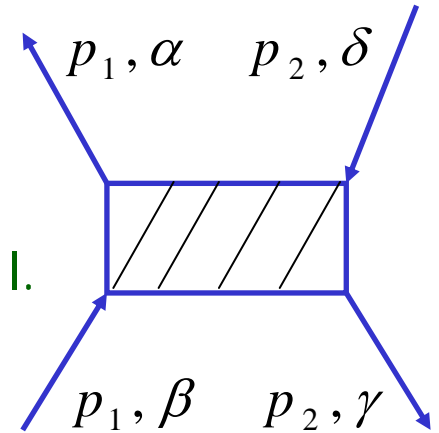
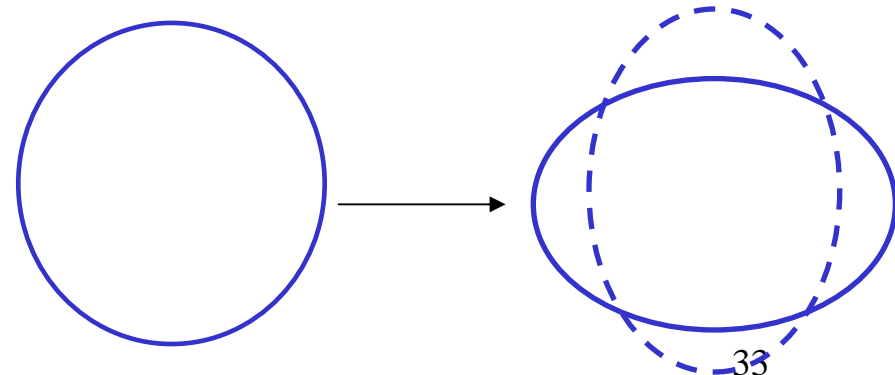
- Landau parameters and L-P instability in channel l.

$$F_l^{s,a} = N_f f_l^{s,a}, \quad F_l^{s,a} < -(2l + 1)$$

- $l = 0$: phase separation: $F_0^s < -1$, ferromagnetism: $F_0^a < -1$
- $l = 2$: nematic Fermi liquid $F_2^s < -2(2D)$.

Fermi surface anisotropic distortions.

V. Oganesyan, S. Kivelson and E. Fradkin,
PRB 64,195109(2001)



Model Hamiltonian and the mean field decoupling

- L-P instability in the F_1^a channel

$$H_{\text{int}} = \frac{1}{2} \int d^3 \vec{r} \int d^3 \vec{r}' f_1^a(\vec{r} - \vec{r}') \hat{Q}^{\mu a}(\vec{r}) \hat{Q}^{\mu a}(\vec{r}')$$

$$\hat{Q}^{\mu \alpha}(\vec{r}) = \psi_{\alpha}^{+}(\vec{r}) \sigma_{\alpha\beta}^{\mu} (-i\hat{\nabla}^a) \psi_{\beta}(\vec{r}), \quad \hat{\nabla}^a = \nabla^a / |\nabla|$$

- p-wave Cooper pairs in He-3: $\Delta^{\mu a}(\vec{r}) = \psi^{+}(\vec{r})(i\sigma_2 \sigma^{\mu})(-i\hat{\nabla}^a)\psi(\vec{r})$
- Order parameters form 3*3 real matrices:

$$n^{\mu a}(\vec{r}) = - \int d^3 \vec{r}' f_1^a(\vec{r} - \vec{r}') \langle Q^{\mu a}(\vec{r}') \rangle$$

- Mean field decoupling :

$$H_{MF} = \int d^3 \vec{r} \psi_{\alpha}^{+}(\vec{r}) (\varepsilon(\vec{\nabla}) - n^{\mu a} \sigma^{\mu} (-i\hat{\nabla}^a) - \mu) \psi(\vec{r})$$

Ginzburg-Landau free energy

- Symmetry constraints from $SO_L(3) \otimes SO_s(3)$, P and T

$$n^{\mu a} \rightarrow R_{s, \mu\nu} n^{\nu b} R_{L, ba}^{-1} \quad P n^{\mu a} P^{-1} = -n^{\mu a} \quad T n^{\mu a} T^{-1} = n^{\mu a}$$

$$F(n) = F(0) + A \operatorname{tr}[n^T n] + B_1 (\operatorname{tr}[n^T n])^2 + B_2 \operatorname{tr}[(n^T n)^2]$$

- Phase structures at $A = \frac{1 + F_1^a / 3}{2N_f |F_1^a|} < 0$

$$n^{\mu a} = \bar{n} \left\{ \begin{array}{ll} \hat{d}_\mu \hat{e}_a, & \alpha - \text{phase at } B_2 < 0 \\ D_{\mu a}, & \beta - \text{phase at } B_2 > 0 \end{array} \right\}$$

$D_{\mu a}$ is any $SO(3)$ matrix, \hat{d}_μ and \hat{e}_a are two unit vectors in the spin and orbit spaces, respectively.

Anisotropic \mathfrak{S} -phase (nematic Fermi liquid)

- Anisotropic Fermi surface distortions with

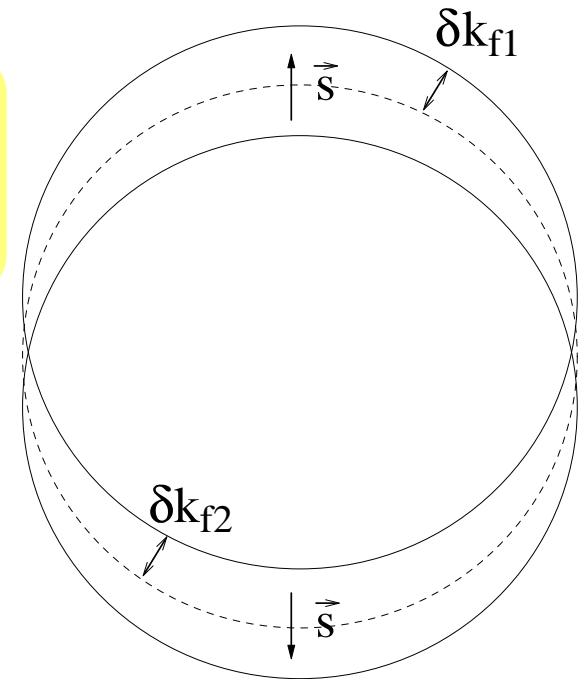
$$H_{MF} = \sum \psi^\dagger(k) (\varepsilon(k) - \mu - \bar{n} \sigma_z \cdot \hat{k}_z) \psi(k)$$

$$n^{\mu a} = \bar{n} \delta_{\mu z} \delta_{az}$$

- Dispersion relation:

$$\xi^\alpha(k)_{1,2} = \varepsilon(k) - \mu \mp \bar{n} \cos\theta$$

- Remaining symmetry $SO(2)_L \otimes SO(2)_S$
spin-split state by J. E. Hirsch, PRB 41, 6820 (1988)



α -phase

Isotropic Ω -phase

- Ansatz $n^{\mu a} = \bar{n} \delta_{\mu a}$, $\bar{n} = \langle \psi^\dagger \vec{\sigma} \cdot (-i\vec{\nabla}) \psi \rangle$

$$H_{MF} = \sum \psi^\dagger(k) (\varepsilon(k) - \mu - \bar{n} \vec{\sigma} \cdot \hat{k}) \psi(k)$$

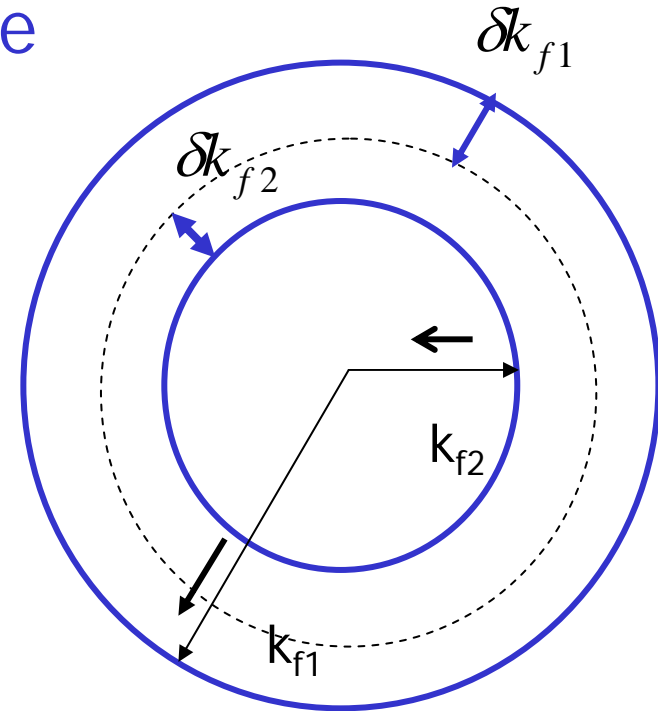
$$\xi^\beta(k)_{1,2} = \varepsilon(k) - \mu \pm \bar{n}$$

- Helicity bands structure $\vec{\sigma} \cdot \hat{k}$:
- Total angular momentum remains conserved,

$$SO_{L+S}(3), \quad \vec{J} = \vec{L} + \vec{S}$$

β -phase

- Generally, $n_{\mu a} = \bar{n} D_{\mu a}$, $J_a = L_a + S_\mu D_{\mu a}$
- Broken relative spin-orbit symmetry.



F_2^V channel L-P instability in spin 3/2 systems

- L-P instability in the F_2^V channel in spin 3/2 system at $F_2^V < -5$

$$H_{\text{int}} = \frac{1}{2} \int d^3 \vec{r} \int d^3 \vec{r}' f_2^V(\vec{r} - \vec{r}') \hat{Q}^{\mu a}(\vec{r}) \hat{Q}^{\mu a}(\vec{r}')$$

$$\hat{Q}^{\mu a}(\vec{r}) = \psi_{\alpha}^+(\vec{r}) \Gamma_{\alpha\beta}^{\mu}(\hat{d}^a(\vec{\nabla})) \psi_{\beta}(\vec{r})$$

$$d^1 = -\sqrt{3} \hat{\nabla}_x \hat{\nabla}_y, d^2 = -\sqrt{3} \hat{\nabla}_z \hat{\nabla}_x, d^3 = -\sqrt{3} \hat{\nabla}_x \hat{\nabla}_y,$$

$$d^4 = -\frac{\sqrt{3}}{2} (\hat{\nabla}_x^2 - \hat{\nabla}_y^2), d^5 = -\frac{1}{2} (2\hat{\nabla}_z^2 - \hat{\nabla}_x^2 - \hat{\nabla}_y^2)$$

- Order parameter matrices:

$$n^{\mu a}(\vec{r}) = - \int d^3 \vec{r}' f_2^V(\vec{r} - \vec{r}') \langle Q^{\mu a}(\vec{r}') \rangle$$

- Mean field decoupling:

$$H_{MF} = \int d^3 \vec{r} \psi_{\alpha}^+(\vec{r}) (\varepsilon(\vec{\nabla}) - n^{\mu a} \Gamma^{\mu} d(\hat{\nabla}^a) - \mu) \psi(\vec{r})$$

Ω -phase in spin 3/2 systems

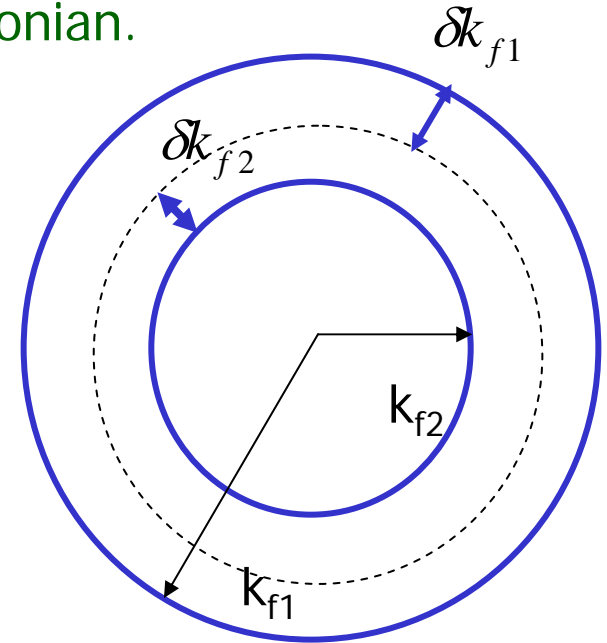
- Dynamic generation of Luttinger-like Hamiltonian.

$$n^{\mu a} = \bar{n} \delta_{\mu a}, \bar{n} = \langle | \psi^\dagger \Gamma^a d^a (-i\vec{\nabla}) \psi | \rangle$$

$$H_{MF} = \sum \psi^\dagger(k) (\varepsilon(k) - \mu - \bar{n} \Gamma^a \cdot \hat{d}^a(k)) \psi(k)$$

$$= \sum \psi^\dagger(k) \{ \varepsilon(k) - \mu - \bar{n} (\vec{F} \cdot \vec{k})^2 + \text{const} \} \psi(k)$$

Hamiltonian for the valence band semiconductor.



- Helicity bands structure. $\Gamma^a \cdot d^a(\hat{k})$
spin nematics direction along $d^a(\hat{k})$
doubly degenerate (Kramers) $\xi^\beta(k) = \varepsilon(k) - \mu \pm \bar{n}$

- Only relative spin-orbit symmetry is broken.

P, T and total angular momentum are conserved.

Emergent non-abelian gauge structure (I)

$$H_{MF} = \sum \psi^\dagger(k) \{ \varepsilon(k) - \mu - \bar{n} (\vec{F} \cdot \vec{k})^2 \} \psi(k)$$

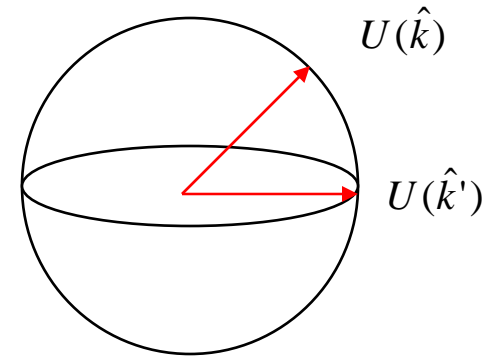


Diagonalize the first term with a *local* unitary transformation

$$U(k) \vec{k} \cdot \vec{F} U^\dagger(k) = k F_z, U(\vec{k}) = e^{i\theta F_y} e^{i\varphi F_z}$$



Helicity basis $\lambda = \hat{k} \cdot \vec{F}$



$$H'(k) = U(\vec{k}) H(k) U^\dagger(\vec{k}) = \varepsilon(k) - \bar{n} (F_z^2 - \frac{5}{4}) + \text{const}$$



$$\varepsilon(k) + \begin{pmatrix} -\bar{n} & & & \\ & \bar{n} & & \\ & & \bar{n} & \\ & & & -\bar{n} \end{pmatrix} \begin{matrix} \lambda = \frac{3}{2} : \text{large FS} \\ \lambda = \frac{1}{2} : \text{small FS} \\ \lambda = -\frac{1}{2} : \text{small FS} \\ \lambda = -\frac{3}{2} : \text{large FS} \end{matrix}$$

$$x_i = i\hbar \frac{\partial}{\partial k_i} - A_i$$



$$A_i = -iU(\vec{k}) \frac{\partial}{\partial k_i} U^\dagger(\vec{k}) : \text{gauge field in } k!$$

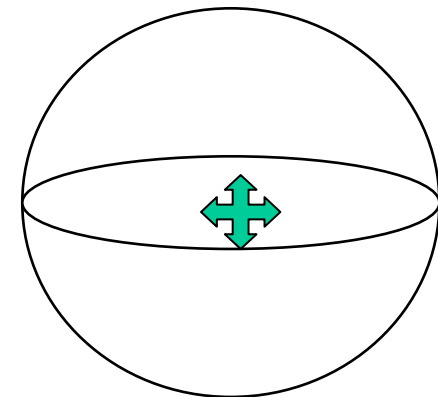
Emergent non-abelian gauge structure (II)

- Projection into large and small FS:
only retain the intra-band matrix elements

$$A_i dk_i = \begin{pmatrix} -\frac{3}{2} \cos \theta d\varphi & \frac{\sqrt{3}}{2} (\sin \theta d\varphi + id\theta) & & \\ \frac{\sqrt{3}}{2} (\sin \theta d\varphi - id\theta) & -\frac{1}{2} \cos \theta d\varphi & \sin \theta d\varphi + id\theta & \\ & \sin \theta d\varphi - id\theta & \frac{1}{2} \cos \theta d\varphi & \frac{\sqrt{3}}{2} (\sin \theta d\varphi + id\theta) \\ & & \frac{\sqrt{3}}{2} (\sin \theta d\varphi - id\theta) & \frac{3}{2} \cos \theta d\varphi \end{pmatrix} \begin{matrix} \lambda = \frac{3}{2} \\ \lambda = \frac{1}{2} \\ \lambda = -\frac{1}{2} \\ \lambda = -\frac{3}{2} \end{matrix}$$

- Dirac monopole in the k-space.

S. Murakami, N. Nagaosa, SCZ, science 301,1348 (2003)  
cond-mat/0310005.



(Dirac monopole)

$$F_{ij} = \epsilon_{ijk} \lambda \frac{k_k}{k^3} \quad 41$$

Auxiliary Field Quantum Monte-Carlo method

Probability:
positive number

Auxiliary field QMC



Fermions:
Grassmann number

- Hubbard-Stratonovich (H-S) transformation.
- Integrate out fermions.
- Fermion functional determinants (FFD) as statistical weights.
R. Blankenbecler et al, PRD 24, 2278(1981).
- Major difficulty: FFD is not positive definite generally.



the sign problem.

Factorization of the fermion functional determinant

- Negative U Hubbard model as an example.

$$H = -t \sum_{\langle ij \rangle} \{c_{i\sigma}^+ c_{j\sigma} + h.c\} - \mu \sum_i n(i) + U \sum_i (n_{\uparrow}(i) - \frac{1}{2})(n_{\downarrow}(i) - \frac{1}{2})$$

$$Z = \int dn \exp\left\{ -\frac{|U|}{2} \int_0^{\beta} d\tau \sum_i (n(i, \tau) - 1)^2 \det(I + B) \right\}$$

$$I + B = I + \mathfrak{I} \exp\left\{ -\int_0^{\beta} d\tau H_K + H_I(\tau) \right\}$$

$$H_I(\tau) = U \sum_{i\sigma} c_{i,\sigma}^+(\tau) c_{i,\sigma}(\tau) n(i, \tau)$$

- Factorize FFD into two identical parts.

$$\det(I + B) = \det(I + B_{\uparrow}) \det(I + B_{\downarrow}) \geq 0$$

- Other examples:

positive U Hubbard mode at half-filling;

anisotropic SU(4) mode: F. F. Assaad et al (2003).

Time reversal invariant decomposition

- Theorem: If there exists a anti-unitary transformation T

$$T^2 = -1, \quad T(I + B)T^{-1} = (I + B)$$

for any H-S field configuration, then $\det(I + B) \geq 0$

Proof:

- If \bullet is an eigenvalue of $(I+B)$ with eigenstate $|\psi\rangle$ then \bullet^* is also an eigenvalue with eigenstate $T|\psi\rangle$.

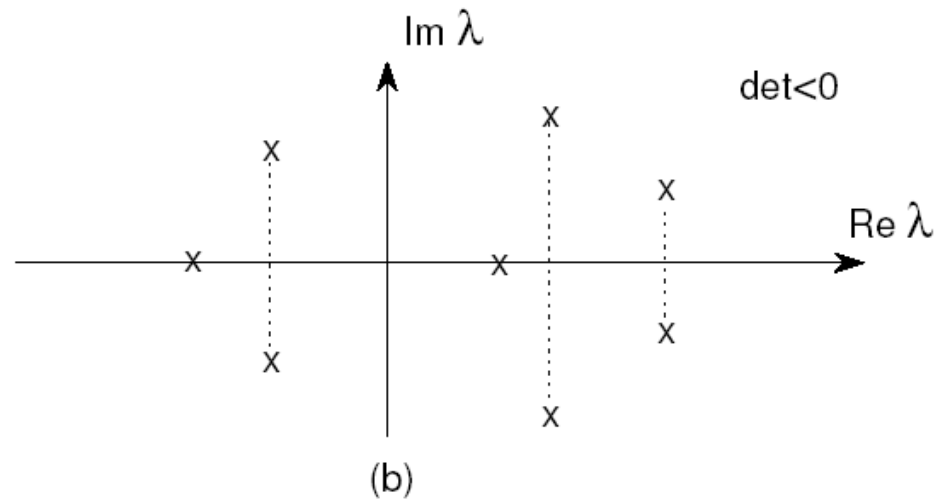
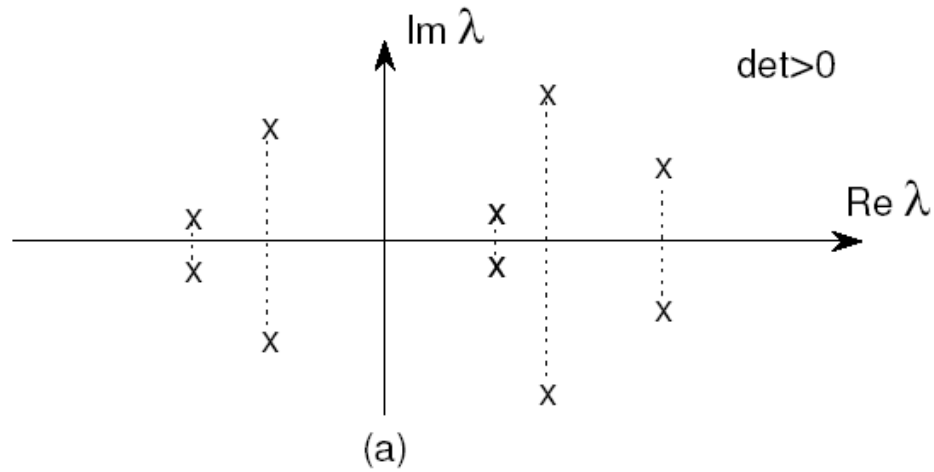
- If \bullet is real, it is double degenerate. If \bullet is complex, it appears in pairs (\bullet, \bullet^*) .

$$\det(I + B) = (\lambda_1 \lambda_1^*)(\lambda_2 \lambda_2^*) \cdots (\lambda_n \lambda_n^*) \geq 0$$

QED.

- T may be or not be the physical time reversal operator.

Distribution of eigenvalues



Application in spin 3/2 system

- Another equivalent formulation:

$$H = \sum_{\langle ij \rangle, \sigma} -t \{c_{i,\alpha}^+ c_{j,\alpha} + h.c.\} - \mu \sum_i c_{i,\alpha}^+ c_{i,\alpha} - \sum_{i, 1 \leq a \leq 5} \{V (n(i) - 2)^2 + W n_a^2(i)\}$$

$$V = -\frac{3U_0 + 5U_2}{16}$$

$$W = \frac{U_2 - U_0}{4}$$

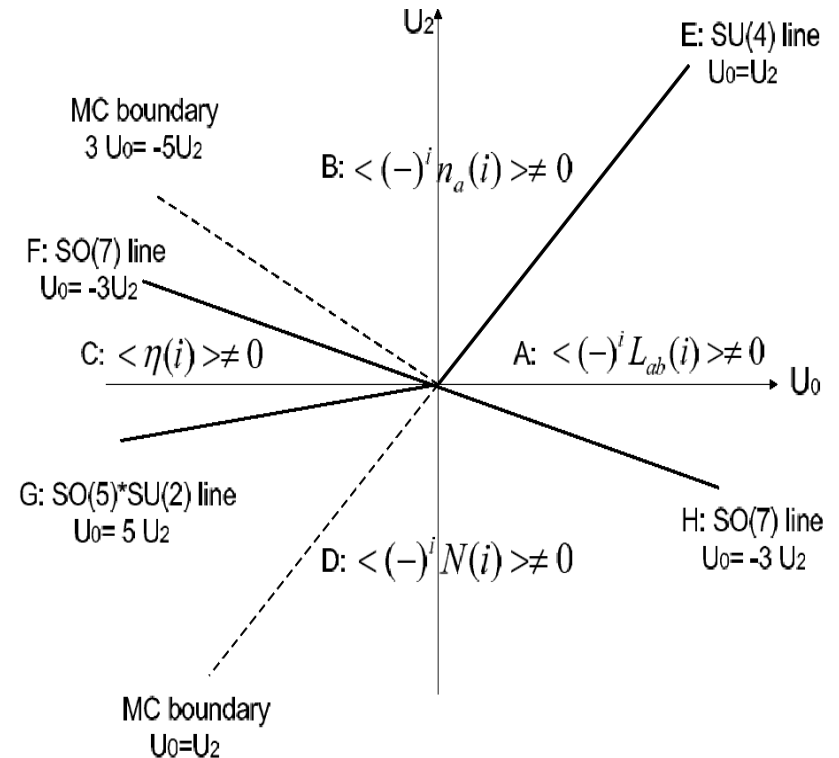
- Decompose in the density, vector channel ($V, W > 0$):

$$H_I(\tau) = -\sum_{i,\sigma} V \{c_{i,\alpha}^+(\tau) c_{i,\alpha}(\tau) (n(i,\tau) - 2)\}$$

$$+ W \sum_i c_{i,\alpha}^+(\tau) \frac{\Gamma^a}{2} c_{i,\beta}(\tau) n^a(i,\tau)$$

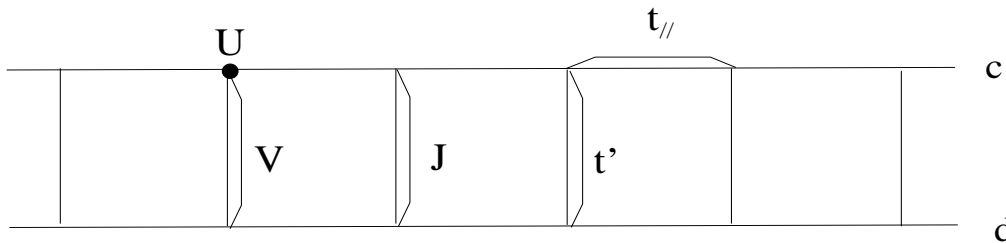
$$I + B = I + \Im \exp \left\{ -\int_0^\beta d\tau H_K + H_I(\tau) \right\}$$

- Reliable determination of quantum phases.



The spin 1/2 bilayer system

$$H = -t_{\parallel} \sum_{\langle ij \rangle} \{c_{i\sigma}^+ c_{j\sigma} + d_{i\sigma}^+ d_{j\sigma} + h.c.\} - t_{\perp} \sum_i \{c_{i\sigma}^+ d_{j\sigma} + h.c.\} - \mu \sum_i n(i) \\ + J \sum_{\langle ij \rangle} \vec{S}_{ic} \cdot \vec{S}_{id} + U \sum_i (n_{i,\uparrow,c} - \frac{1}{2})(n_{i,\downarrow,c} - \frac{1}{2}) + (c \rightarrow d) + V \sum_i (n_{i,c} - 1)(n_{i,d} - 1)$$



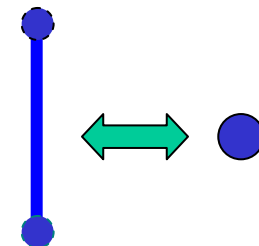
D. Scalapino, SCZ, W.Hanke,
PRB 58, 443 (1998)

- Map to an anisotropic spin 3/2 system.
- n_1 bond strength, n_5 bond current, n_{2-4} Neel order.

$$c_{\uparrow}, c_{\downarrow}, \quad c_{\frac{3}{2}}, c_{\frac{1}{2}}, \\ d_{\uparrow}, d_{\downarrow}, \quad c_{-\frac{1}{2}}, c_{-\frac{3}{2}}$$

$$n(i) = c_{i\sigma}^+ c_{i\sigma} + d_{i\sigma}^+ d_{i\sigma}, \quad n_1(i) = -i(d_{i\sigma}^+ c_{i\sigma} - h.c.) / 2 \\ n_5(i) = (d_{i\sigma}^+ c_{i\sigma} + h.c.) / 2, \quad n_{2,3,4}(i) = c_i^+ \frac{\vec{\sigma}}{2} c_i - d_i^+ \frac{\vec{\sigma}}{2} d_i,$$

S. Capponi, C. J. Wu and SCZ, cond-mat/0403585



Current carrying Ground state

- Staggered inter-layer current.

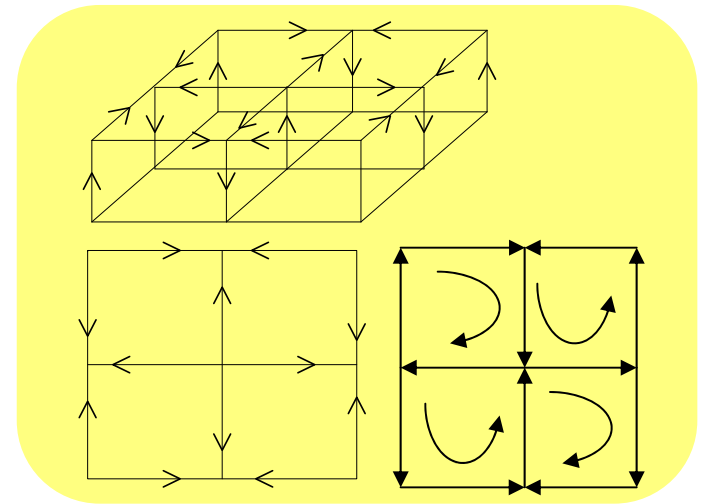
D-density wave phase in High T_c .

- Pseudo-spin SU(2) algebra:

$$n_5(i), \quad n_1(i), \quad Q(i) = \frac{1}{2}(c_{i\sigma}^+ c_{i\sigma} - d_{i\sigma}^+ d_{i\sigma})$$

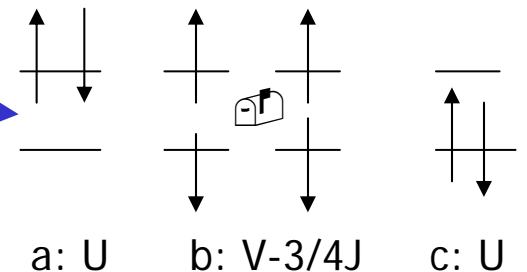
- Low energy rung states as spin-1 representation. ($Q=1,0,-1$).

- Rung current states as eigenstates of n_1 .



top view

d-density wave

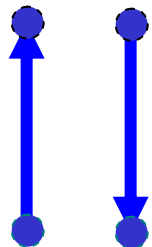


a: U

b: $V - \frac{3}{4}J$

c: U

$$\Delta U = U - V + \frac{3}{4}J$$



$$| \text{up, down} \rangle = \frac{1}{2} (| a \rangle - | c \rangle) \pm \frac{1}{\sqrt{2}} | b \rangle$$

$$n_1 | \text{up, down} \rangle = \pm | \text{up, down} \rangle$$

s at T=0K

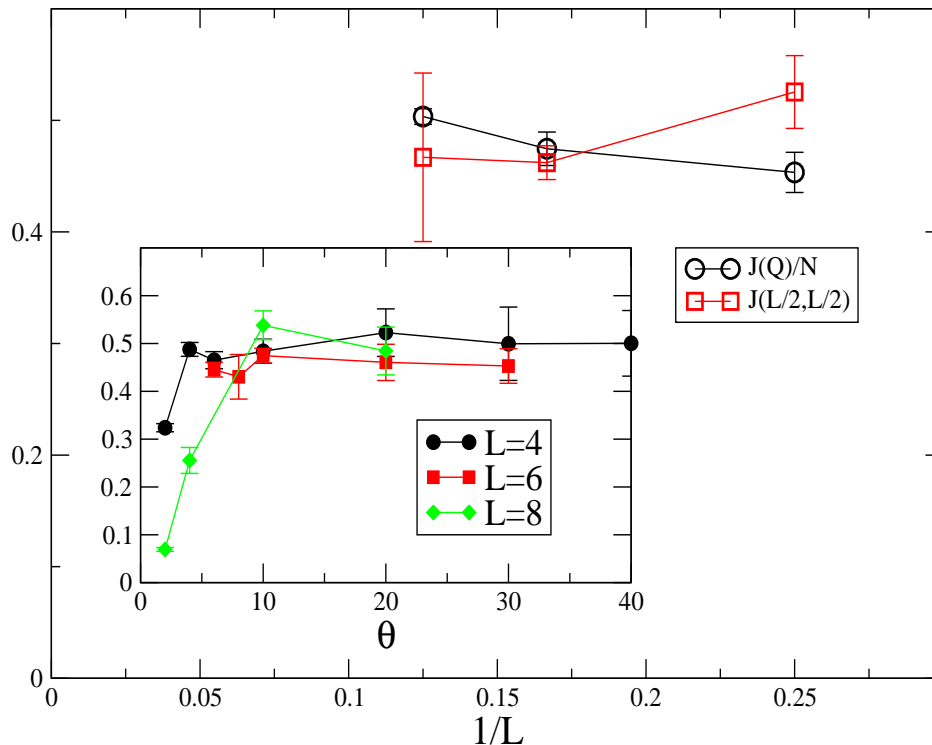


Fig. Parameters are $t=1$, $t_{\perp}=0.1$, $U=0$, $V=0.5$, $J=2.0$ and half-filling. Scaling of $J(Q)/N$ and $J(L/2, L/2)$ v.s. $1/L$ showing almost no finite-size effect and proving long-range order in the thermodynamic limit.

- T =time reversal operation*
flipping two layers
- Anisotropic pseudo-spin 1 model
 $\Delta U > 0$ favors the easy plane of CDW and current,
 t_{\perp} further favors the currents phase.

$$H_{ex} = J_p \sum_{\langle ij \rangle} \{n_5(i)n_5(j) + n_1(i)n_1(j) + Q(i)Q(j)\} + \sum_i -2t_{\perp}n_5(i) + \Delta U(Q^2(i) - \frac{1}{2})$$

Conclusions

- Generic particle-hole channel $SO(5)$ symmetry in spin $3/2$ systems.
- $SO(5)$ Landau-Fermi liquid theory and quintet BCS-pairing.
- Quantum phases of spin $3/2$ Hubbard model.
- Non-Abelian holonomy of $SO(5)$ spinors.
- Emergent non-Abelian gauge structure from Fermi liquid theory: dynamic generation of the spin-orbit interaction.
- New QMC algorithm without the sign problem: reliable determination of quantum phases.